

FISCAL YEAR 2022 Annual Freedom of Information Act (FOIA) Report

I. Basic Information Regarding Report

A. Questions about this report can be addressed to Joseph A. Scanlon, NARA FOIA Officer, Office of General Counsel, Room 3110, 8601 Adelphi Road, College Park, MD 20740-6001. The telephone number is 301-837-0583. The e-mail address is <u>foia@nara.gov</u>.

B. Gary M. Stern, NARA's General Counsel, also serves as the Chief FOIA Officer. Questions may be addressed to his attention at Office of General Counsel, Room 3110, 8601 Adelphi Road, College Park, MD 20740-6001. The telephone number is 301-837-1750. The e-mail address is <u>foia@nara.gov.</u>

C. An electronic version of the report is available from the NARA website: <u>www.archives.gov/foia/reports/2021.pdf</u>.

D. To request a paper copy of this report write to the FOIA Officer at the address above.

II. Making a FOIA Request

A. Names, addresses, and telephone numbers of all individual agency components and offices that receive FOIA requests.

NARA accepts FOIA requests for the executive branch agency records in its legal custody. That includes the operational records that NARA creates while conducting government business and the accessioned archival records that NARA receives and maintains from all executive branch agencies as the National Archives of the United States. NARA also accepts FOIA requests for Presidential and Vice Presidential records subject to the provisions of the Presidential Records Act. For general questions on the FOIA or on a specific request, you may call NARA's FOIA hotline at 301-837-3642.

Information listing the telephones numbers for NARA's FOIA Customer Service

Centers and the names of our Public Liaisons is available at: <u>http://www.archives.gov/foia/contacts.html</u>.

FOR OPERATIONAL RECORDS (all NARA units, except the Inspector General)

NARA FOIA Officer Office of General Counsel, Room 3110 8601 Adelphi Road College Park, MD 20740-6001 301-837-3642 (phone) 301-837-0293 (fax) foia@nara.gov (email)

FOR RECORDS OF NARA'S INSPECTOR GENERAL

Office of Inspector General Attn: FOIA Request 8601 Adelphi Road, Room 1300 College Park, MD 20740-6001 301-837-3000 (phone) 301-837-3197 (fax)

FOR ARCHIVAL RECORDS (Washington, DC Metropolitan Area)

Special Access and FOIA Program 8601 Adelphi Road, Room 5500 College Park, MD 20740-6001 301-837-3190 (phone) 301-837-1864 (fax) specialaccess FOIA@nara.gov (email)

FOR ARCHIVAL RECORDS (outside the Washington, DC Metropolitan Area)

FOIA requests should be addressed to the Archival Director of the facility where the records are located. A listing of Archives facility addresses can be found at www.archives.gov/locations/index.html.

FOR PRESIDENTIAL RECORDS SUBJECT TO FOIA

Pursuant to the Presidential Records Act of 1978 (PRA), the records of each former President, beginning with President Reagan, become subject to the provisions of the FOIA five years after the President leaves office. The incumbent or former President may continue to invoke specific restrictions for up to twelve years, after which only statutory FOIA restrictions may be applied (except that FOIA exemption (b)(5) does not apply to PRA records; however, either the former President or the incumbent President may assert executive privilege). FOIA requests for Presidential records should be mailed to the attention of the Director of the Presidential library in which the records are located.

The Presidential materials at pre-PRA Presidential libraries (Hoover through Carter) are donated collections (except that the Nixon materials are subject to a special statute) and are not subject to FOIA, but each of them have small collections of executive branch agency records that are subject to the FOIA. Addresses of the

Presidential Libraries are posted on our website at <u>www.archives.gov/presidential-libraries/index.html</u>.

FOR OFFICIAL MILITARY or CIVILIAN PERSONNEL FILES

National Personnel Records Center 1 Archives Drive St. Louis, MO 63138 (314) 801-0800 (314) 801-9195 (fax) E-Mail: <u>MPR.center@nara.gov</u>

FOR ALL OTHER RECORDS

Judicial records, records of the Congress and legislative branch agencies, donated historical materials, and Nixon Presidential Historical Materials are not subject to the FOIA.

In addition, NARA cannot respond to FOIA requests for records that are in our physical but not legal custody, such as the records of executive branch agencies stored at a NARA Federal Records Center. FOIA requests for record center holdings remain the responsibility of the originating agency.

We have published a FOIA Reference Guide that describes the procedures for making FOIA requests to NARA. The Guide can be viewed at <u>www.archives.gov/foia/foia-guide.html</u>. Additional procedural information can be found in our implementing regulations at 36 CFR Part 1250 and 1254 through 1260.

B. Brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

NARA accepts FOIA requests for all executive branch records in its legal custody, including our own operational records and the historical records NARA maintains as the National Archives of the U.S. government. NARA asserts the privacy exemption more than the rest of the exemptions to protect the privacy of individuals referenced in records among our holdings. NARA also uses Exemption (b)(1), as directed by equity holding agencies, to withhold information the release of which would harm the national security of the United States. Other reasons for not granting requests are outlined in Section V, B of this report.

III. Acronyms, Definitions, and Exemptions

A. Agency specific acronyms or other terms

NARA - National Archives and Records Administration.

Operational records – records that NARA creates or receives in carrying out its mission and responsibility as an executive branch agency.

Archival records – permanent records of the United States government that have been transferred to the legal and physical custody of the National Archives of the United States and the Presidential Libraries.

B. Basic terms, expressed in common terminology

a. Administrative Appeal – a request to a federal agency asking that it review at a higher administrative level a full denial or partial denial of access to records under the Freedom of Information Act, or any other FOIA determination such as a matter pertaining to fees.

b. Average number – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

d. **Component** – for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

f. **Exemption 3 statute** – a separate federal statute prohibiting the disclosure of a certain type of information and authorizing its withholding under FOIA subsection (b)(3).

g. **FOIA/PA request** – Freedom of Information Act/Privacy Act request. A FOIA request is generally a request for access to records concerning a third party, an organization, or a particular topic of interest. A Privacy Act request is a request for records concerning oneself; such requests are also treated as FOIA requests. (All requests for access to records under these two statutes

are included in this report. This report does not account for requests for archival records that do not cite the FOIA or Privacy Act.)

h. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.

i. **Full Denial** – an agency decision not to release any part of a record or records in response to a FOIA request because all the information in the requested records is determined by the agency to be exempt under one or more of the FOIA's exemptions, or for some procedural reason (such as because no record is located in response to a FOIA request).

j. **Median number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

k. **Multi-track processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests in each track are processed on a first-in/first out basis. A requester who has an urgent need for records may request expedited processing (see below).

i. **Expedited processing** – an agency will process a FOIA request on an expedited basis when a requester has shown an exceptional need or urgency for the records which warrants prioritization of his or her request over other requests that were made earlier.

ii. **Simple request** – a FOIA request that an agency using multitrack processing places in its fastest (non expedited) track based on the volume and/or simplicity of records requested.

iii. **Complex request** – a FOIA request that an agency using multi-track processing places in a slower track based on the volume and/or complexity of records requested.

1. **Partial grant/Partial Denial** – an agency decision to disclose a record in part in response to a FOIA request, deleting information determined to be exempt under one or more of the FOIA's exemptions; or a decision to disclose some records in their entireties, but to withhold others in whole or in part.

m. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all requests.

n. "**Perfected**" request – a FOIA request for records which adequately describes the records sought, which has been received by the FOIA office of

the agency or agency component in possession of the records, and for which there is no remaining question about the payment of applicable fees.

o. **Processed Request or Processed Administrative Appeal** – a request or appeal for which an agency has taken a final action on the request or the appeal in all respects.

p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.

q. **Time limits** – the time period in the Freedom of Information Act for an agency to respond to a FOIA request (ordinarily 20 working days from proper receipt of a "perfected" FOIA request).

C. The Nine FOIA Exemptions

a. Exemption 1: classified national defense and foreign relations information

b. Exemption 2: internal agency rules and practices

c. **Exemption 3:** information that is prohibited from disclosure by another federal law

d. Exemption 4: trade secrets and other confidential business information

e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges

f. Exemption 6: information involving matters of personal privacy

g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

h. Exemption 8: information relating to the supervision of financial institutions

i. Exemption 9: geological information on wells

3. Agency Component Abbreviations

Component Component Name	
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IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
5 U.S.C. § 574(j)	Dispute resolution communications	None	NARA	1	1
8 U.S.C. §1202(f)	Certain records pertaining to the issuance or refusal of visas to enter the United States	Medina-Hincapie v. Dep't of State, 700 F.2d 737, 741-42 (D.C. Cir. 1983); De Laurentiis v. Haig, 686 F.2d 192, 194 (3d Cir. 1982); Assadi v. Dep't of State, No. 12-1111, 2014 WL 4704840, at *6-7 (S.D.N.Y. Sept. 19, 2014); Badalamenti v. U.S. Dep't of State, 899 F. Supp. 542, 547 (D. Kan. 1995).	NARA	1	1
10 U.S.C. § 130b	Personally identifiable information pertaining to "any member of the armed forces assigned to an overseas unit, a sensitive unit, or a routinely	Freedom Watch, Inc. v. NSA, 197 F. Supp. 3d 165, 174 (D.D.C. 2016); Hiken v. DOD, 521 F. Supp. 2d 1047, 1062 (N.D. Cal. 2007); O'Keefe v. DOD, 463 F.	NARA	4	4

	deployable unit" and "any employee of the Department of Defense or of the Coast Guard whose duty station is with any such unit"	Supp. 2d 317, 325 (E.D.N.Y. 2006); Windel v. United States, No. A02-306, 2005 WL 846206, at *2 (D. Alaska Apr. 11, 2005).			
10 U.S.C. § 424	Organization or any function of an organization, and certain information pertaining to, employees of the Defense Intelligence Agency, the National Reconnaissance Office, and the National Geospatial- Intelligence Agency	Hamdan v. DOJ, 797 F.3d 759, 776 (9th Cir. 2015); Freedom Watch, Inc. v. NSA, 197 F. Supp. 3d 165, 174 (D.D.C. 2016); Wickwire Gavin, P.C. v. Def. Intel. Agency, 330 F. Supp. 2d 592, 602 (E.D. Va. 2004).	NARA	3	3
10 U.S.C. §455(b)	Maps, charts, and geodetic data	None	NARA	1	1
18 U.S.C. §§ 2510, et seq. (Title III of the Omnibus Crime Control and Safe Streets Act)	Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps	Payne v. DOJ, No. 96-30840, 1997 WL 450139, at *3 (5th Cir. July 11, 1997); Lam Lek Chong v. DEA, 929 F.2d 729, 732-33 (D.C. Cir. 1991).	NARA	6	6
26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	Nosal v. IRS, 523 F. Supp. 3d 72, 79 (D.D.C. 2021); Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Elec. Priv. Info. Ctr. v. IRS, 910 F.3d 1232, 1237 (D.C. Cir. 2018); Leonard v. U.S. Dep'of Treasury, 590 F. App'x.	NARA	3	3

		141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105).			
42 U.S.C. §§ 2162, 2167, 2168(a)(1) (Atomic Energy Act)	"Restricted Data" pertaining to atomic weapons and special nuclear material and certain safeguards information for nuclear material	Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986).	NARA	5	5
50 U.S.C. § 3024(i)(1) (formerly at 50 U.S.C. § 403-1(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	CIA v. Sims, 471 U.S. 159, 167 (1985).	NARA	79	79
50 U.S.C. § 3507 (formerly at 50 U.S.C. § 403g) (Central Intelligence Agency Act of 1949)	Intelligence sources and methods; certain information pertaining to Agency employees, specifically: "the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency"	Assassination Archives & Rsch. Ctr. v. CIA, No. 18-5280, 2019 WL 691517 (D.C. Cir. Feb. 15, 2019) (per curiam); ACLU v. DOJ, 681 F.3d 61, 72-75 (2d Cir. 2012); Berman v. CIA, 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); Makky v. Chertoff, 489 F. Supp. 2d 421, 442 (D.N.J. 2007), aff'd on other grounds, 541 F. 3d 205 (3d Cir. 2008).	NARA	21	21
50 U.S.C. § 3605	Information pertaining to the	ACLU v. DOJ, 681 F.3d 61, 72-75	NARA	2	2

(formerly at 50 U.S.C. § 402 note) (National Security Agency Act of 1959)	functions or organization of NSA; certain information pertaining to NSA employees	(2d Cir. 2012); Elec. Priv. Info. Ctr. v. NSA, 678 F.3d 926, 931 (D.C. Cir. 2012); Houghton v. NSA, 378 F. App'x 235, 238- 39 (3d Cir. 2010) (per curiam); Lahr v. NTSB, 569 F.3d 964, 985 (9th Cir. 2009).			
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, § 2, 91 Stat. 319	Certain records that would reveal some secret aspect pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Sorin v. DOJ, No. 18- 99, 2018 WL 6431027 (2d Cir. Dec. 6, 2018) (per curium); Widi v. McNeil, No. 12-CV- 00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); Durham v. U.S. Att'y Gen., No. 06- 843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	NARA	29	29

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
NARA	9971	14975	14977	9969

AGENCY OVERALL	9971	14975	14977	9969

NARA has adjusted the number of requests pending at the start of the fiscal year as the result of delays in the entry of some requests received over the past two fiscal years resulting from the COVID-19 pandemic.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Num			Number of Full Denials Based on Reasons Other than Exemptions								
Agency / Compo nent	Num ber of Full Gran ts	ber of Parti al Gran ts / Parti al Deni als	Numbe r of Full Denials Based on Exempt ions	No Reco rds	All Record S Referre d to Anothe r Compo nent or Agency	Reques t Withdr awn	Fee- Rela ted Reas on	Record s not Reason ably Describ ed	Impro per FOIA Requ est for Other Reaso n	Not Age ncy Rec ord	Duplic ate Requ est	Othe r *Expl ain in Chart Belo W	TOT AL
NARA	823	1045 7	31	2513	82	244	54	142	280	39	67	245	149 77
AGENC Y OVERA LL	823	1045 7	31	2513	82	244	54	142	280	39	67	245	149 77

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
NARA	Presidential Records Act	42	245
	Unable to locate or contact requester	203	243
AGENCY OVERALL			245

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Componen t	Ex. 1	Ex . 2	Ex. 3	Ex . 4	Ex . 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex . 8	Ex . 9
NARA	13 9	4	15 5	5	12	1029 1	0	0	107	169	15	4	0	0
AGENCY OVERALL	13 9	4	15 5	5	12	1029 1	0	0	107	169	15	4	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
NARA	108	81	22	167
AGENCY OVERALL	108	81	22	167

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
NARA	16	1	1	4	22
AGENCY OVERALL	16	1	1	4	22

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
NARA	0	0	0	0	1	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	1	0	0	0	0	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agenc y/ Comp onent	No Rec ords	Reco rds Refe rred at Initia I Requ est Level	Reque st Withd rawn	Fee- Rela ted Rea son	Recor ds not Reaso nably Descri bed	Impr oper Requ est for Othe r Reas ons	Not Age ncy Rec ord	Dupli cate Requ est or Appe al	Requ est in Litiga tion	Appea I Based Solely on Denial of Reque st for Exped ited Proce ssing	Othe r *Exp lain in char t belo w
NARA	244 4	82	244	54	142	280	39	67	38	9	183
AGENC Y OVERA LL	244 4	82	244	54	142	280	39	67	38	9	183

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
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NARA	Presidential Records Act	16	183
	Unable to Locate or Contact Requester	167	100
AGENCY OVERALL			183

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
NARA	20	154	3	1046
AGENCY OVERALL	20	154	3	1046

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Compone nt		10th Oldes t Appe al	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldes t Appe al
	Date of Appeal	2015- 06-03	201 5- 05- 04	201 5- 01- 06	201 4- 10- 06	201 4- 08- 26	201 4- 05- 22	201 4- 04- 24	201 4- 03- 14	201 3- 07- 12	2012- 11-15
NARA	Numb er of Days Pendin g	1817	183 8	191 9	197 7	200 5	207 1	209 1	211 9	228 3	2446
AGENCY OVERALL	Date of Appeal	2015- 06-03	201 5- 05- 04	201 5- 01- 06	201 4- 10- 06	201 4- 08- 26	201 4- 05- 22	201 4- 04- 24	201 4- 03- 14	201 3- 07- 12	2012- 11-15
	Numb er of Days	1817	183 8	191 9	197 7	200 5	207 1	209 1	211 9	228 3	2446

F	Pendin					
	g					

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	PLE			COM	PLEX		EXPE	DITED	PROCES	SING
Agenc y / Comp onent	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days
NARA	56	141	1	452 3	547	104 8	1	709 4	N/A	N/A	N/A	N/A
AGEN CY OVERA LL	56	141	1	452 3	547	104 8	1	709 4	N/A	N/A	N/A	N/A

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

		SIM	PLE			COM	PLEX		EXPE	DITED	PROCES	SING
Agenc y / Comp onent	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days
NARA	10	224	1	251 6	514	681	1	420 7	N/A	N/A	N/A	N/A
AGEN CY OVERA LL	10	224	1	251 6	514	681	1	420 7	N/A	N/A	N/A	N/A

Agency / Compon ent	<1- 20 Da ys	21- 40 Da ys	41- 60 Da ys	61- 80 Da ys	81- 10 0 Da ys	10 1- 12 0 Da ys	12 1- 14 0 Da ys	14 1- 16 0 Da ys	16 1- 18 0 Da ys	18 1- 20 0 Da ys	201 - 300 Da ys	301 - 400 Da ys	401 + Da ys	TOT AL
NARA	39 25	161 1	102 1	57 2	70 8	54 9	47 0	29 0	26 9	29 8	145 3	111 0	162 3	138 99
AGENCY OVERAL L	39 25	161 1	102 1	57 2	70 8	54 9	47 0	29 0	26 9	29 8	145 3	111 0	162 3	138 99

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Compon ent	<1- 20 Da ys	21- 40 Da ys	41- 60 Da ys	61- 80 Da ys	81- 10 0 Da ys	10 1- 12 0 Da ys	12 1- 14 0 Da ys	14 1- 16 0 Da ys	16 1- 18 0 Da ys	18 1- 20 0 Da ys	20 1- 30 0 Da ys	30 1- 40 0 Da ys	401 + Da ys	TOT AL
NARA	91	38	4	9	7	13	12	10	6	0	13	17	355	575
AGENCY OVERAL L	91	38	4	9	7	13	12	10	6	0	13	17	355	575

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Compon ent	<1- 20 Da ys	21- 40 Da ys	41- 60 Da ys	61- 80 Da ys	81- 10 0 Da ys	10 1- 12 0 Da ys	12 1- 14 0 Da ys	14 1- 16 0 Da ys	16 1- 18 0 Da ys	18 1- 20 0 Da ys	20 1- 30 0 Da ys	30 1- 40 0 Da ys	401 + Da ys	TOT AL	
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NARA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERAL L	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE			COMPLEX	{	EXPEDI	TED PROC	ESSING
Agency / Compone nt	Numb er Pendin g	Media n Numb er of Days	Averag e Numb er of Days	Numb er Pendin g	Media n Numb er of Days	Averag e Numb er of Days	Numb er Pendin g	Media n Numb er of Days	Averag e Numb er of Days
NARA	5849	510	686	4120	801	1217	0	N/A	N/A
AGENCY OVERALL	5849	510	686	4120	801	1217	0	N/A	N/A

Due to delayed data entry and system limitations, some pending requests received during the pandemic were entered based on the date of entry rather than the date of receipt. Accordingly the medians and averages may be higher than reported here.

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Compone nt		10th Oldest Reque st	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Reque st
	Date of Receip t	2008- 08-21	200 8- 04- 25	200 8- 04- 17	200 8- 03- 22	200 8- 03- 10	200 8- 02- 19	200 8- 01- 02	200 7- 02- 08	200 6- 08- 10	2006- 04-19
NARA	Numb er of Days Pendi ng	3494	357 6	358 2	360 0	360 9	362 3	365 5	387 7	399 9	4077
AGENCY OVERALL	Date of	2008- 08-21	200 8-	200 8-	200 8-	200 8-	200 8-	200 8-	200 7-	200 6-	2006- 04-19

Receip t		04- 25	04- 17	03- 22	03- 10	02- 19	01- 02	02- 08	08- 10	
Numb er of Days Pendi ng	3494	357 6	358 2	360 0	360 9	362 3	365 5	387 7	399 9	4077

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
NARA	58	329	5	7	320
AGENCY OVERALL	58	329	5	7	320

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
NARA	585	96	3	3
AGENCY OVERALL	585	96	3	3

IX. FOIA Personnel and Costs

-	
PERSONNEL	COSTS

Agency / Component	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
NARA	21	21.45	42.45	1540000.00	0.00	1540000.00
AGENCY OVERALL	21	21.45	42.45	1540000.00	0.00	1540000.00

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
NARA	0.00	0.0000
AGENCY OVERALL	0.00	0.0000

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
NARA	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
NARA	65	204940986
AGENCY OVERALL	65	204940986

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
NARA	9969	155
AGENCY OVERALL	9969	155

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
NARA	6	19	25	0
AGENCY OVERALL	6	19	25	0

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Compone nt		10th Oldest Consultati on	9th	8th	7th	6th	5th	4th	3rd	2n d	Oldest Consultati on
	Date	N/A	N/ A	N/A							
NARA	Numb er of Days	0	0	0	0	0	0	0	0	0	0
AGENCY	Date	N/A	N/ A	N/A							
OVERALL	Numb er of Days	0	0	0	0	0	0	0	0	0	0

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REC	EQUESTS <u>RECEIVED</u> NUMBER OF REQUES <u>PROCESSED</u>		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
NARA	7725	14975	6867	14977
AGENCY OVERALL	7725	14975	6867	14977

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
NARA	9971	9969
AGENCY OVERALL	9971	9969

NARA continued to experience the impact of delays caused by the COVID-19 pandemic with regard to the entry of requests received over the past two fiscal years, particularly those received by postal mail. Accordingly, NARA has adjusted the number of requests backlogged from the previous fiscal year by an additional 5,602 requests.

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER OF AP	PPEALS <u>RECEIVED</u> NUMBER OF APP		PEALS <u>PROCESSED</u>	
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
NARA	54	81	45	22	
AGENCY OVERALL	54	81	45	22	

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End	Number of Backlogged Appeals as of End
	of the Fiscal Year	of the Fiscal Year

	from Previous Annual Report	from Current Annual Report
NARA	99	155
AGENCY OVERALL	99	155