

National Industrial Security Program Policy Advisory Committee (NISPPAC) Meeting
Wednesday, July 15, 2020 - 10:00 a.m. - 12:30 p.m.
National Archives and Records Administration
Meeting held virtually

Agenda

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| I. Welcome, Introductions, and Administrative Matters | 15 mins |
| II. Action Item Follow Up | 15 mins |
| III. Vote on NISPPAC Bylaws | 15 mins |
| IV. Reports and Updates | |
| Department of Defense Update | 5 mins |
| Mr. Garry Reid, Director for Defense Intelligence, Intelligence & Security,
Counterintelligence, Law Enforcement & Security | |
| Defense Security Counterintelligence Agency Update | 15 mins |
| DCSA Staff | |
| Industry Presentation | 10 mins |
| Ms. Heather Sims, Industry Spokesperson | |
| Office of the Director of National Intelligence (Security Executive Agent) | 10 mins |
| Ms. Valerie Kerben | |
| Controlled Unclassified Information (CUI) Update | 10 mins |
| Mr. Mark Riddle | |
| V. Break | 5 mins |
| VI. Clearance Working Group Report | 5 mins |
| Mr. Greg Pannoni | |
| VII. Clearance Processing Statistics | 5 mins |
| Mr. Perry Russell-Hunter, DOHA | |
| VIII. GSA Containers | 20 mins |
| Mr. Roy Jusino | |
| IX. General Open Forum/Discussion | 10 mins |
| X. Closing Remarks and Adjournment | 10 mins |

National Industrial Security Program Policy Advisory Committee (NISPPAC) Meeting Minutes July 15, 2020

The NISPPAC bylaws were modified.

Garry Reid, Director of Defense Intelligence, Counterintelligence, Law Enforcement and Security, Office of the Secretary of Defense referred to Defense Secretary Mark Esper's Homeland Security Advisory Council (HSAC) meeting from the previous week. There is an effort to make upgrades in the defense enterprise relative to operation, security, and protection of sensitive information and prevention of unauthorized disclosures. Much of this effort will come in protecting pre-decisional information.

Mr. Reid briefed that there are attempts to get the National Industrial Security Program (NISP) Operating Manual (NISPOM) change out of legal and into the Office of Management and Budget (OMB) to get into the rulemaking process. The most substantive change will be Security Executive Agency Directive (SEAD) 3, Reporting Requirements for Personnel with Access to Classified Information or Who Hold a Sensitive Position, implementation.

Keith Minard, DCSA, provided the next update. He briefed that in order to address pandemic impacts, Defense Counterintelligence and Security Agency (DCSA) has implemented a wide range of initiatives to ensure they continue engagement with industry and government partners to continue oversight and support to the NISP. Some of the changes include moving to continuous assessments instead of on-site visits. In addition, DCSA will continue to process facility security clearances (FCLs). DCSA will continue to hold Foreign Ownership, Control or Influence (FOCI) meetings in virtual formats.

DCSA is planning guidance and resources for implementing SEAD 3.

There have been administrative updates to the Industrial Security Letter (ISL) 2011-04, Adverse Information. The administrative changes address the use of Defense Information System for Security (DISS) for the submission of incident reports and adverse information, which is the replacement for Joint Personnel Adjudication System (JPAS) as a system of record for personnel security.

Contractors who have not obtained their DISS accounts should do so immediately. The transition to DISS for incident reporting is supposed to occur on August 15, 2020. The ISL includes information for the DISS support team and links to training on adverse information reporting to better enable industry in this transition.

The vetting components of DCSA has seen timelines dropping since their merger as a single division that handles end-to-end process under FCL and FOCI.

DCSA is assessing output in the application monitoring tool and will be planning improvements to the National Industrial Security System (NISS) application infrastructure to improve system

performance. Feedback to DCSA is encouraged to ensure they are making the necessary changes.

The federal acquisition rule has been issued on NISP Contract Classification System (NCCS) for DD Form 254s, DoD Contract Security Classification Specification with an effective date of August 3, 2020. It requires use of NCCS by Department of Defense (DoD) and non- DoD service branch signatories for industrial security services.

Heather Sims, Industry Spokesperson, addressed sections of the National Defense Authorization Act (NDAA) of fiscal year (FY) 2019, such as Section 889 which will take effect on August 13, 2020. Industry associations have recommended language to extend Section 889 implementation. While there are still many unknown implications to this rule, it is necessary that more collaboration with government is required to ensure there is no disruption to services or products. Industry is looking for solutions to the shared concerns about the risk to the supply chain. Industry would like a NISPPAC supply chain working group.

NDAA for FY 2019 Section 842, Removal of National Interest Determination (NID) Requirements for Certain Entities states a covered National Technology and Industrial Base (NTIB) entity operating under a special security agreement pursuant to the NISP shall not be required to obtain a NID as a condition for access to proscribed information beginning October 1, 2020.

The next scheduled NID Working Group is expected to discuss the current NID process, identify gaps, and work to find efficiencies in the process to limit processing timelines.

Industry does not yet have a full listing of companies under a NID obligation to better understand the full impact of the issue from an industry perspective. Industry is working on a Freedom of Information Act (FOIA) request to better understand the impacts and areas of concerns.

Industry referred to Section 9403 of the NDAA for FY 2021 federal policy on the sharing of information pertaining to contractor employees in the trusted workforce.

Industry is closely monitoring the impacts of new legislation and policy while still being focused on many of the Cognizant Security Agency (CSA)'s efforts to provide oversight to the industrial base. Industry is observing DoD's efforts to move the NISP from a compliance based program to a more risk-based process.

Industry is concerned about new processes for telesecurity plans being developed under the name Standard Security Processes, development of the security rating score and other oversight procedures with little industry engagement.

A strategic vision and communication strategy of efforts would be beneficial to industry and government customers for whom DCSA provides oversight. Industry has been exerting an enormous amount of resources in managing all the government systems being developed and utilized to manage the NISP.

New industry member nominations are expected to the NISPPAC Chairman by September 1, 2020.

Valerie Kerben, ODNI, briefed that William Evanina was confirmed by the Senate in May 2020 to be the Director, National Counterintelligence and Security Center (NCSC).

SEAD 8, Temporary Eligibility, was signed May 2020 and posted on ncsc.gov.

Since SEAD 2, Use of Polygraph in Support of Personnel Security Determinations for Initial or Continued Eligibility for Access to Classified Information or Eligibility to Hold a Sensitive Position, was issued, there have been many policy updates.

The National Center for Credibility Assessment (NCCA) is going to transfer from Defense Intelligence Agency (DIA) to DCSA.

The Trusted Workforce 2.0 Executive Steering Group continues to meet monthly and to move the process forward. They continue to work on the policy construct for the next set of documents in the policy framework. There were three top level documents to implement and give direction to the Executive Agents (EA) and agency heads, including executive correspondence (EC) which was signed February 3, 2020. An important aspect of the EC is to drive early adoption for compliance with periodic reinvestigations through a continuous vetting program.

The federal core vetting doctrine was submitted for informal agency review. The core vetting doctrine was approved by the EAs to go to OMB June 22, 2020 for interagency review.

The EAs and the Performance Accountability Council (PAC) Program Management Office (PMO) hosted a meeting June 30, 2020 with ISOO and NISPPAC Industry members to further discuss the federal core vetting doctrine.

Devin Casey, ISOO, briefed on CUI. He stated Controlled Unclassified Information (CUI) Notice 2020-1 on Agency Reporting has a section which details the progress of agencies implementing the CUI program. ISOO expects to see most agency's CUI policies published and finalized. It describes the deadlines for implementation in order to help agencies as they are finalizing their implementation plans. Agencies are to report on the status of their CUI program by November 1, 2020.

CUI Notice 2020-02, Alternate Marking Methods, and CUI Notice 2020-03, Non-Disclosure Agreement Template for CUI have been published.

There is an effort to address the potential for insider threat in the CUI environment. The CUI office has collaborated with the National Insider Threat Taskforce, as well as OMB, on efforts to provide CUI understanding to the insider threat environment.

There is a CUI metadata standard, which is an optional practice that agencies can use to support their CUI programs. CUI has been working with the National Information Exchange Model (NIEM) to create a standard as well as a domain in the new NIEM architecture.

National Institute of Standards and Technology (NIST) 800-172, Enhanced Security Requirements for Protecting Controlled Unclassified Information, is out for public comment on the NIST website.

The CUI Federal Acquisition Regulation (FAR) projected comment period will be October - December, 2020.

Greg Pannoni, ISOO, briefed. Cost collection data's importance was explained. The goal is to have a mechanism in place for FY 21 to provide more appropriate and pertinent cost data relative to industry's expenses in implementing the NISP.

There will be the creation of a new working group to discuss NISP systems.

There will be an Insider Threat Working Group meeting within 45 days of the NISPPAC meeting.

Heather Green, DCSA, briefed next. There are Continuous Evaluation (CE) industry frequently asked questions (FAQs) available on the DCSA website.

Roy Jusino, GSA, briefed next. The exemption process that allowed the US government contractors to contact General Services Administration (GSA) was a temporary solution to fill the gap while contracting officers and US government contractors made the arrangements to order through the GSA global supply system. This process was discontinued September 2019 when it was discovered that a number of purchases were being made through third party businesses.

Michael Scott, Department of Homeland Security stated that they have been teaming and following with the DCSA and ODNI's input on getting fingerprints and package submissions.

SUMMARY OF ACTION ITEMS

- Industry to provide instances of delayed National Interest Determinations (NIDs)
STATUS: OPEN. Industry is submitting a Freedom of Information Act (FOIA) request for listing of foreign ownership control or influence entities in the NIDs.
- ISOO will convene a NISPPAC NID working group meeting with industry representatives. DCSA was going to address the challenges in the NID process.
STATUS: OPEN. Expect to be scheduled within 60 days.
- The Chair requested government and industry to provide metrics from the NID working group.
STATUS: CLOSED. There will be a separate Cognizant Security Agency (CSA) and Cognizant Security Office (CSO) working group so that the U.S. government can come together on some of the challenges of the NID process. This is closed due to duplicative action items (see first action item).

- Defense Counterintelligence Security Agency (DCSA) is still in process of internal and formal coordination of an Industrial Security Letter (ISL) on Insider Threat Program, which will replace ISL 2016-02.
STATUS: OPEN. It is in formal review at DCSA.
- Industry proposed to have another Insider Threat Working Group meeting in order to discuss the maturity of the insider threat program.
STATUS: OPEN. Expect to be scheduled within 60 days.
- Access to the Defense Information for Security System (DISS) by non-Department of Defense (DoD) agencies.
STATUS: CLOSED. Considered completed.
- There will be a meeting in August for industry and government to discuss ongoing issues with the National Industrial Security System (NISS).
STATUS: CLOSED. The meeting took place.
- Office of the Director of National Intelligence (ODNI) expects to host a meeting in the fall to discuss the state of the Trusted Work Force program to address the concerns of industry.
STATUS: CLOSED. Valerie Kerben, ODNI, addressed this issue during the meeting. They have met.
- ISOO requested DoD to take the issue of cyber assurance back to confirm what level of confidentiality, integrity, and availability for the NISP Contracts Classification System (NCCS) is or is planned to be.
STATUS: CLOSED. The Defense Logistics Agency (DLA) manages the systems accreditation for the Procurement Integrated Enterprise Environment (PIEE), on which NCCS resides as a module.
- DoD to provide an update on critical technology protection.
STATUS: CLOSED. DoD provided an update during the meeting on this topic.
- DCSA, was going to take an action item for the DCSA Enterprise Business Support Office (EBSO) to hold a stakeholder's group meeting.
STATUS: CLOSED.
- Bylaws are in the process of being modified which will allow the senior agency official to nominate a member.
STATUS: CLOSED. The motion was voted on and passed during the meeting.
- Industry requested that DCSA re-engage with industry on the relationship between the RISO (Risk-Integration Security Oversight) program, DiT (Defense in Transition), the Tailored Security Plan (TSP), and the Security Rating Score (SRS). There have been

misunderstandings throughout industry on the terminology, the process associated with these initiatives, and the relationship between these concepts and assessments.
STATUS: CLOSED. DCSA is revamping these programs and how they apply to industry.

Q&As from NISPPAC:

1) We have heard that if the NISPOM is not released within 3 months of the election, it will not be released until after. When is the anticipated release date? DoD is working through the process for the proposed NISPOM reissuance to also become a new federal rule since it has an impact on the public. Once a NISPOM federal rule is approved, then DoD can publish the NISPOM. We don't anticipate that occurring until sometime after November.

2) Is DCSA waiting on the release of the NISPOM before releasing the SEAD 3 ISL? The proposed ISL that includes implementation of SEAD 3 reporting requirements will not be issued until the NISPOM reissuance and rule have been published.

3) There are currently five draft ISLs. Where are they in the process?

SEAD 3 ISL: still in draft; received NISPPAC feedback, will not be issued until after NISPOM reissuance/rule approval/publication

Insider Threat ISL: with DCSA OGC.

DISS ISL: The DISS ISL is being processed

Evaluated Product List: with DoD OGC

Top Secret Accountability: with DoD OGC

4) DCSA continues to speak of lowering their eQIP inventory by improved timelines but we're seeing more eQIPS timeout/terminate while they are pending review by VROC. What is DCSA doing to mitigate these issues? The most common eQIP timeouts is no receipt of Subject fingerprints and rarely the eQIP is placed into processing for PR deferment and inadvertently times out. VROC will be engaging with Industry partners to review a sampling of cases, identify any additional systemic issues and develop appropriate solutions.

5) DCSA spoke about processing interim eligibilities within 5 days of receipt but I've had several pending for over 30 days. So, is the 5 days an estimate or an actual count? On average, over 90% of interims eligibilities are processed within 5 days of receipt. Timely receipt of fingerprints is a critical component to ensure VROC obtains the necessary information to make an interim eligibility determination.

NISPPAC Attendance

Abelli, Rudolph	Backhus, Annie	Borrero, Rosie
Abrams, Nikki	Bailey, Benny	Bosch, Lucas
Adissu, Mekdes	Baker, Mathew	Botteicher, Joshua
Ahad, John	Barrett, LaToshia	Boyd, Cameron
Akers, Lynetta	Bass, Michael	Bradley, Mark
Akers, Randy	Bastien, Addie	Bradshaw, Wynter
Albenesius, Cassandra	Bauer, Laura	Brady, Dennis
Alexander, Christine	Baugher, Kim	Brand, Sandra
Alford, Jeanette	Beane, Karin	Brandt, Richard
Alfrido, Sydney	Beasley, Rockie	Brannon, Shivonne
Allen, Angelica	Belikoff, Michael	Bray, Cappy
Altounian, Laura	Bellofatto, Nathalie	Brisson, Brad
Ambrose, Zorica	Bentley, Chris	Britt, Martin
Anderson, James	Berhalter, Teresa	Broadie, Constance
Anthony, Christopher	Berry, Kathleen	Brooks, Karen
Arffman, Kathryn	Berta, Roselita	Brouse, Tim
Argueta, Danny	Biafore, Anthony	Broussard, Derrick
Argumedo, Lori	Biddix, Kaitlyn	Brown, Jennifer
Armstrong, Shane	Bland, Booker	Brown, Shannon
Arnold, Spencer	Blauch, Dana	Brown, Sheryll
Arriaga, Dennis	Bodrick, Detra	Brown, Stephanie
Asey, Christine	Booker, Patrick	Browne, Lamont
Ayoub, Diane	Boone, Rebecca	BruceIacobucci, Erin
Bachman, Timothy	Borgia, Stan	Brumfield, Lisa

Bruzzese, Tracy	Chambers, Nadia	Condo, Janice
Bryan, Karen	Chambers, Steven	Connelly, Michael
Budd, Rita	Champion, Mariellen	Cook, Surena
Burgwald, Shawn	Chappell, Curtis	Cooper, Nicole
Burney, Kasandra	Chase, Krista	Couts, Dustin
Burns, Lynn	Chavez, Steven	Cowans, Phyllis
Burnsides, Christine	Childress, Makebah	Crabtree, Misty
Busbee, Mark	Chiocchio, Gina	Crickenberger, Joy
Byland, Sandy	Christian, Laurie	Criddle, Pamela
Callier, Jewel	Chupka, Matt	Crislip, Cindy
Calloway, Nicole	Chvotkin, Alan	Crissman, Jill
Calloway, Victor	Cimpo, Mirna	Croke, Mark
Canerib, Tiffany	Clader, Heather	Crossley, Patricia
Cardella, Thomas	Clagett, James	Crouch, Alan
Carlson, Ryan	Clapp, Julie	Cummings, Sean
Carnaghi, Suanne	Clark, Christen	Cunningham, John
Carney, Jacqueline	Clark, Jerry	Curcic, Odeyra
Cartagena, Yvette	Clark, Joel	Dagney, Susan
Carter, Gari	Clark, Kimberly	Dahle, Nissa
Caruso, Christopher	Clasen, Melissa	Daniels, Jordan
Casele, Joseph	Clifford, Debra	D'Anthony, Stacey
Casey, Devin	Cofield, Spencer	David, Kim
Cashin, Joseph	Cohen, David	Davidson, Bill
Cassidy, Kerry	Coleman, Johnathan	Davis, Delores
Cavano, Jeffrey	Collo, Robin	Davis, Glynn

Davis, Robert	Dupnak, Michael	Fonville, Michele
Davis-Pickett, Heather	Eddins, Kristina	Fooks, DeLante
Dawson, Michelle	Edington, Mary	Fowler, Pat
Dawson, Steven	Edmonds, Tracy	Fox, Scarlet
Deabler, Angela	Edwards, Kimberly	Freeman, Lisa
Dean, Mary	Eisenberger, Steven	Fulco, Joseph
Deck, Robert	Elsmore, Lindsay	Fuller, Jessica
Delgado, Olga	Engholm, Kristy	Fulton, Christal
Delgado-Bjornell, Fabrienne	Escobedo, Robert	Gabeler, Jennifer
Demers, Michael	Evans, Anthony	Gaffan, Julie
Denegal, Robert	Ewing, John	Gamble, Felicia
DeRamus, William	Faber, Danielle	Garcia, Orlando
Derr, Dominique	Fadden, Geraldine	Garcia, Vincent
DeWaal, Tamara	Faller, Michael	Garden, Sheila
Dewenter, David	Fallica, Bill	Gardner, Kelly
Diggs, Brenda	Fant, Elizabeth	Gates, Casey
Dinkel, Jane	Farrell, Shanon	Gaymon-Hepler, Rutha
Dixon, Janet	Faulcon, Jason	George, Kelly
Dixon, Jay	Fell, Rob	George, Virginia
Dondlinger, Sharon	Fenger, Joel	Ghannam, Sam
Doubleday, Justin	Fidler, Beth	Gibbs, Katrina
Douglas, Jack	Finklea, Anthony	Gillespie, Fannie
Dronsfield, Steven	Fisher, Darci	Gladden, Ted
Dubois, Suzanne	Flaherty, Kevin	Gladstone, Gary
	Flowers, Justin	Glassic, Scott

Gonchar, Cole	Hamilton, Jill	Hight, Dorothy
Goodwin, Ryan	Hamilton, Pamela	Hilt, James
Gorrick, Casey	Harding, Anthony	Hinds, Charles
Gorski, Amanda	Harkema, Scott	Hodge, Henry
Gotzler, Cathy	Harlow, Felicia	Hodge, Marie
Gouveia, Jill	Harne, Joseph	Hodges, Hope
Graham, Matthew	Harney, Bob	Hogan, Patrick
Green, Heather	Harris, Daniel	Hogan, Susanne
Green, Michael	Harris Pagán, Heather	Hogan, Teresa
Greene, Gus	Harrison, Braden	Hojnacke, Mark
Gribble, Gene	Hart, Roeshell	Holderman, David
Grimes, Daniel	Hawk, Jason	Hollandsworth, Matthew
Grinnell, Matthew	Hawthorne Sr, Michael	Holt, Tiffany
Gross, Samuel	Hazelton, Lourdes	Holz, William
Grossman, Amy	Heaton, Pam	Hommer, Mike
Grumbles, Jennifer	Heil, Valerie	Howell, Mark
G, Felicia	Helton, Alicia	Huber, Donna
Gunning, Christine	Hemingway, Tamara	Hudson, Stephen
Haas, Victoria	Henderson, Alexis	Hughes, Kimberly
Hagood, Kenneth	Henderson, Kaila	Hunter, Perry
Haire, Tamara	Henderson, William	Hunter, Yvonne
Haley, Rene Hall, Brent	Herbert, James	Hutcheson, Amy
Hall, Shannon	Hernandez, Niketta	Hutchinson, Selma
Hallman, Wesley	Hernandez, Paul	Hutchison, Kevin
	Hidle, Tamara	Hynes, Tim

Idriss, Biniam	Kamilova, Kamilya	Krebs, Dale
Ike, James	Kaohi, Catherine	Kuehne, William
Jackiewicz, Johnny	Karl, Danielle	Kuhns, Tiffany
Jackson, Jennifer	Kay, Jasmine	Kyzer, Lindy
Jackson, Sonja	Keesee, Melissa	Laboda, Anthony
Jackson, Todd	Keller, Bobby	LaFreniere, Annette
Jackson-Williams, Pamela	Keller, Katie	Lamb, Latonya
Jeffris, Mary	Kerben, Valerie	Lamps, Jeremy
Jenkins, Chris	Khajehali, Collette	Lamson, Molly
Jensen, Dan	Kierstead, Zach	Landrieu, Neal
Jessen, Thomas	Kim, YuJin	Laurino, Donna
Jetton, Calvin	Kindle, Tracy	Lavallee, Stacy
Joe, David	King, Anthony	Lawrence, Mitch
Johnson, Joey	King, Christyne	Laws, Tina
Johnson, Kevin	King-Ratzel, Betty	Lawson, Pamela
Johnson, Krystal	Kinsella, Kerry	Leach, Vanessa
Johnston, Amanda	Kirby, Jen	Leadbeater, Holly
Johs, Brandon	Kispert, Alice	Lee, Amy
Jolley-Jones, Pamela	Klem, Jeremy	Lee, Jessica
Jones, Amy	Klink, Carolina	Lee, Theresa
Jones, Kenneth	Knorr, Paul	Leisinger, Carmen
Jones, Quinn	Knox, Daniel	LeSesne, Mary
Joyner-Bowser, Karon	Konecnik, Michelle	Lessels, Robert
Juni, Daniel	Koslow-Verdi, Alison	Levasseur, Nicholas
Justino, Roy	Kraus Jr., Joseph	Levy, Isabelle

Lewis, Stephen	Martinez, Hazel	Miller, Lori
L'Heureux, Ann Marie	Mason, Michael	Miller, Susan
Lilje, Robert	Matchett, Noel	Mills, Latasha
Lindsey, Joseph	Matthews, Tatiana	Minard, Keith
Litscher, Theresa	Matthews, Will	Minard, Verna
Lo, Chuepheng	Maxfield, Talia	Mitchell, Adam
Londregan, Michael	Mayercin, Elizabeth	Mitchell, Bruce
Lowe, Raveen	Mazanec, Jeffrey	Mittleman, Elaine
Lowery, Tony	McDonald, Chante	Monroe, Daniel
Lowy, David	McGarvey, Daniel	Moody, Kelly
Lupo, Tracy	McGill, Gregory	Moore, Christopher
Lutz, Stephanie	McKearney, Dennis	Moore, Kathleen
Ly, Dan	McKenna, Sean	Moore, Kim
Lydick, Corey	McKinnon, Shanna	Morris, Raymond
Macey, Carmen	McLeod, Donna	Morrison, Robert
Mackey, Brian	McLeod, Risa	Morton, Tracy
Mackey, Claudia	McMillian, Toni	Mosher, Leandra
Maguire, Christopher	Mcnicol, Lindsey	Moshos, Phyllis
Malbone, Nicole	Measures, Lisa	Moss, Leonard
Malone, Paul J	Medina-Creel, Tina	Murphy, Evelyn
Manders, April	Mencin, Brett	Nance, Cyndi
Mannucci, Edal	Menier, Natasha	Nane, Amy
Manson, Robert	Merino, Daryl	Neff, Kevin
Marino, Craig	Miller, Curtis	Nelson, Judy
Marriam, Michelle	Miller, David	Nemeth, Stephen

Neumann-Suzick, Maryanne	Pannoni, Greg	Porter, Barbara
Newkirk, Randy	Parker, Andrew	Porter, Lewis
Newman, Keith	Parr, Doris	Postlw, Steven
Newsom, Donna	Parrish, Anastasia	Powell, Johnny
Nguyen, Camtu	Parrish, Edward	Prelich, Michael
Niccum, Troy	Pashoian, Norman	Prevost, Jacquelyn
Nickel, Robin	Paxton, Larry	Price, Letricia
Norman, Wayne	Payton, Brian	Pritchard, Gregory
Norton, Brian	Pearson Lloyd, Laurel	Pronto, Jason
Nunley, Anne	Pekrul, Mark	Pruitt, Robert
Nunn, SeKitha	Peritore, Chad	Purcell, Dee
O'Boyle, Thomas	Perryman, Susan	Pyles, Larry
Oehler, Michael	Persinger, Jon	Quarles, Darren
Oesterling, Shelly	Peters, Michelle	Quinley, Erica
Ogrysko, Nicole	Peters, Monica	Ramer, Cindy
Olson, Andrew	Peters, Tim	Ramirez Perez, Yamirka
Omo, Stacey	Pettengill, Chantel	Ramsay, Chad
Oppenhagen, Christine	Phan, Hung	Rayburn, Richard
Ososkie, Charles	Pherson, Katherine	Raynor, Dianne
Owen, Victor	Philbeck, Damon	Reardon, Amy
Paler, Jacob	Phillips, Earl	Reding, David
Palmar, Jose	Pierce, Andrew	Reese, Wesley
Palmer, Ben	Pilato, Tobe	Reid, Garry
Palmer, Stephenie	Pittman, Michael	Reidy, Lisa
	Pleasanton, Patricia	Rempfer, Eleanor

Renz, Daniel	Saavedra, Gina Lee	Shedlock, Heather
Renzella, Allyson	Sabre, Lisa	Sheeley, Denny
Revy, Lisa	Sanchez, D. Giselle	Shell, Rosemarie
Ricci, Cheryl	Sanders, Patricia	Shimamura, Judy
Rivera, Rene	Sandford, Sheila	Shoaf, Micah
Rivers, Isaiah	Sargent, Patrick	Shrieves, Sean
Robinson, Carla	Say, Tracy	Silva, Mellissa
Robinson, Myles	Sayles, Brad	Silveira, Cynthia
Robinson, Stephen	Saylor, Julie	Simonich, Stephanie
Robledo, Sammy	Scheid, Melissa	Sims, Heather
Roche, Matthew	Schindler, Brittany	Sims, Taniesha
Rollins, Torin	Schneider, Scott	Sipes, Victoria
Romandelvalle, Shala	Schoch, Catherine	Sjodahl, Debbie
Rose, Anna	Schultz, Joe	Smith, Berette
Rosera, Steve	Scott, Barbara	Smith, Crystal
Ross, John	Scott, Christopher	Smith, Laura
Roswal, Kimberlee	Scott, Kelly	Smith, Melanie
Rousseau, Kelly	Scott, Michael	Smith, Preston
Rowen, Michael	Scott, Yvette	Smith, Teane
Rudolph, Sabita	Scott III, Robert	Smith, Vicki
Ruiz, Omari	Scovel, Yen	Smith, Wes
Rush, Mark	Sease, James	Sobecki, Joseph
Russell, Jeannie	Seiler, Jason	Sokoloski, Lynn
Russo, Lawrence	Sharp, Mabel	Solorzano, Mary
Ryan, Marc	Shaw, Rudy	Somers, Easton

Sowa, Robert	Sumter, Natasha	Torres, Greg
Sowell, Charles	Sutphin, Michelle	Torres, Marlene
Speace, Garrett	Swain, Sheree	Tringali, Robert
Spencer, Chuck	Swindell, Jennifer	Tucker, Cynthia
Sperling, Andrea	Taft, Dianne	Tucker, Raquel
Spinnager, Jeff	Talaro, Arlene	Turner, Shawntelle
St. Pierre, Debra	Talbott, Christine	Ty, Emmanuel
Stacey, Bron	Tate, Charles	VanHook, Donna
Stafford, Ron	Taube, Nathaniel	Vargas, Angel
Stallings, Steven	Taylor, Kathryn	Vaughan, Tom
Stanley, Terence	Taylor, Scott	Vigil, Mike
Stapleton, David	Teachout, Mary	Villaronga, Jose Luis
Stead, Alex	Teemley, Kate	Voss, Stephen
Stehlik, Terry	Teets, Amy	Wagner, Rebekah
Steinbuch, Michael	Terry, Mark	Waldrip, Kerry
Steinke, Sue	Theriault, Jason	Walsh, Justin
Steinour, Jason	Thibault, Crystal	Walthour, Crystal
Stephens, Chris	Thomas, Melissa	Ward, Amanda
Stephens, Tod	Thomas, Nina	Warren, Mike
Stewart, Shauna	Thornton, Courtney	Washington, Reginald
Stine, Olivia	Tiffée, Brad	Watts, Tameka
Stolkey, Christopher	Tiger, Kimberly	Weakley, Kathy
Stone, Cheryl	Timmons, Katie	Weatherby, Bradley
Straub, Diane	Tolerson, Thomas	Webb, Patrick
Stull, Sarah	Torgerson, Sara	Webster, James

Weeks, Jeannine

Werkheiser, Kristen

Weyrauch, Richard

Wheeler, William

White, Jack

Whitaker, Mark

Wiegmann, Kimberly

Wilkey, Aaron

Williams, Kelly

Williams, Jennifer

Williams, John

Williams, Maurice

Williamson Dethridge,
Connie

Wills, Tarkeisha

Wilson, Denise

Winton, Tracy

Witherow, Leneda

Woldridge, Marya

Wolf, Tara

Womer, Deborah

Wood, Delvin

Wood, Lemy

Woodard, Teresa

Woodfolk, Torri

Woodruff, Patricia

Woods, Christine

Woolsey, Wailohia

Wright, Paula

Yambrek, Leo

Yeow, Kim

Young, Carlos

Young, Debbie

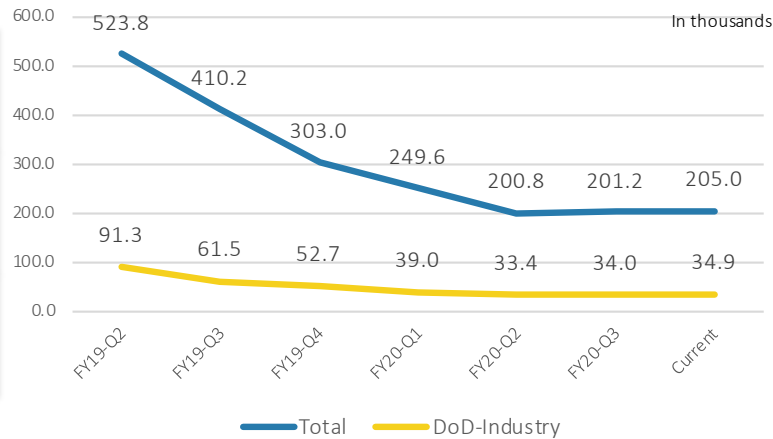
ATTACHMENT 1

DCSA PV INVESTIGATIONS/ADJUDICATIONS | Industry



INVESTIGATION

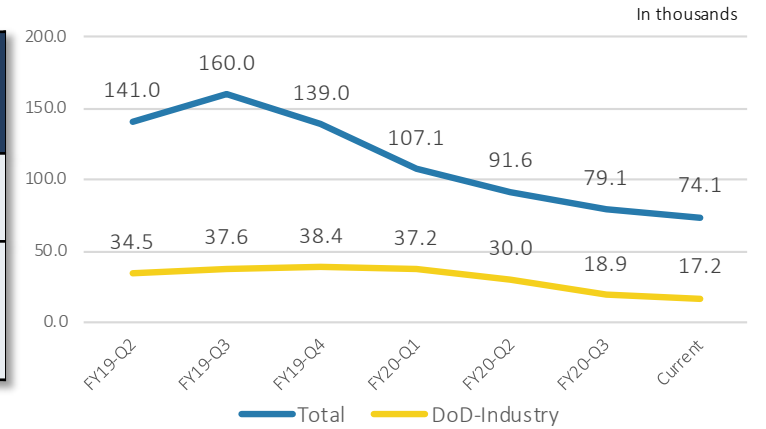
CURRENT INVENTORY	
All DCSA Customers	205.0K
Industry Only	34.9K



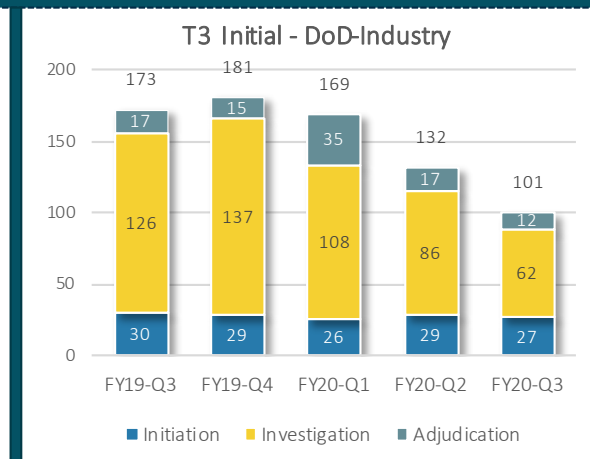
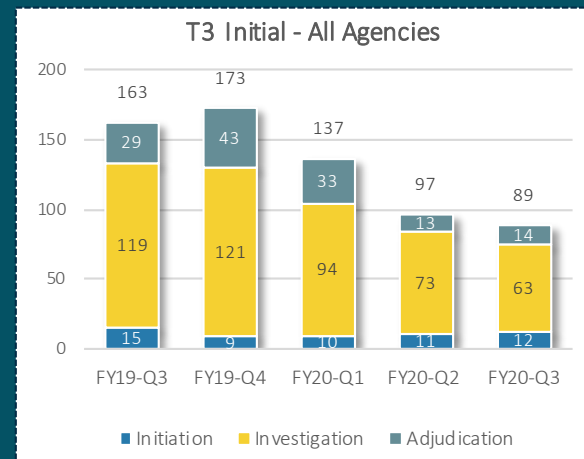
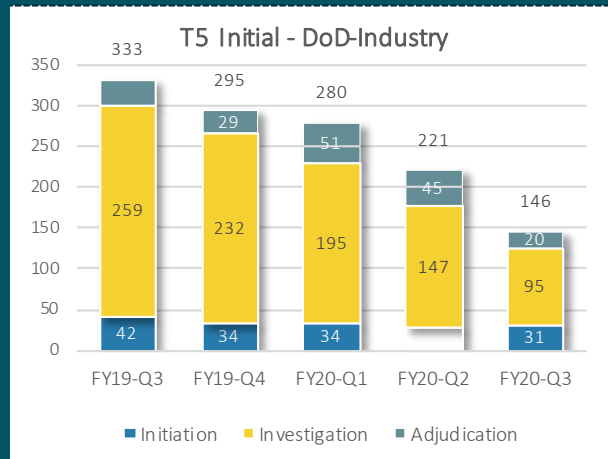
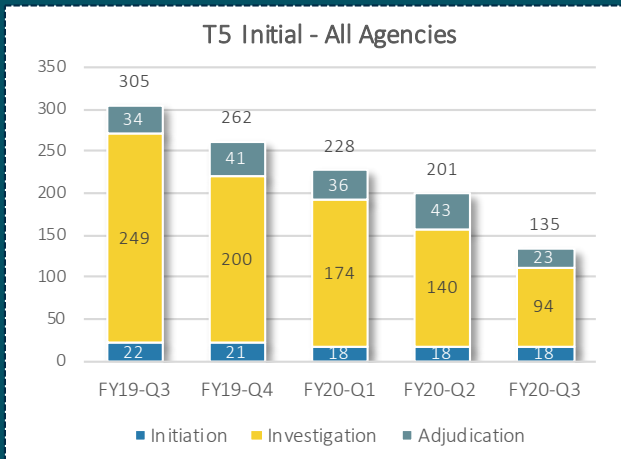
ADJUDICATION

DoD CAF Only

CURRENT INVENTORY	
CAF All	74.1
Industry Only	17.2



END-TO-END TIMELINESS (Fastest 90% of adjudicated investigations in days)



DCSA PV INDUSTRY PSI-I INFO | CONTINUOUS EVALUATION



FY20 PSI EXECUTION



130k

Requests for Investigations Processed

5 days

Interim Security Clearance
Determinations Timeliness

844k

NISP Contractors With Clearance Eligibility



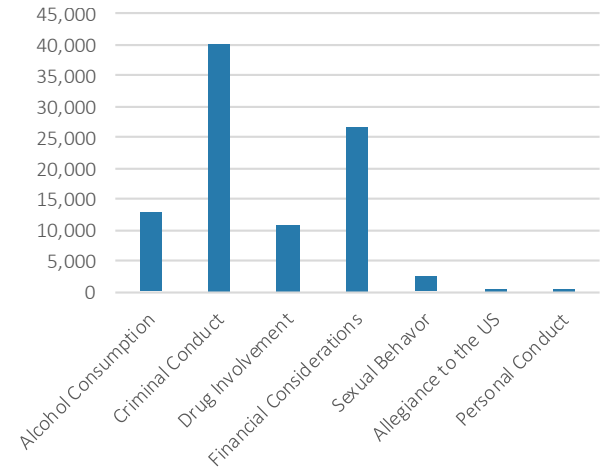
~2.2 mil

Total Subjects Enrolled in CE

~455,000

Industry Subjects Enrolled in CE

CE FY20 Valid Alert by Guideline



DISS WAY FORWARD

When Should I Get a DISS Account?

Reminder

- **Now!!!** You must send the entire PSSAR packet to the following email address: dcsa.dcsa-northern.dcsa-dvd.mbx.diss-provisioning@mail.mil
- For the most up to date provisioning instructions, and additional guidance/tips for when you log in, please visit the DCSA website at <https://www.dcsa.mil/is/diss/>

**Early Detection
and Risk Mitigation**

Secret: 7yr

1mo

TS: 2yr 7mo

before next PR due to begin

87,803

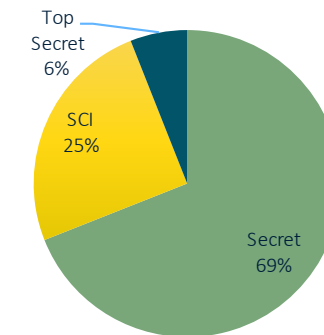
Industry PRs Deferred
into CE to Date

6%

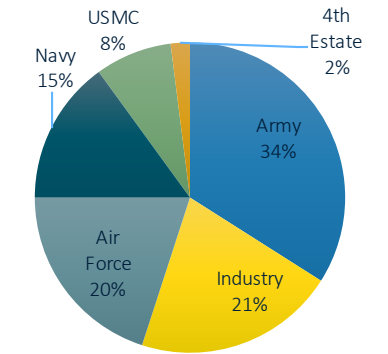
CE Alert Rate

Rate of CE Alerts Received

Population by Eligibility



Population by Department



ATTACHMENT 2

Workload & Timeliness Performance Metrics

Nuclear Regulatory Commission

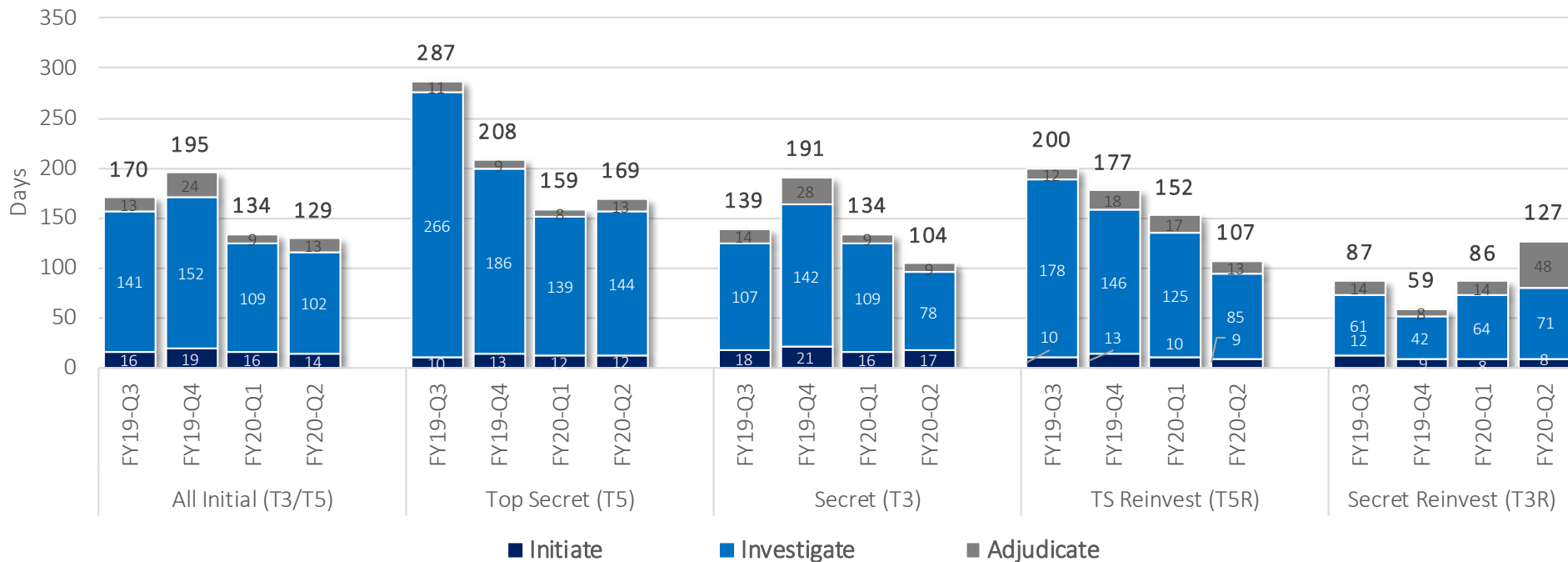
DEFENSE
COUNTERINTELLIGENCE
AND SECURITY AGENCY



Quarterly NRC Timeliness Performance Metrics

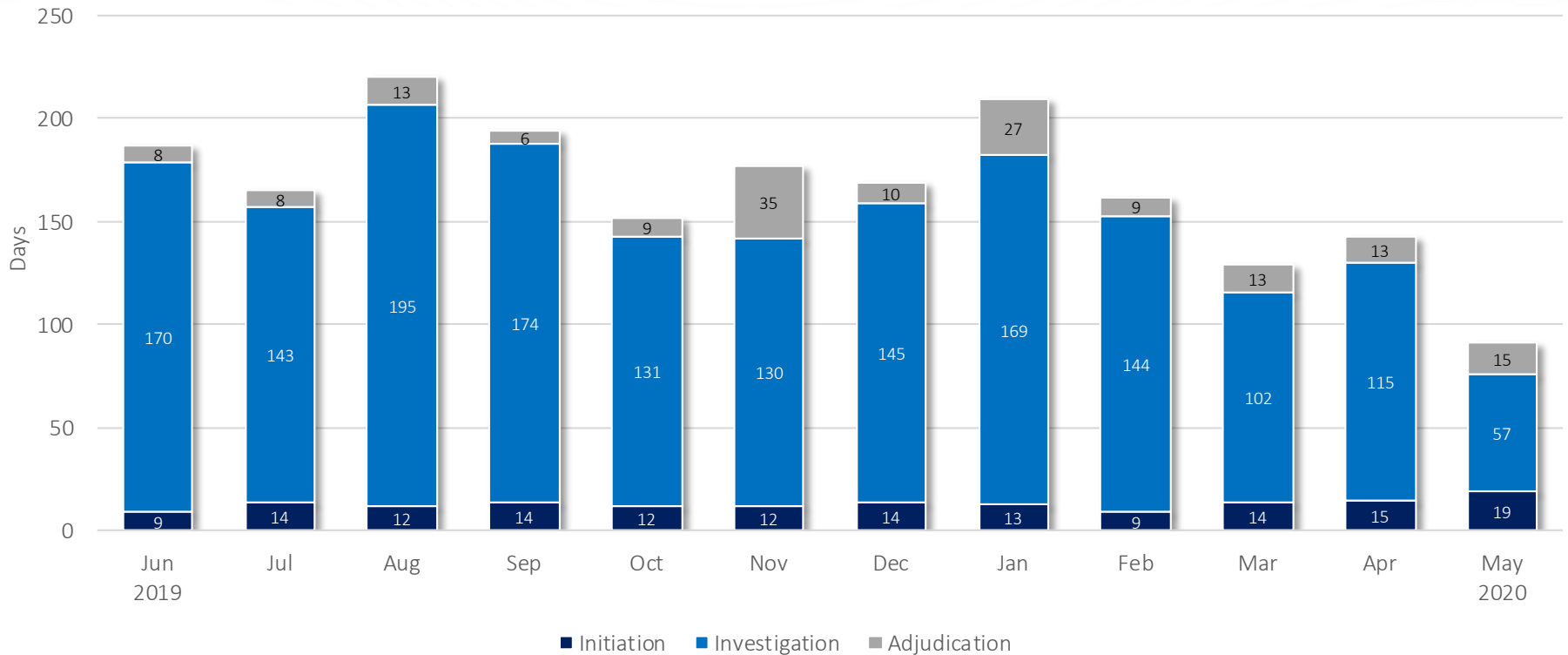


Average Days for Fastest 90% of Reported Clearance Decisions Made



	All Initial	Top Secret	Secret/ Confidential	Top Secret Reinvestigations	Secret Reinvestigations
Adjudication actions reported – 3 rd Q FY19	127	29	98	49	66
Adjudication actions reported – 4 th Q FY19	104	23	81	62	85
Adjudication actions reported – 1 st Q FY20	98	13	85	53	46
Adjudication actions reported – 2 nd Q FY20	117	49	68	52	47

Monthly Timeliness for Fastest 90% of Initial Top Secret (T5) Security Clearance Decisions



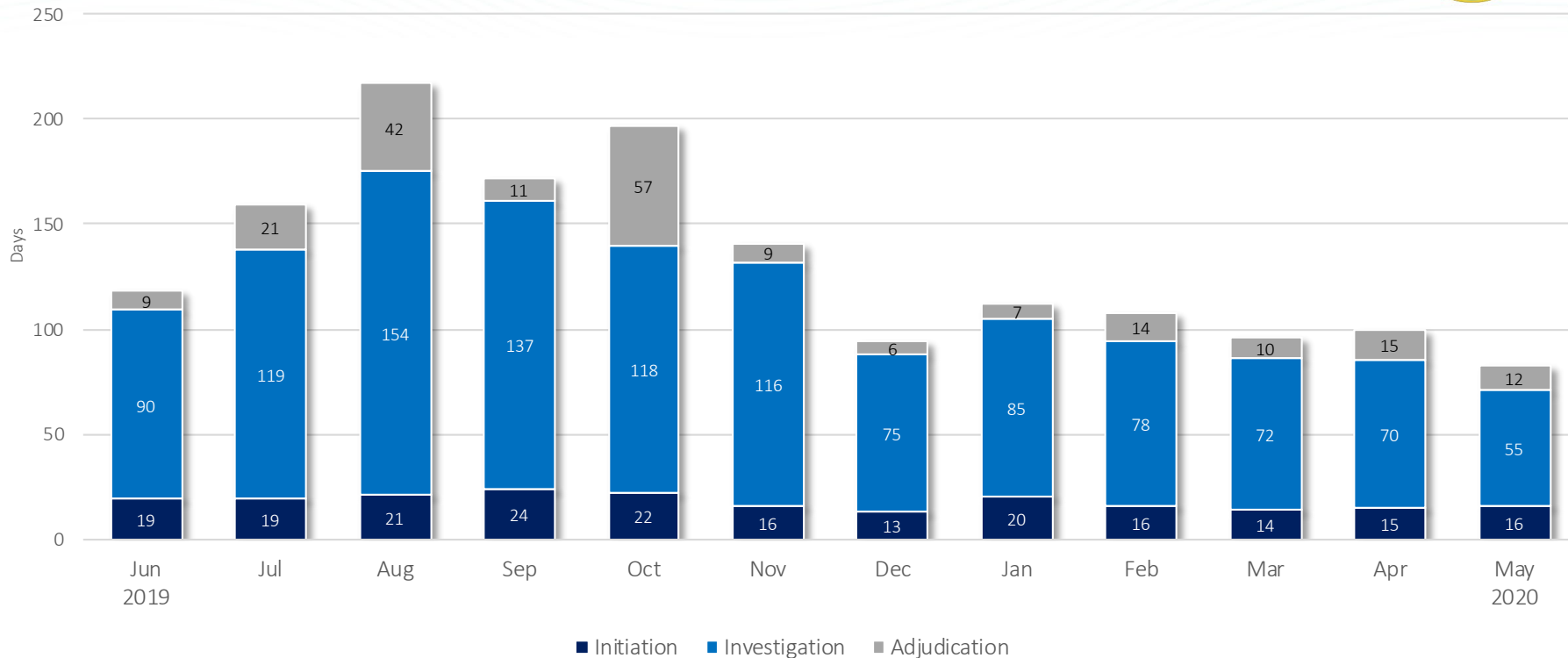
GOAL: Initiation – 14 days

Investigation – 80 days

Adjudication – 20 days

	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	9	6	7	10	3	5	5	20	16	13	9	3
End-to-End Timeliness (Fastest 90%)	187 days	165 days	220 days	194 days	152 days	177 days	169 days	209 days	162 days	129 days	143 days	91 days

Monthly Timeliness for Fastest 90% of Initial Secret (T3) Security Clearance Decisions



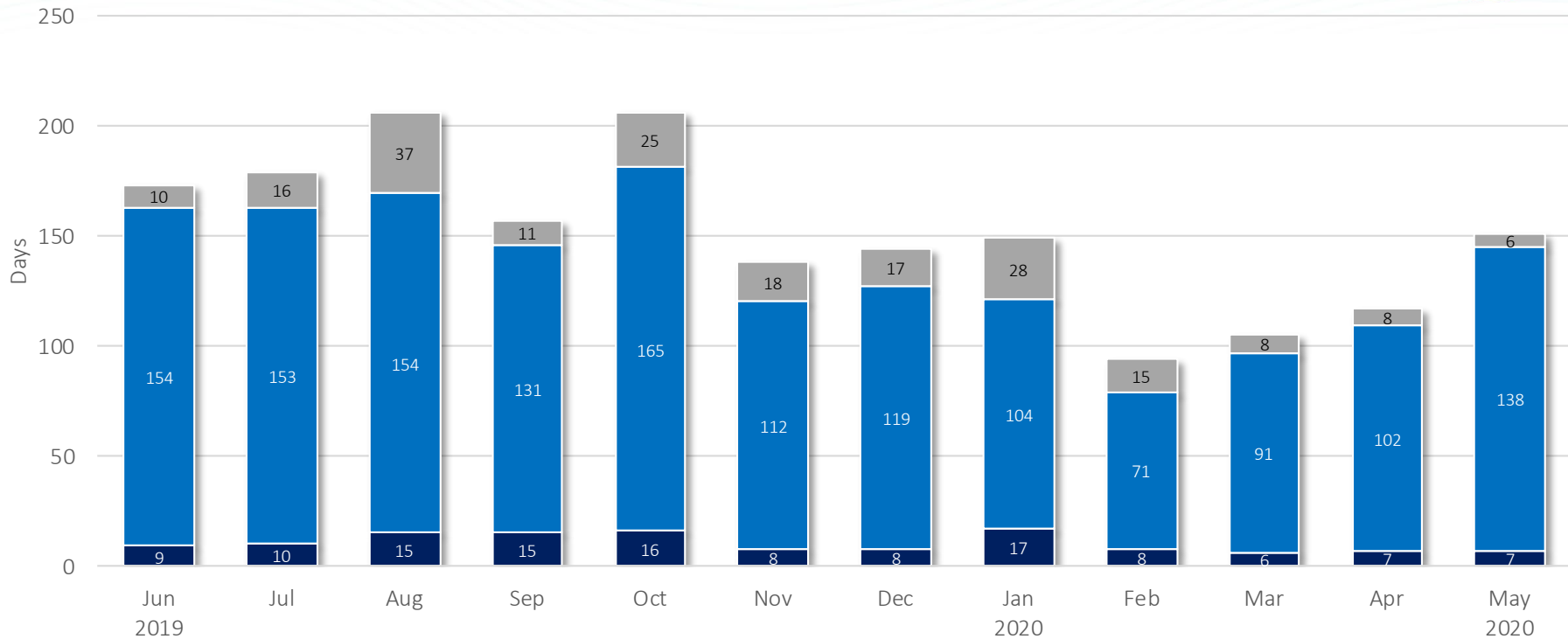
GOAL: Initiation – 14 days

Investigation – 40 days

Adjudication – 20 days

	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	38	16	43	23	28	27	30	23	28	18	45	28
End-to-End Timeliness (Fastest 90%)	118 days	159 days	217 days	172 days	197 days	141 days	94 days	112 days	108 days	96 days	100 days	83 days

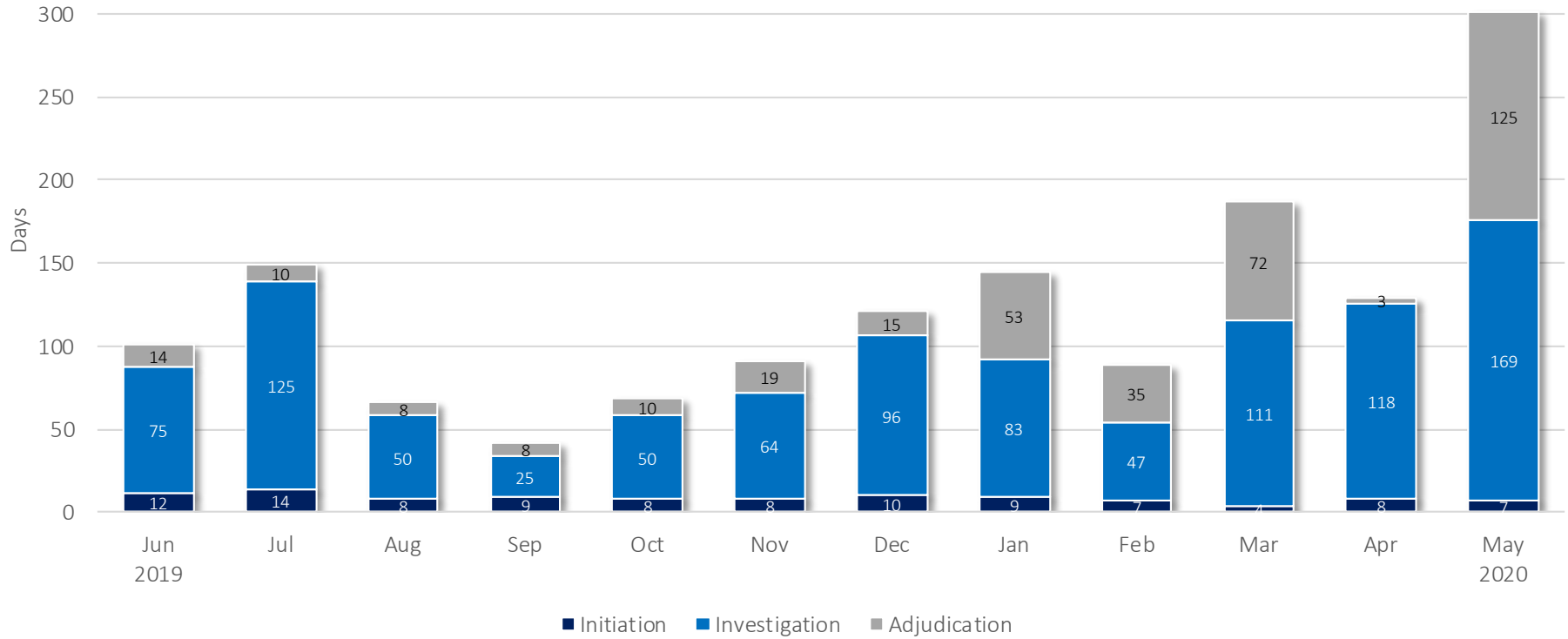
Monthly Timeliness for Fastest 90% of Top Secret Reinvestigation (T5R) Security Clearance Decisions



■ Initiation ■ Investigation ■ Adjudication
GOAL: Initiation – 14 days Investigation – 150 days Adjudication – 30 days

	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	17	17	25	20	14	25	14	11	17	24	23	9
End-to-End Timeliness (Fastest 90%)	173 days	179 days	206 days	157 days	206 days	138 days	144 days	149 days	94 days	105 days	117 days	151 days

Monthly Timeliness for Fastest 90% of Secret Reinvestigation (T3R) Security Clearance Decisions



	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	18	10	33	42	21	14	11	32	13	3	3	7
End-to-End Timeliness (Fastest 90%)	100 days	149 days	66 days	42 days	68 days	91 days	121 days	145 days	89 days	187 days	129 days	301 days

ATTACHMENT 3

Workload & Timeliness Performance Metrics

Dept. of Energy

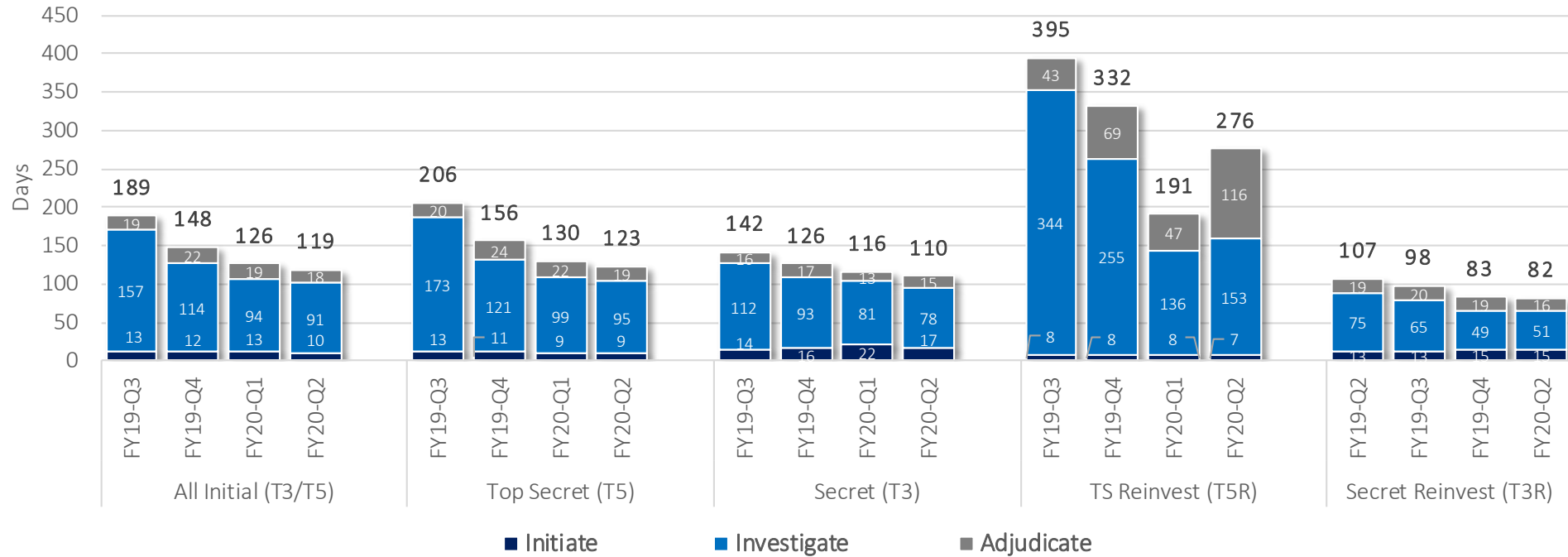
DEFENSE
COUNTERINTELLIGENCE
AND SECURITY AGENCY



Quarterly DOE Timeliness Performance Metrics

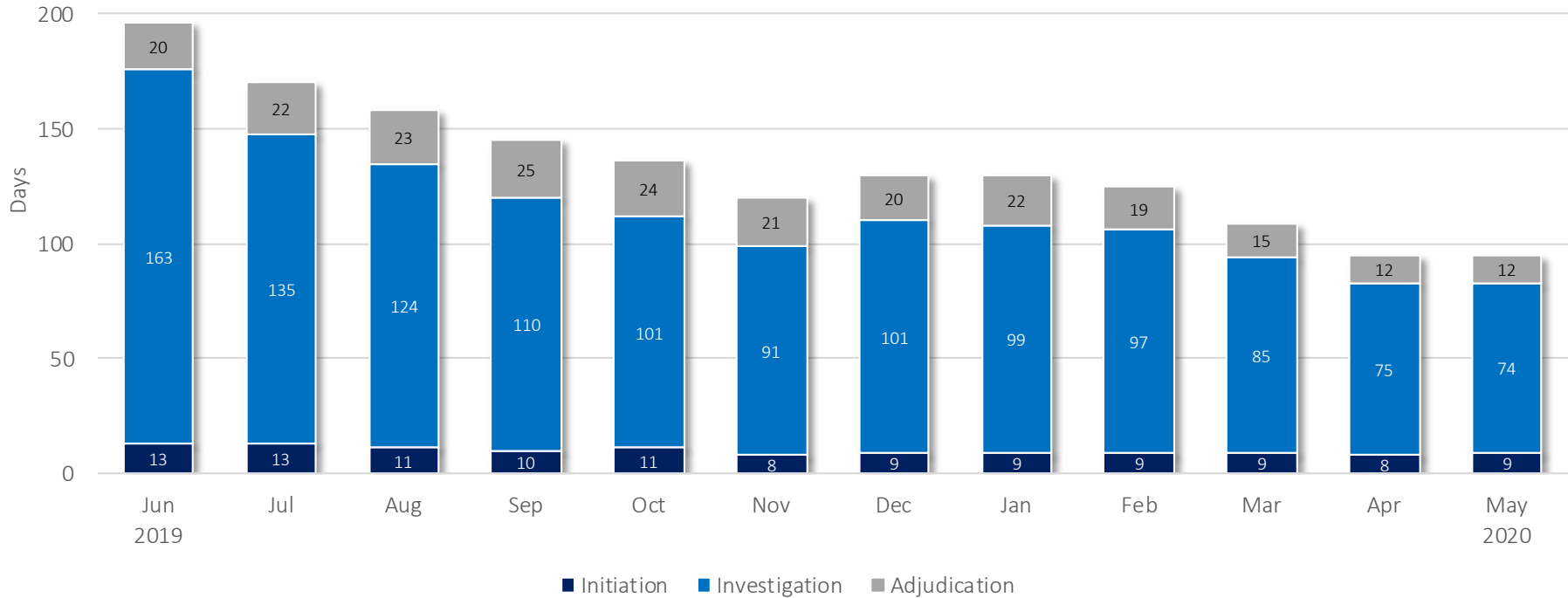


Average Days for Fastest 90% of Reported Clearance Decisions Made



	All Initial	Top Secret	Secret/ Confidential	Top Secret Reinvestigations	Secret Reinvestigations
Adjudication actions reported – 3 rd Q FY19	3,418	2,669	749	4,582	606
Adjudication actions reported – 4 th Q FY19	3,773	2,900	873	4,067	699
Adjudication actions reported – 1 st Q FY20	3,358	2,412	946	3,041	494
Adjudication actions reported – 2 nd Q FY20	3,376	2,719	657	3,741	350

Monthly Timeliness for Fastest 90% of Initial Top Secret (T5) Security Clearance Decisions



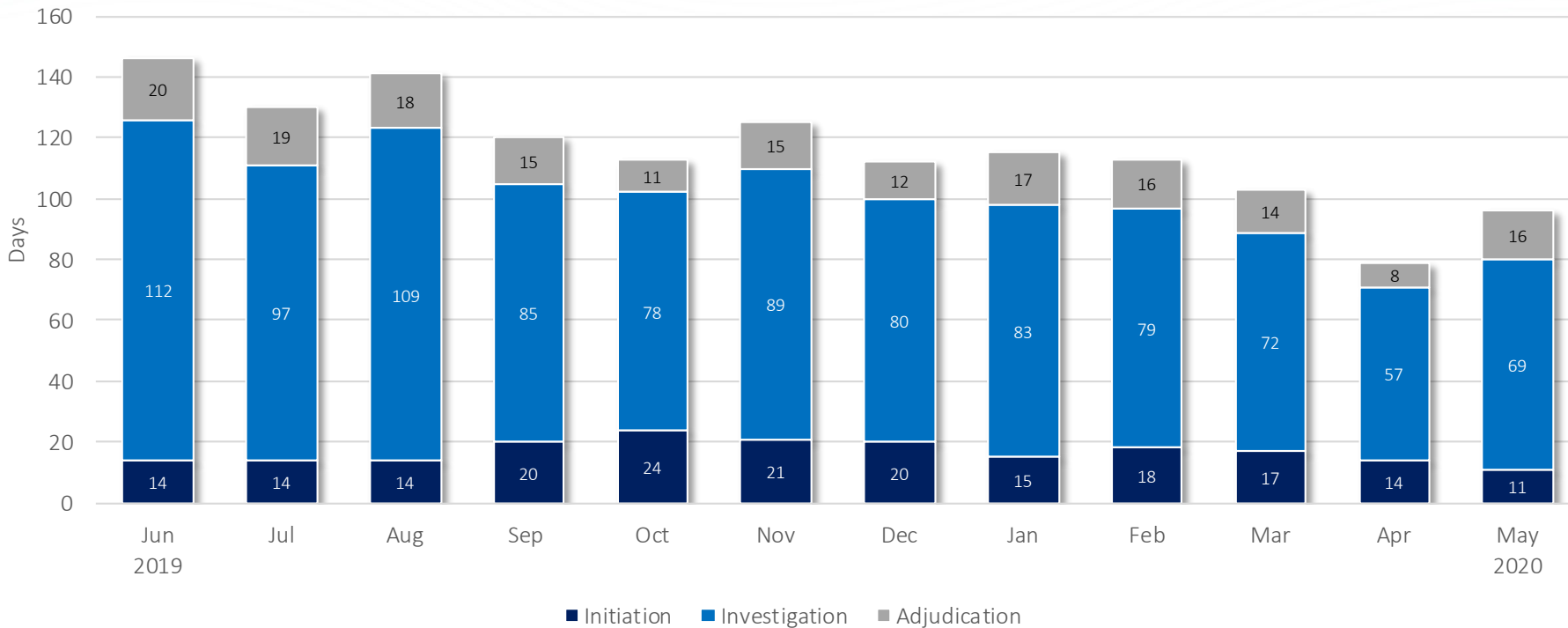
GOAL: Initiation – 14 days

Investigation – 80 days

Adjudication – 20 days

	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	825	924	918	925	852	760	748	1,039	913	760	633	545
End-to-End Timeliness (Fastest 90%)	196 days	170 days	158 days	145 days	136 days	120 days	130 days	130 days	125 days	109 days	95 days	95 days

Monthly Timeliness for Fastest 90% of Initial Secret (T3) Security Clearance Decisions



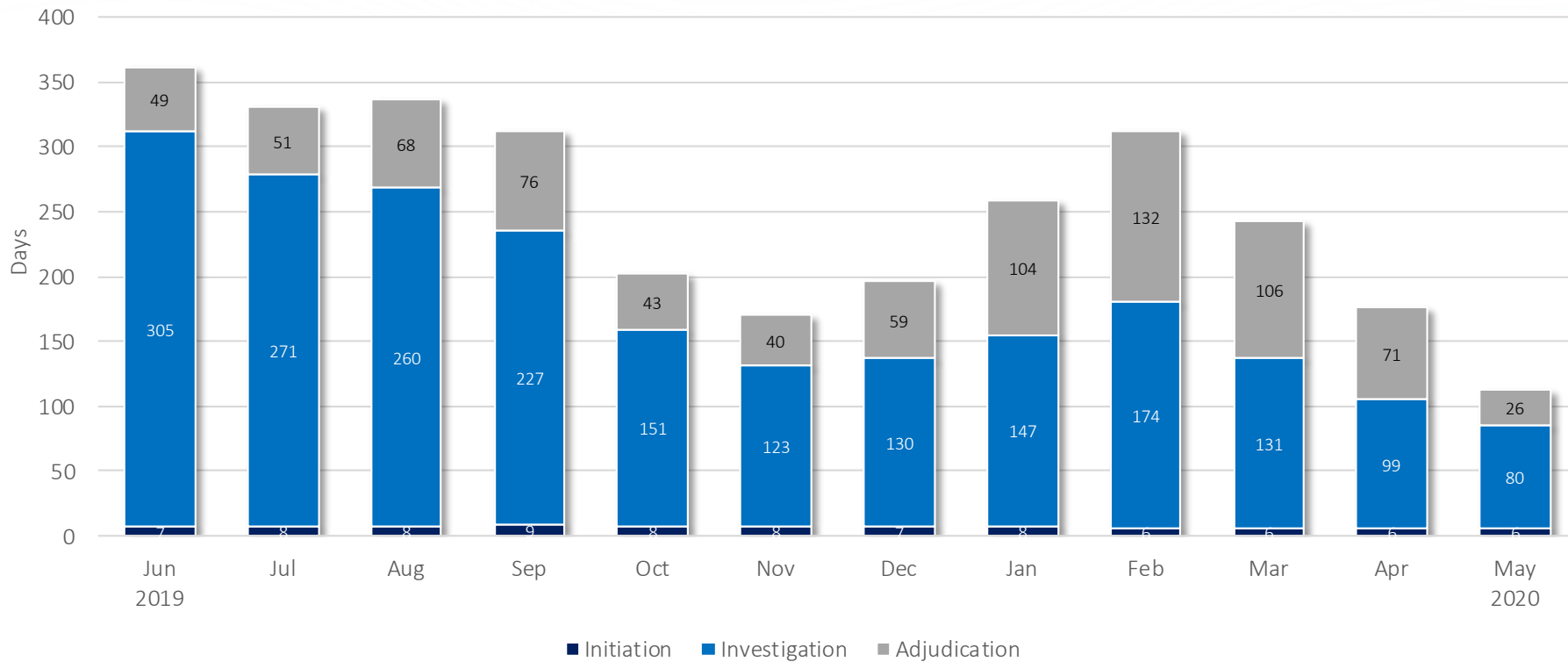
GOAL: Initiation – 14 days

Investigation – 40 days

Adjudication – 20 days

	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	191	274	224	348	364	268	312	259	203	105	195	112
End-to-End Timeliness (Fastest 90%)	146 days	130 days	141 days	120 days	113 days	125 days	112 days	115 days	113 days	103 days	79 days	96 days

Monthly Timeliness for Fastest 90% of Top Secret Reinvestigation (T5R) Security Clearance Decisions



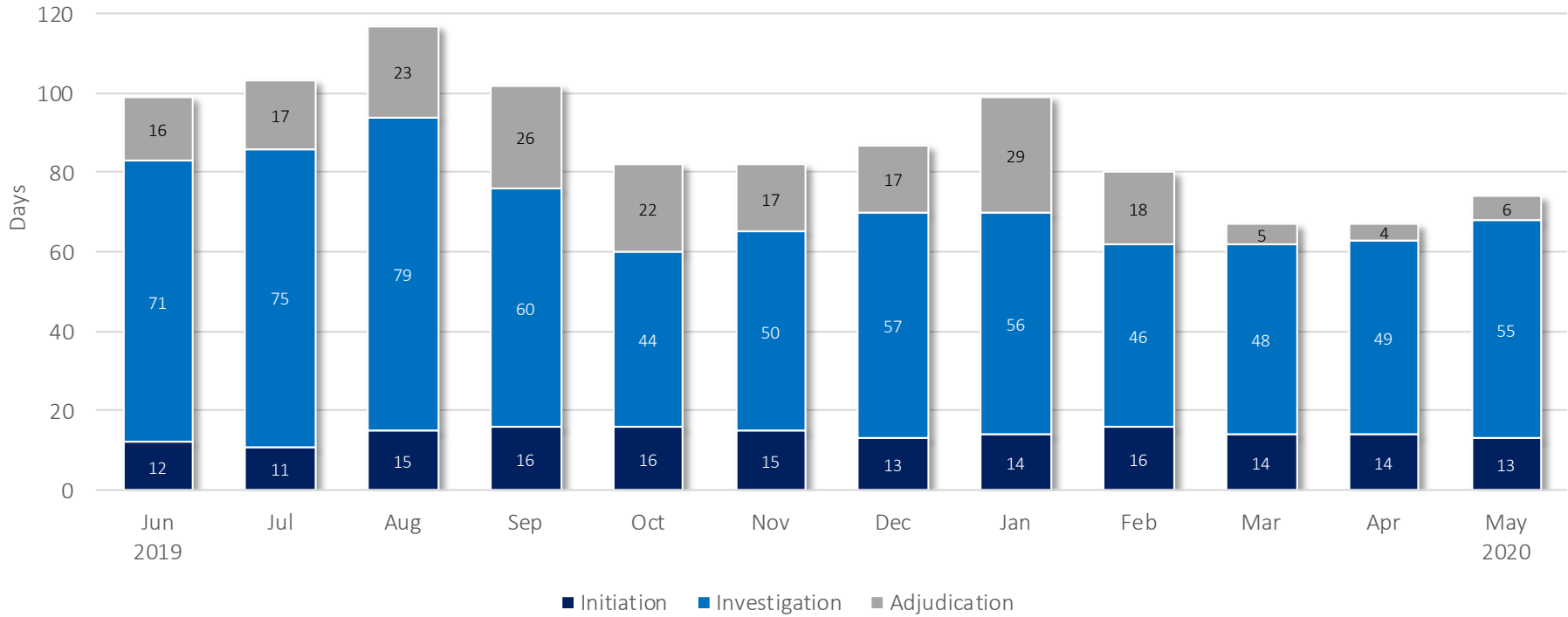
GOAL: Initiation – 14 days

Investigation – 150 days

Adjudication – 30 days

	Jun 2019	Jul 2019	Aug 2019	Sep 2019	Oct 2019	Nov 2019	Dec 2019	Jan 2020	Feb 2020	Mar 2020	Apr 2020	May 2020
Total Adjudications Reported	1,031	1,210	1,276	1,308	1,235	874	898	970	1,507	1,256	971	584
End-to-End Timeliness (Fastest 90%)	361 days	330 days	336 days	312 days	202 days	171 days	196 days	259 days	312 days	243 days	176 days	112 days

Monthly Timeliness for Fastest 90% of Secret Reinvestigation (T3R) Security Clearance Decisions



ATTACHMENT 4

BRIEFING TO NISPPAC NISA WG

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

KARL HELLMANN

NISP Authorization Office (NAO)

DATE: JUNE 2020



NISP AUTHORIZATION OFFICE



DCSA Assessment and Authorization Process Manual (DAAPM)

Complete control package submissions per DAAPM Section 7. A security plan should only be submitted when the following is completed: System Details, Test Results, Implementation Plan, SLCM, and Artifacts.

eMASS

Navigating eMASS. “Winging it” is not an option. eMASS is a workflow application which requires following specific tasks and formats in their defined sequence. For this reason, DCSA created the NISP eMASS Industry Operation Guide, currently on Version 1.1. DCSA is hampered in our ability to complete system reviews based on improper eMASS submissions.

NISP AUTHORIZATION OFFICE



COVID-19 Operational Adjustments

Existing system registrations that submit complete eMASS packages with an ATD prior to 30 September, will be assessed and considered for extensions up to 180 days. The ATO or Extension workflow in eMASS will be utilized as appropriate.

For new system registrations, the package reviews will continue to occur. The onsite validation will be delayed, deferred, or rescheduled. Submission requirements remain unchanged.

SSD

Government representatives met in April to discuss potential processes for updating the clearing and sanitization guidance for SSD. Unfortunately the NSA group responsible for this guidance was unable to attend due to the ongoing COVID-19 impact. The next action is to meet once NSA resumes to a normal operating status and can attend the meeting.

NISP AUTHORIZATION OFFICE METRICS



2020 DCSA Field Operations A & A (MAR-JUN)

Capital – 140 ATO's, 36 Extensions, 58 day avg

Northern – 184 ATO's, 214 Extensions, 71 day avg

Southern – 186 ATO's, 107 Extensions, 67 day avg

Western – 191 ATO's, 186 Extensions, 63 day avg

ATTACHMENT 5

General Services Administration

Contractor Procurement of GSA-Approved
Security Containers



Procurement Steps



1. Authorization to store classified information

GSA Order OGP 4100.21 allows for contractors to procure through the GSA sources

(3) Fixed-price contractors (and subcontractors) purchasing security equipment.

Under 40 U.S.C. § 501, the Administrator has determined that fixed-price contractors and lower-tier subcontractors who are required to maintain custody of security classified records and information may purchase security equipment from GSA. Procedures for such acquisitions are set forth in 41 CFR 101-26.507.



Procurement Requirements

1. Must have requirement to store classified material and ensure contract authorizes storage. (DD 254 or equivalent)
2. Must have Activity Address Code, DoDAAC or GSA Account Code with contracting officers' authorization OR can get own eDoDAAD.
3. Must be able to pay (GPC, AAC/DoDAAC, MIPR, VCSS, pay.gov, credit/debit card, Paypal/Amazon Pay, Bank Account)
4. Order Security Equipment offline or online thru GSA

Step 1: Requirement and Authorization

Must have requirement to store classified material and ensure contract authorizes storage. (DD 254 or equivalent)

Work with your Contracting Officer to insert the appropriate clause allowing use of Government sources of supply if not already included. (Contract Clause 252.251-7000 ORDERING FROM GOVERNMENT SUPPLY SOURCES (NOV 2004))

Step 2: Activity Address Code, DoDAAC, eDoDAAD

If you already have an Activity Address Code, DoDAAC or eDoDAAD, please skip to step 3 (page 5)

- Must have Activity Address Code, DoDAAC or GSA Account Code with contracting officers' authorization OR can get own eDoDAAD.
- Link to get eDoDAAD:
<https://www.dla.mil/HQ/InformationOperations/DLMS/DLMSPPrograms/DoDAAD/>

Step 3: Payment

Forms of payment include:

A. Payment forms accepted:

- Government Purchase Card
- AAC/DoDAAAC
- Bank Account
- Credit /Debit card
- Paypal /Amazon Pay

B. Use Vendor Customer Support Service (VCSS) account to see bills

C. Use pay.gov to pay bills

If you have concerns/issues with payments such as paypal, bank card, etc..., contact your POC for the appropriate payment method at your activity.



Step 4: Ordering Security Equipment

Order Security Equipment online or offline thru GSA See next slides for assistance

- If further explanation is required, you can obtain detailed procedures on GSA's website:
- <https://www.gsa.gov/buying-selling/purchasing-programs/requisition-programs/gsa-global-supply/nsns-and-product-lines/security-containers/ordering-procedures-for-security-containers>
- For Assistance with completing requisitions and identifying or validating DoDAAC you can contact Sheila.Patterson@gsa.gov
- For Assistance with item identification/clarification (NSN) and Order Status contact Security Container General Mailbox securitycontainers@gsa.gov or Martin.Cieszlak@gsa.gov



Step 4: Ordering Security Equipment - **Online**

Online – to order online you must have the following available:

1. .mil or .gov email address
2. Activity Address Code
3. Form of Payment and/or Codes
4. National Stock Number for Security Container that you want to order – See page 13 for how to find NSN's
5. To place order go to www.gsaglobalsupply.gsa.gov or www.gsaadvantage.gov



Step 4: Ordering Security Equipment - **Offline**

Offline – order offline by FEDSTRIP or MILSTRIP

- Orders can be placed “offline” through DD Form 1348 (MILSTRIP) or Standard Form 344 (FEDSTRIP).
- Submit completed forms to GSA's Requisitioning Processing & Customer Center at: rpc@gsa.gov and copy the Security Container Team at: securitycontainers@gsa.gov.

See following pages for assistance with forms.

Specifics for ordering containers using FEDSTRIP (344)

- On the previous slide you will see that there are some fields that are already filled out.
- These are the fields that will not change:
 - Boxes
 - 1-3: AOA
 - 4-6: GSA
 - 7: S
 - 8-11: 7110
 - 23-24: EA
 - 51: A
 - 52-53: 00
- Fields that Change:
 - 12-20 rest of National Stock Number (9 digits)
 - 25-29 Quantity
 - 30-35 Billing DoDAAC
 - 36-39 Julian Date, for 2020 will start with 0 then 3 digits for day of year
 - 45-50 Ship To DoDAAC (if different than Billing) see form for codes to place into other boxes if this is your requirement
 - 60-61 06 to 13
 - Block 23 Remarks – should have your POC's Name, Phone and E:Mail, can also put in alternate delivery address in this block



How to Fill out Offline Forms

MILSTRIP – DD Form 1348

Link to MILSTRIP form that you can fill out: https://www.gsa.gov/cdnstatic/DD1348-6_FillableForm.pdf

DOCUMENT IDENTIFIER			ROUTING IDENTIFIER				M & S	ITEM IDENTIFICATION* (NSN, FSCM, Part No., Other)															UNIT OF ISSUE		QUANTITY			DOCUMENT NUMBER							
								FSCM					PART NUMBER															REQUISITIONER							
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	
A	O	A	G	S	A	S	7	1	1	0													E	A	0	0	0								
DOCUMENT NO. (Cont.)							D E M A N D	S E R V	SUPPLEMENTARY ADDRESS							S I G N A T	FUND CODE		DISTRIBUTION CODE		PROJECT CODE		PRIORITY	REQUIRED DELIVERY DAY OF YEAR		ADVISE CODE	BLANK								
DATE		SERIAL																																	
36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69		
0															A	0	0																		
										REJECT CODE (FOR USE BY SUPPLY SOURCE ONLY)		IDENTIFICATION DATA																							
										65		*1. MANUFACTURER'S CODE AND PART NO. (When they exceed card columns 8 thru 22) NSN: 7110-																							
										66		2. MANUFACTURER'S NAME																							
3. MANUFACTURER'S CATALOG IDENTIFICATION										4. DATE (YYMMDD)					5. TECHNICAL ORDER NUMBER																				
6. TECHNICAL MANUAL NUMBER										7. NAME OF ITEM REQUESTED GSA APPROVED SECURITY CONTAINER																									
8. DESCRIPTION OF ITEM REQUESTED										8a. COLOR					8b. SIZE																				
9. END ITEM APPLICATION										9a. SOURCE OF SUPPLY																									
9b. MAKE					9c. MODEL NUMBER					9d. SERIES					9e. SERIAL NUMBER																				
10. REQUISITIONER (Clear text name and address)										11. REMARKS POC NAME, PHONE, EMAIL																									

DD Form 1348-6, FEB 85

Edition of Apr 77 may be used until exhausted.

DOD SINGLE LINE ITEM REQUISITION SYSTEM DOCUMENT (MANUAL - LONG FORM)

Reset

Adobe Professional 7.0



Specifics for ordering GSA Approved containers using MILSTRIP (1348)

- On the previous slide you will see that there are some fields that are already filled out.
- These are the fields that will not change:
 - Boxes
 - 1-3: AOA
 - 4-6: GSA
 - 7: S
 - 8-11: 7110
 - 23-24: EA
 - 51: A
 - 52-53: 00
- Fields that Change:
 - 12-20 rest of National Stock Number (9 digits)
 - 25-29 Quantity
 - 30-35 Billing DoDAAC
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 - 45-50 Ship To DoDAAC (if different than Billing) see form for codes to place into other boxes if this is your requirement
 - 60-61 06 to 13
 - Block 11 Remarks – should have your POC's Name, Phone and e:Mail, can also put in alternate delivery address in this block



Where to Find National Stock Numbers

NOTE: Some sources have pictures that do not correspond with the stock number listed. Read description of what you are purchasing/looking for when obtaining number.

- <https://cmls.gsa.gov/CMLSPubCategory?searchKey=CA-0025721> – website for Global Supply Security Container Catalog
- <https://www.gsa.gov/buying-selling/purchasing-programs/requisition-programs/gsa-global-supply/nsns-and-product-lines/security-containers/types-of-security-containers> – website for Security Container Descriptions, Uses and NSN's, with each container type in separate .pdf.

Additional Resources

Step-by-step Contractor Purchasing Guide:

https://www.gsa.gov/cdnstatic/General_Supplies_Services/Non-Government_Ordering_Process_for_Security_Equipment_%282019%29_508.pdf

DoD Lock Program – One stop tool for technical information and updates to the security program

https://www.navfac.navy.mil/navfac_worldwide/specialty_centers/exwc/products_and_services/capital_improvements/dod_lock.html

DLA FAQ

<https://www.dla.mil/HQ/InformationOperations/Defense-Logistics-Management-Standards/faq/>

GSA Supply Catalog 2020

<https://cmls.gsa.gov/CMLSPubCategory?searchKey=CA-0025721>



