

**National Industrial Security Program Policy Advisory Committee (NISPPAC) Meeting
Wednesday, November 2, 2022 - 10:00 a.m. - 1:00 p.m.
National Archives and Records Administration (NARA)
Information Security Oversight Office (ISOO)
Meeting held virtually**

Agenda

Welcome, Introductions, and Administrative Matters	5 mins
Action Item Follow Up	10 mins
Reports and Updates	
Industry Update	20 mins
Department of Defense (DoD) Update	20 mins
Defense Counterintelligence and Security Agency (DCSA) Update	20 mins
Office of the Director of National Intelligence (ODNI) Update Security Executive Agent	10 mins
Department of Homeland Security (DHS) Update	5 mins
Department of Energy (DOE) Update	5 mins
Nuclear Regulatory Commission (NRC) Update	5 mins
Central Intelligence Agency (CIA) Update	5 mins
Break	5 mins
Working Group (WG) Update	15 mins
Clearance Working Group (CWG)	5 mins
NISPPAC Information Systems Authorization (NISA) WG	5 mins
DOE	5 mins
NRC	5 mins
Defense Office of Hearings and Appeals (DOHA) Update	5 mins
Controlled Unclassified Information (CUI) Update	5 mins
General Discussion, Remarks and Adjournment	5 mins

National Industrial Security Program Policy Advisory Committee (NISPPAC) Meeting Minutes

These minutes will be formally considered by the Council at its next meeting and any corrections or notations will be incorporated in the minutes of that meeting.

The NISPPAC held its 69th meeting on Wednesday, November 2, 2022, virtually. Mr. Mark Bradley, Director, Information Security Oversight Office (ISOO), served as Chair.

After the roll call, Designated Federal Officer (DFO) Ms. Heather Harris Pagán provided an update on the National Archives and Records Administration (NARA) COVID policy and expressed the hope that the NISPPAC could resume in-person meetings next year. In addition, she reminded the government members of the importance to annually file a financial disclosure form to NARA.

Ms. Harris Pagán also discussed the changes to the NISPPAC membership, welcoming new government members. Steve Barbieri replaced Richard Townsend at Commerce; Don replaced Felicia at the Central Intelligence Agency (CIA); and Vaughn Simon has replaced Kenneth Jones at the National Aeronautics and Space Administration (NASA). In addition, she welcomed two new Industry members, Jane Dinkel and Ike Rivers, who are replacing Cheryl Stone and Rosie Borrero Jones. She thanked all outgoing members for their contributions to the NISPPAC. It was also announced that this would be the last NISPPAC meeting for Chairman Bradley. Ms. Harris Pagán thanked him for his long Federal career, and in particular, for his six year tenure as the Director of ISOO. Throughout the meeting, participants and speakers thanked Mr. Bradley for his significant contributions to the NISPPAC.

The first item on the agenda was to address the follow-up items from the April 27, 2022 meeting. The first follow up item was a proposal by the Department of Defense (DoD) to have three NISPPAC meetings a year, as opposed to two. An informal opinion poll took place to help guide the Chairman on the way ahead.

Secondly, there was a discussion to work the ISOO notice regarding the Small Business Administration (SBA) regulation combining their mentor-protégé programs.

In addition, the NISP entity cost collection was discussed, which will provide implementation costs incurred by entities under their security cognizance. The next NISP entity cost collection meeting is scheduled for November 30, 2022, after which members will advise the Chairman of the NISPPAC on the way forward for collection. It is important to get cost collection accurate because the President of the United States receives an annual report where cost collection is covered.

Ms. Heather Sims, Industry Spokesperson, provided an overview of how the Industry members of the NISPPAC are nominated, and that they actively participate as volunteers working on behalf of cleared Industry, and that the role of the NISPPAC is to represent all cleared companies in the National Industrial Security Program. NISPPAC Industry works hard to ensure that there is diversity in size and complexity of companies within all NISPPAC Working Groups. They try to provide a voice for all companies, regardless of size and complexity, advising a strong industry base can better support national security by working as a united group to assist our government on the impacts of emerging and existing policies on industry and help resolve to further the safety and security of our country. She also discussed the Memorandum of Understanding (MOU) Industry Associations' role in supporting NISPPAC Industry in NISPPAC matters and initiatives. As with NISPPAC Industry representatives, MOU representatives agree that they will not act as representatives for their specific company; instead, they represent the

constituency of their respective organization or association and, by extension, all private sector members of the NISP.

This year, Industry established a newsletter in efforts to reach companies in the NISP that are not members of an Industry association to let them know they are represented. NISPPAC Industry also has a dedicated email account where cleared Industry can contact the NISPPAC Industry members.

One item that still is unresolved is the timeliness of new companies receiving a facility clearance (FCL) or an upgrade for already cleared companies. Risk avoidance could impact Industry's ability to deliver on the next critical military platform to ensure America's military superiority. Industry looks forward to discussing the larger issues and concerns with DoD over the next few weeks to get to a real solution to improve this long standing and growing concern.

Industry thanked the Performance Accountability Council Program Management Office (PAC PMO), Office of the Director of National Intelligence (ODNI), and Office of Personnel Management (OPM) for their inclusion of Industry in reviewing Trusted Workforce (TW) 2.0 strategic documents to ascertain impacts to Industry prior to release. This has been a success at the strategic level, but Industry is experiencing issues with implementation at all levels with a variance in the understanding and execution with the military services and government agencies. Industry will be capturing examples where they are seeing impacts to Industry and sharing with their government partners.

Mr. Jeff Spinnanger, Director, Critical Technology Protection (CTP), Office of the Under Secretary of Defense for Intelligence & Security (OUSDI&S) briefed next. He discussed the application of cloud solutions. DoD continues to collaborate with the Applied Research Laboratory for Intelligence and Security, (ARLIS), to establish a cloud which is intended to be a mechanism for research framework. The project is intended to be a pathway to move into real world examples to understand the applicability of cloud services and solutions.

He also expressed concerns about such items as the NISP cost collection, in terms of getting it done right rather than getting it done quickly, and that we are not giving away information that could undermine the program. DCSA took the initiative to mediate issues to come up with better means of collecting supporting elements relating to the NISP.

He expressed concern of how the SBA would reciprocate where security issues and small business administrative issues would align.

Mr. Spinnanger then discussed the ongoing issue regarding Joint Ventures (JV) and FCL timelines. DoD has created a directive memorandum that would provide uniform guidance on JVs that have been awarded DoD contracts. He also acknowledged the success of the agency, but noted the challenge is that it is not being used very much.

Mr. Spinnanger then discussed delays of when one employee moves from one contract to another for which access is a requirement. They created a draft memo that is an intersection of what is permissible within the framework, focusing on transparency and accountability of how they are moving forward. The Chairman advised ISOO will share the details with the Cognizant Security Agencies (CSAs) and Industry.

The next speaker was Mr. Keith Minard, Senior Advisor, Policy Industrial Security Directorate, DCSA. DCSA is currently working to reduce FCL timelines. As part of the strategy of reducing timelines, a tier system was established. DCSA began a 90 day plan to reduce on October 1, 2022. This plan included contractor search support in under staffed areas, increased training, internal procedures, and increased efforts to reduce production timelines. The key point is to establish an external communications working group and understand the criteria that is being worked with. Mr. Minard will send ISOO the primary points of contact for the DCSA facility clearance branch to assist in answering any further questions.

Last year, there was a lot of engagement with partners, not only between DCSA and DoD, but also with Industry partners and other federal executive branch agencies on the implementation of 32 CFR Part 117. In August, DCSA deployed the unofficial foreign travel as a bulk uploaded capability. In September, a new security review model was implemented. The next month, DCSA introduced a new field structure to better accommodate their mission landscape. The NISP Contract Classified System (NCCS) version 2.0 was fielded and is now operational.

Ms. Valerie Kerben, Chief, Policy & Collaboration, Special Security Directorate, National Counterintelligence and Security Center (NCSC), Office of the Director of National Intelligence (ODNI) provided an update on Security Executive Agent (SecEA) policies. She focused on the strong partnership between ODNI and the Office of Personnel Management (OPM), as the Security Executive Agent and Suitability/Credentialing Executive Agent, respectively (collectively, the Executive Agents). ODNI/OPM have jointly signed a few additional policies this spring and summer.

The Federal Personnel Vetting Investigative Standards were signed in May. This is where the three-tiered investigation model was established. The five levels will convert to three, and there are distinctive areas for reciprocity. This information will help agencies make their trust determination, whether it is a credentialing, national security, or suitability/fitness determination. Currently, ODNI is working on the implementation guidance for the investigative tiers. These standards meet the critical milestone on the path to full realization of Trusted Work Force 2.0. The model of different policies will strengthen and empower agencies to ensure that they have a trusted workforce, a mobile workforce and that everybody is vetted in a timely manner. In addition, it will address risk while being able to act on things earlier, rather than waiting the five to ten years for a traditional periodic reinvestigation.

After investigative standards were signed, the Executive Agents signed the Common Principles and Applying Federal Personnel Vetting Adjudicative Standards. These common principles promote consistency and fairness in the adjudicative process and it cuts across all personnel vetting domains. On the national security side, the adjudicative criteria described in Security Executive Agent Directive (SEAD) 4 is still being applied. In the future, ODNI will check to see if any updates are warranted.

Last September, ODNI issued the Federal Vetting Personnel Performance Management Standards. These standards established minimal performance measures and describes the key characteristics of quality management programs that will be used to evaluate personnel vetting. This will help ensure agencies are in compliance and performing consistently across the vetting enterprise and will enable managers to examine data and offers solutions for improvement.

ODNI is still working on other documents, such as the National Training Standards (NTS). The NTS have not been updated since 2014, so the Executive Agents conducted a gap analysis to see where there should be updates. The goal is to ensure all investigators and adjudicators are doing the same job.

Agencies continue to work towards full implementation of Trusted Workforce 2.0, and most of the key agencies have certified they have Trusted Workforce 1.5 capabilities for continuous vetting.

ODNI is also looking to update the standard investigative form. The goal is to have a new platform and combined format for all the investigative forms. The Executive Agents are in the final stages of getting this product ready. In addition, last April, there were more updates to the Implementation Strategy. Finally, the regulation was changed allowing for the digital signature on the SF 312. ODNI is working closely with General Services Administration (GSA) to update the form.

Mr. Rich Dejausserand, Deputy Director of the National Security Services Division at the Department of Homeland Security (DHS) provided the DHS update. Regarding Cybersecurity Maturity Model Certificate Program, 2.0, DHS is still monitoring DoD's implementations for any outcomes and lessons learned. The other update was for Trusted Work Force 2.0. Currently, 85% of DHS employees are enrolled in the system with a goal of 100 percent by the end of the year. =

The next update was provided by Ms. Natasha Sumter, Office of Security Policy, Department of Energy (DOE). DOE issued a policy clarification to their community to allow their employees to utilize the digital signature on the 312. DOE is beginning to see cost savings with TW 2.0. There have been changes to DOE Order 470.4B. These efforts at rewriting the order are occurring in two new directives, and the project is expected to last for two years.

Don, Chief, Office of Security Policy, Central Intelligence Agency (CIA) provided their update, stating that over the past twenty months, CIA's Industrial Security Program has reduced case inventory by 55%. CIA has also reduced the cycle time by 100 days. Despite significant increase in crossover requests over the past three fiscal years, CIA's Industrial Program continues to process clearance requests in 1-3 days and nearly 88% are immediately approved. The CIA's Office of Medical Services now conducts medical evaluations for incoming contractors.

The DFO then briefed about the latest clearance working group and cost collection meeting.

Dave Scott, DCSA, NISP Authorizing Official (AO) for Industry's classified information systems briefed next, providing Risk Management Framework (RFO) metrics and security package triage process. One of the major highlights this past year was a significant reduction in NISP Enterprise Mission Assurance Support Services (eMASS) registered systems and cleaning up the database. There have been more efforts to go out and visit on-site to validate security controls. Last year, DCSA's inventory of full three year authorizations were at 54% and now, the number is at 61% due to a collaboration effort with cleared industry to reduce risk and on-site validations.

DCSA made a significant change to their system last January where Industry wanted transparency to the database of their record of eMASS, where they could see the full cycle of the packages from start to finish. Mr. Scott explained that the top three issues that go through the triage process are 1) Implementation, 2) Test Results and 3) Artifacts. The rate of return for rework has dropped from 36 to 28 percent, largely due to Industry submitting complete packages in accordance with published job aides and guidance.

Mr. Michael Ray, Deputy Assistant Director of Operations for DCSA's Vetting Risk Operations (VRO), briefed next, discussed inventory and timeliness. Inventory is relatively stable. He encouraged the self-reporting to continue. Continuous Vetting (CV) hits for financial issues and criminal issues were the

most frequent and had the highest rate of validation. They are returning reciprocity in one to three business days.

Ms. Natasha Sumter, discussed the personnel security metrics for DOE. The slides discussed timeliness as well as the fact that DOE met the Intelligence and Reform Terrorism Prevention Act (IRPTA) goal for 12 out of 12 months and the trends are expected to continue moving forward.

Mr. Perry Russell-Hunter, from the Defense Office of Hearings and Appeals (DOHA) provided the next update. He began by thanking Mr. Mark Bradley for his distinguished and exceptional federal service and, noting that Mr. Bradley is an author and had recently published a riveting book, expressed the hope that he would write more books.

He noted that the VRO had reported that Continuous Vetting (CV) hits for financial issues and criminal issues were the most frequent and had the highest validation rate. It is good news that Trusted Work Force goals are being met with general adoption of CV and this means that the Government is finding adverse information much sooner than it would otherwise. This means that DoD's Consolidated Adjudication Services (CAS, formerly known as the CAF) will be getting more potentially derogatory cases sooner and an increase in the number of cases with the potential for disqualifications which will require issue resolution. We in Government are getting this information much sooner than with a PR, but there is still the rest of the story to be told. This is where the new Investigative Standards will be so important. They state that "Risk is effectively managed by promoting information collection of both positive and negative information to assist national security, suitability, fitness, and credentialing adjudicators in making a whole-person trust determination, as practicable" (IV (A) (3), page 3) and "ISPs must conduct any required additional investigative actions to collect and review all the relevant and available facts and documentation sufficient to resolve the issues as described in Appendix I." (#12, page 4). He also stated that, with more CV hits sooner in areas like financial and criminal, where more facts are always needed to make a fair "whole person" decision, issue resolution will have to be the wave of the future.

Mr. Russell-Hunter then referred to some success stories. First, DOHA is timely with its legal reviews of industrial contractor Statements of Reasons. This means that timely notice is getting out to industrial cleared employees and applicants about which issues have been found in their investigations. Second, the other good news is that the DCSA Consolidated Adjudication Services (CAS) is working on an initiative whereby they are going to start issuing conditional clearances in industry with the full support of DOHA. Since they are getting the issue information sooner, they should be able to produce a robust resolution sooner as well. Third and finally, DOHA is holding more hearings than ever before using remote video technology over TEAMS. In addition, they are traveling more than they have in the past two years for in-person hearings. They are also building more remote TV video teleconference capability for holding remote hearings.

Ms. Harris Pagan provided an update on the CUI program, mentioning that one of the highest priorities of CUI is to issue a FAR clause. This will create a mechanism to communicate which information contractors need to create and receive from the Federal government that must be protected, how to protect it, and who it can be shared with. Currently, laws already mandate protection. Once the FAR clause is issued, it will be a standard vehicle for conveying whether CUI is involved in the contract and where the existing requirements are and for safeguarding it.

At the conclusion of the meeting, Director Mark Bradley thanked everybody for their contributions to the NISPPAC. The next NISPPAC is scheduled for June 5, 2023, at the National Classification Management Society (NCMS) in New Orleans, LA. All NISPPAC meeting announcements are posted in the federal register at <https://www.federalregister.gov/> approximately 30 days before the meeting, along with the ISOO blog at <https://isoo-overview.blogs.archives.gov/>.

Action Items

- At next NISPPAC, DoD will provide an update on Cloud Sandbox.
- DoD will provide an update at the next NISPPAC for access from one contract to the next.
- ISOO will share details of draft with CSA's and Industry
- Mr. Minard volunteered to share with ISOO the primary points of contact for the DCSA facility clearance branch and their email addresses to assist in answering any further FCL questions.
- During the las Clearance Working Group meeting, industry addressed key topics for DCSA response. DCSA will send out the responses to the topics after the NISPPAC meeting.

Attendance

In addition to the below names, 154 phone numbers did not have a name associated with it that called in.

Ackerman, Daniel	Bellagamba,	Brandan, Mario	Chappell, Curtis
Adams, Kendall	Barbara	Brandt, Richard	Charyton, Dianne
Adissu, Mekdes	Belsinger, Deborah	Braxton, Kish	Cheney, David
Alexander, Treva	Berich, Kevin	Broadie, Connie	Chiocchio, Gina
Allen, Nicole	Berta, Roselita	Broglin-Bartlett,	Christian, Heather
Ambrose, Zorica	Blackmon, Angela	Darinda	Christian, Laurie
Amundsen, Becky	Bland, Booker	Brokenik, Patricia	Church, Thomas
Andablo, Yvette	Blazic, Andrew	Brown, Alexis	Chvotkin, Alan
Anello, Tonya	Bledsoe, David	Brown, Misty	Cinelli, Giovanna
Arriaga, Dennis	Boccuzzo, Joseph	Brown, Jennifer	Cippel, Melissa
Ashley, Julie	Bodrick, Detra	Brown Thompson,	Cipriano, Reanna
Atkins, Tiffany	Boling, Daniel	Nigel	Clark, Larry
Auldridge, Kelly	Borelli, James	Burns, Lynn	Clohessy, Meaghan
Auman, Jenna	Borja, Sadie	Burns, Stephen	Cofield, Spencer
Babcock, Garth	Borum, David	Burrell, Lisa	Cook, Krista
Babic, Adriana	Bosch, Lucas	Calloway, Victor	Cox, Brenna
Bailey, Benny	Boston, Bridgette	Cameron, Douglas	Craig, Cindy
Barbee, Lisa	Bouillon, Matthew	Cardella, Thomas	Crewes, Kevin
Barry, Tris	Brackens, Jennie	Carlin, Michelle	Crickenberger, Joy
Bashor, Eric	Bradley, Mark	Castillo, Lara	Currin, Leslie
Baughter, Kimberly	Brain, Steven	Chambers, Steven	D'Anthony, Stacey

Davis, ReNaye	Gouveia, Jill	Kay, Susan	Mosier, Jennifer
Davis, Claris	Gray, Tonya	Kerben, Valerie	Moss, Leonard
Dawson, Michelle	Greaver, Angie	Kerr, Robert	Neale, Cynthia
Dean, Mary	Griffe, Gene	KingRatzel, Betty	Nesmith, Kamilah
Deck, Rob	Haley, Rene	Kirby, Jen	Neveu, Earney
DeJausserand, Rich	Hamilton, Michelle	Klem, Jeremy	Newman, Keith
Demarco, Salvatore	Hamilton, Pamela	Knox, Daniel	Nguyen, Camtu
Demers, Michael	Hamilton, Jill	Kowal, Brooke	Nolette, Tammy
DeRamus, William	Harris Pagán,	Kusner, Nate	Norris, Alison
DeWitt, Matthew	Heather	Kutchak, Nicholas	Olinger, Jesse
Dickey, Geoffrey	Harrison, Lori	Kyzer, Lindy	Oppenhagen,
Doane, Robbie	Harrod, Susanne	LaBeach, Stephanie	Christine
Dobbs, Kevin	Hastings, Amy R	Larson, Steven	Palmar, Jose
Don	Heaton, Pamela	Lasher, Diane	Pappas, Joyce
Dongre, Kate	Heil, Valerie	Lee, Jennifer	Parr, Doris
Doubleday, Justin	Herbst, Jonathan	Leisinger, Carmen	Pashoian, Norman
Doughty, Shaun	Hertzog, Conrad	Lewis, Tiffany	Paxton, Larry
Eckel, Mark	Hicks, Frankie	L'Heureux, Ann	Peoria, Matthew
Edington, Mary	Hidle, Tamara	Marie	Persinger, Jonathan
Eisenach, Andrew	Hight, Dorothy	Luckenbaugh,	Pettengill, Chantel
England, Michael	Hines, Helencia	Madison	phalen, Charles
Enriquez, Marcus	Hodges, Hope	Ly, Dan	Pherson, Kathy
Farmer, Judi	Hollandsworth,	Macey, Christopher	Phillips, Lauri
Farnworth, Jeffrey	Matthew	Mackey, Shelton	Phillips, Earl
Fehlner, Scott	Hollingsworth,	Malbone, Nicole	Post, Sara
Fell, Rob	Danielle	Mallon, Greg	Postle, Steven
Ferrall, James	Hood, Jennifer	Manley, Crystal	Price, Joyce
Fidler, Beth	Howar, Laura	Manson, Robert	Price, Emmett
Finklea, Anthony	Howard, Justin	Martens, Sheri	Price, Janel
Firich, Lauren	Howell, Mark	Mayercin, Elizabeth	Pritchard, James
Fisher, Darci	Hughes, Kimberly	McCarthy, Leslie	Ramer, Cindy
Flaherty, Joann	Hurst, Richard	McDaniel, Douglas	Randall, Sheila
Emma	Husker, Frank	McGarvey, Daniel	Rankin, Kelli
Flanagan, Matthew	Immel, Christopher	McKay, Kyle	Rankins, Shawn
Forgey, Waylon	Iskander, Michael	McKemy, Scarlett	Ray, Richard
Fraser, Judith	Jackson, Crystal	McKenna, Danielle	Ray, Mike
Freeman, Lisa	Jackson, Stephen	McLeod, Donna	Reding, David
Funicello, Kasey	James, Steven	McMillian, Toni	Reidy, Lisa
Funicello, Lorena	Jensen, Kathryn	McNichol, Lindsey	Riches, Dan
Gabeler, Jennifer	Johansson, Allison	Metcalfe, Jessica	Riddle, Sami
Garcia, Maria	Johnston, David	Meyer, Nick	Riener, April
Gardner, Kelly	Jones, Russell	Miller, Dean	Riggins, Rebecca
Garner, Carol	Jones, Chanda	Minard, Keith	Riley, Emily
Gary, Jane	Jongema, Linwood	Mogollon, Luis	Ringley, Krystal
Gibson, Sharon	Kaiser, Michele	Morris, Christine	Rivers, Isaiah
Goodwin, Todd	Kamilova, Kamilya	Morse, Sue	Rodowsky, Laura
Goodwin, George	Kanuth, Renee	Morton, Tracy	Rodriguez,
Goos, Christian	Kaohi, Catherine	Moshos, Phyllis	Chamagne

Rogers, Geraldine
Roos, Noal
Ross, Bryon
Rudesill, Charla
Rust, Dan
Samuels, Al
Sanchez, Elizabeth
Sandlin, Taylor
Santiago Eduardo
Sargent, Patrick
Sata, Dana
Schneider, Scott
Schools, Patricia
Scott, Christopher
Sears, Erin
Sease, James
Settles, Christina
Shackelford-Ross,
Sarah
Shade, Karl
Shimamura, Judy
Silveira, Cynthia
Sims, Heather
Simon, Vaughn
Simpson, Kimberly
Sisler, Jamie
Smith, Amy
Smith, Patricia
Smith, Berette
Smith, Carolyn
Smith, Linwood
Smitth, Susanne
Sobilo, Kelly
Soltis, Sheldon
Staunton, John
Steinbuch, Michael
Staudlein, Steven
Stewart, Michael
Still, Tonya
Stone, Alissa
Stone, Cheryl
Stroud, Jody
Stutts, Kirsten
Sullivan, Michael
Sumpter, Valerie
Sumter, Natasha
Sutton, Jennifer
Switala, Jamie

Tate, Charles
Teegarden, Brian
Terry, Donald
Thibodeaux, Kristie
Thomas, Grant
Thomas, Donna
Thornton, Diana
Timmons, Katie
Torres, Gregory
Trehern, Deborah
Trichilo, Brooke
Vaccariello, Jeffrey
Vance, Rob
Vandall, Ruth
Vaughan, Thomas
Vaughn, Susie
Velasquez, Vicenta
Vigil, Mike
Vish, Jeff
Vitoritt, Hanni
Wardell, Jackie
Washington,
Reginald
Webber, JoAnn
Webster, Anzio
Webster, Louis
Welch, Sheema
Wendell, Jeremy
Wendt, Suzy
West, Deborah
Weyrauch, Richard
White, DorieAnn
Whitteker, Mark
Willbanks, Ann
Williams, Kristin
Williams, Roger
Williams, Angela
Wilson, Mark
Winn, Terrance
Woldridge, Marya
Wolfe, Joanna
Wood, Lemy
Wood, Delvin
Woodruff, Patricia
Wright, Paula
Young, Ronald
Young, Carlos
Yurechko, Vicky

Zweil, Alison



National Industrial Security Program Policy Advisory Committee (NISPPAC)

NISPPAC Industry Updates

November 2022

Industry's Role in the NISPPAC

Heather Sims
L3Harris

Aprille Abbott
The MITRE Corporation

Derek Jones
MIT Lincoln Laboratory

Tracy Durkin
ManTech

Greg Sadler
General Dynamics Information Technology

Dave Tender
ASRC Federal

Jane Dinkel
Lockheed Martin

Isaiah Ike Rivers
Institute for Defense Analyses (IDA)

Industry NISPPAC Members Uniting Industry



- The National Industrial Security Program Policy Advisory Committee was created January 8, 1993, by Executive Order 12829, "NISP" Functions
- Comprised of 16 government and 8 industry members
- Industry nominations are chosen by current industry NISPPAC & MOU members
- Two new industry members elected annually by current industry NISPPAC & MOU members
- Industry members represent ALL NISP companies (Small, Medium, Large, etc.) and **not their own self-interest, company interest, or a specific government agency interest**
- Working Groups covering several NISP topic areas-Industry representation diversity

Industry Association Support

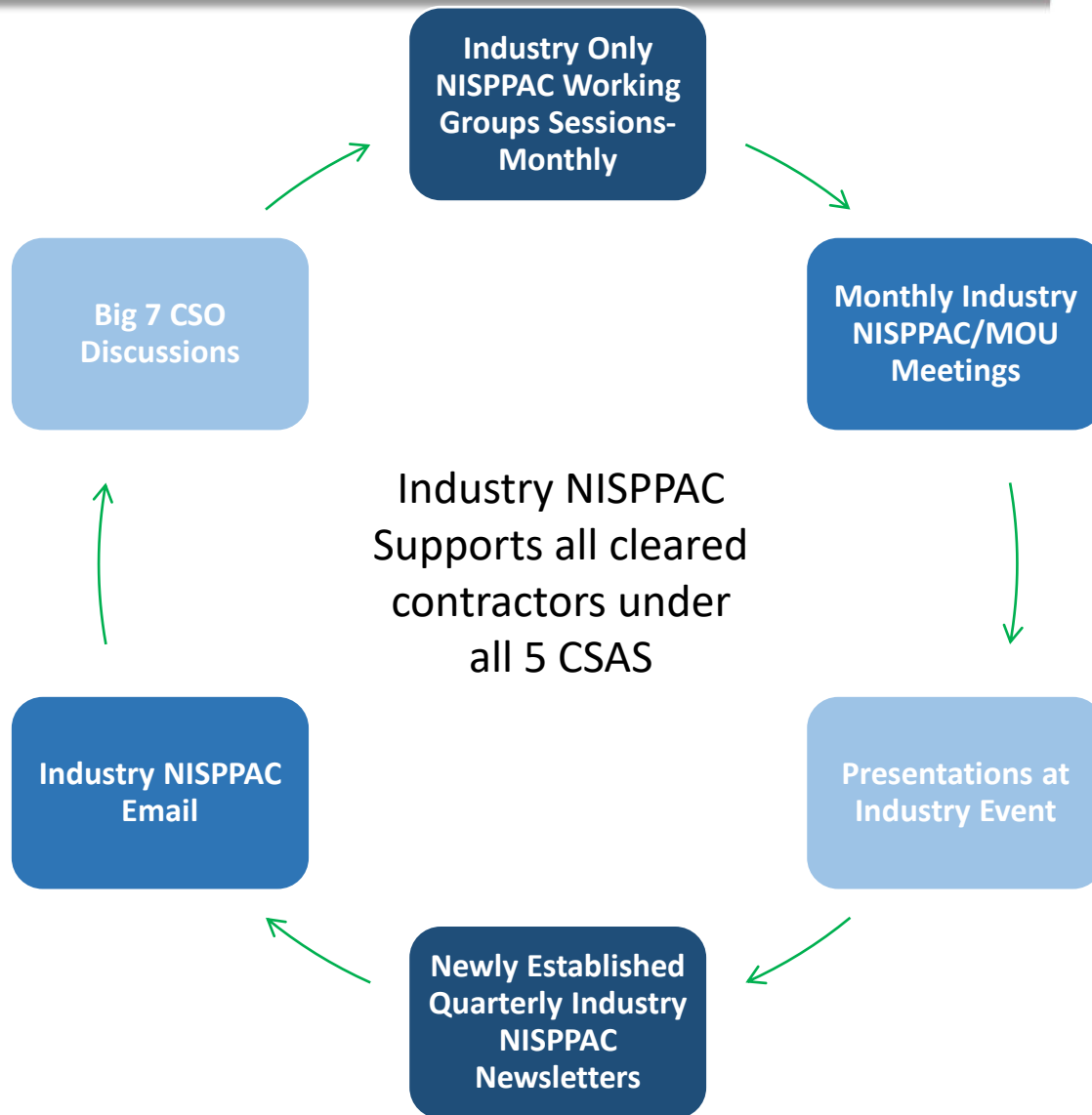


- ✓ While industry is officially recognized through the NISPPAC membership, individual representatives from the MOU
- ✓ Group support through NISPPAC has several important impacts including participation in working groups, and making recommendations to the NISPPAC on proposed and revised national security policies at the request of the NISPPAC Industry Spokesperson.
- ✓ The MOU Group supports the NISPPAC Industry Spokesperson in NISPPAC matters and initiatives. As with NISPPAC industry representatives, MOU Group representatives agree that they will not act as representatives for their specific company; instead, they represent the constituency of their respective organization or association and, by extension, all private sector members of the National Industrial Security Program.
- ✓ Nothing in the MOU agreement prohibits/prevents each individual association/organization from adopting its own position on a particular issue, or from abstaining from a vote or proposal thought to be contradictory to the wishes of its membership.

Memorandum of Understanding (MOU) Industry Association Security Representatives

Lisa Reidy	Aerospace Industries Association (AIA)
Jonathan Fitz-Enz	ASIS Defense and Intelligence Council (ASIS D&IC)
Joe Kraus	Contractor Special Security Working Group (CSSWG)
Jordan Baxter	Federally Funded Research and Development Centers/University Affiliated Research Centers (FFRDC/UARC)
Kathy Pherson	Intelligence and National Security Alliance (INSA)
Leonard Moss	Industrial Security Working Group (ISWG)
Lynn Burns	National Classification Management Society (NCMS)
Quinton Wilkes	National Defense Industrial Association (NDIA)
Marc Ryan	Personnel Security Council (PSC)
Rosie Borrero	Community Association for Information Systems Security Working Group (CAISSWG)

Capturing Industry's Input



DCSA NISP AUTHORIZATION OFFICE (NAO)

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY



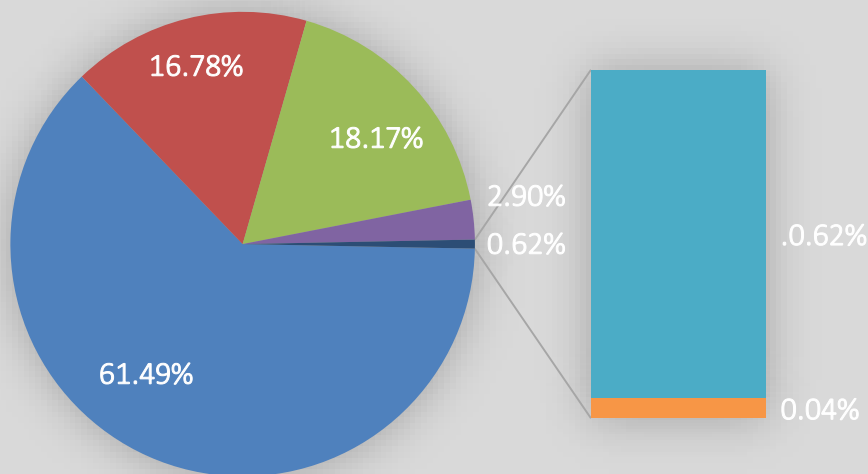
DAVID SCOTT
NISP AUTHORIZATION OFFICE
INDUSTRIAL SECURITY DIRECTORATE



National Metrics

System Authorization Status

■ ATO
 ■ ATO-C
 ■ Not Yet Authorized
 ■ Expired
 ■ DATO
 ■ IATT



NISP eMASS Metric	To
# Registered Systems in NISP eMASS	5,634
# of Authorizations Processed in FY22	2,918
# of NISP eMASS Users	3,510

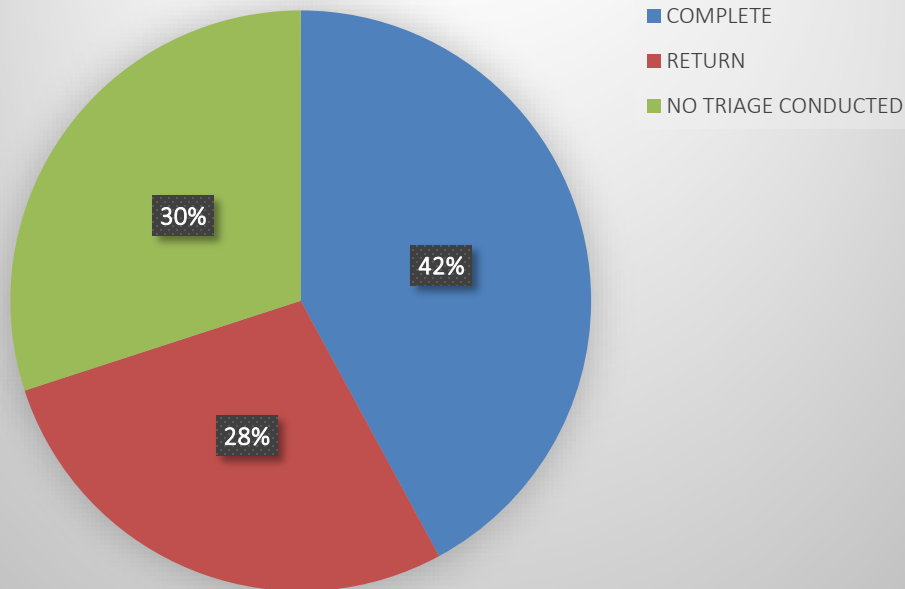
Overview: The chart shows the percentage of all the systems within the NISP by authorization status. The following are the statuses: (1) Authorization To Operate (ATO), (2) ATO with Conditions, (3) Not Yet Authorized, (4) Expired, (5) Denial of Authorization to Operate (DATO), and (6) Interim Authorization to Test (IATT).

Average # of DCSA Days for Authorization Decisions	61
Average # of DCSA Days for Active Asses and Authorize Workflows (Number of Active Assess and Authorize Workflows = 669)	51
Average # of DCSA Days for Extension Workflows (Number of Active Extension Workflows = 28)	70



SCA-R Triage Metrics

Triage Status



Overview: The chart shows the percentage of systems triaged within the NISP by the SCA-R identified status.

eMASS Triage Status	Total
# Packages Marked Complete	3,638
# Packages Returned for Rework	2,411
# Packages Marked No Triage Conducted	2,593
Total Packages Assessed	8,642

SCA-R Triage Metrics	FY 2021	FY 2022
Completed	49%	42%
Returned for Rework	36%	28%
No Triage Conducted	16%	30%
Average Completion Time	9 days	4 days

Return for Rework Issues (Top 3)	Total
Implementation Plan (SLCM)	1,349
Test Results	1,151
Artifacts	1,077

DCSA Personnel Security Update

Investigations, Adjudications, Vetting Risk Operations

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

NOVEMBER 2022

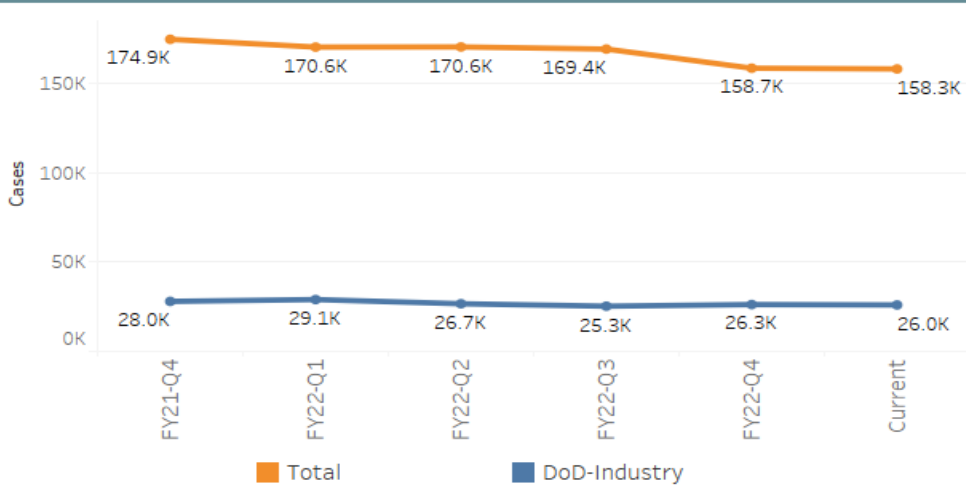


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INVESTIGATION INVENTORY & TIMELINESS | Industry

INVENTORY (as of 24 October)



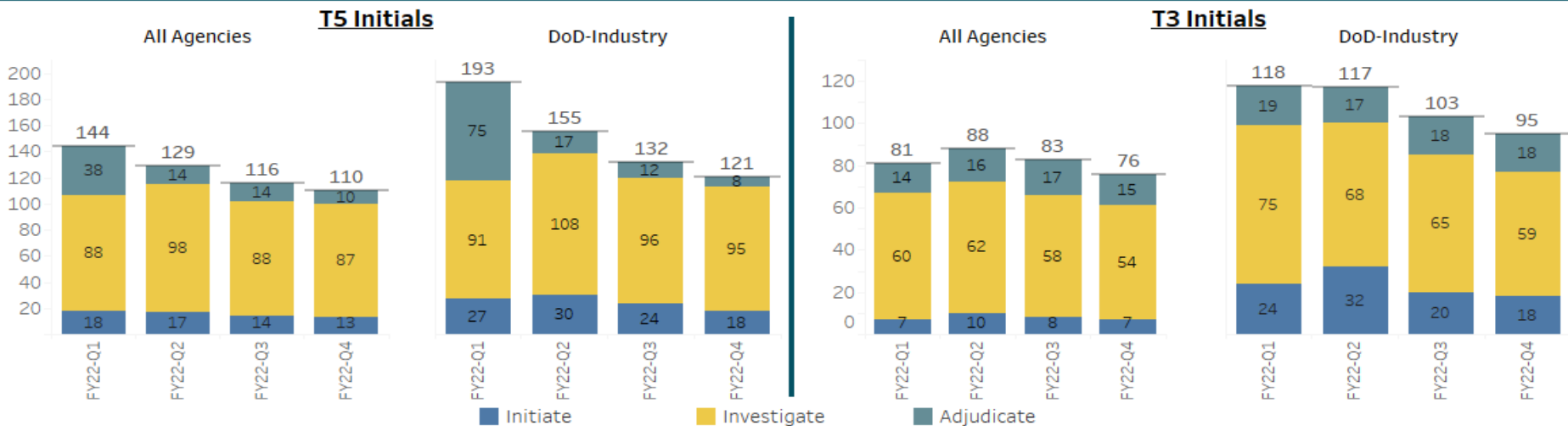
	FY22 Start	FY22 End	Current
All Agencies	174,903	158,714	158,295
DoD-All	100,952	92,609	93,000
DoD-Industry	28,026	26,252	26,029

DoD-Industry Pending by Case Type

T5			T3		
FY22 Start	FY22 End	Current	FY22 Start	FY22 End	Current
7,552	10,481	10,302	11,009	14,433	14,284

T5R			T3R		
FY22 Start	FY22 End	Current	FY22 Start	FY22 End	Current
5,443	34	33	2,782	32	44

END-TO-END TIMELINESS (Fastest 90% of Adjudicated Cases)





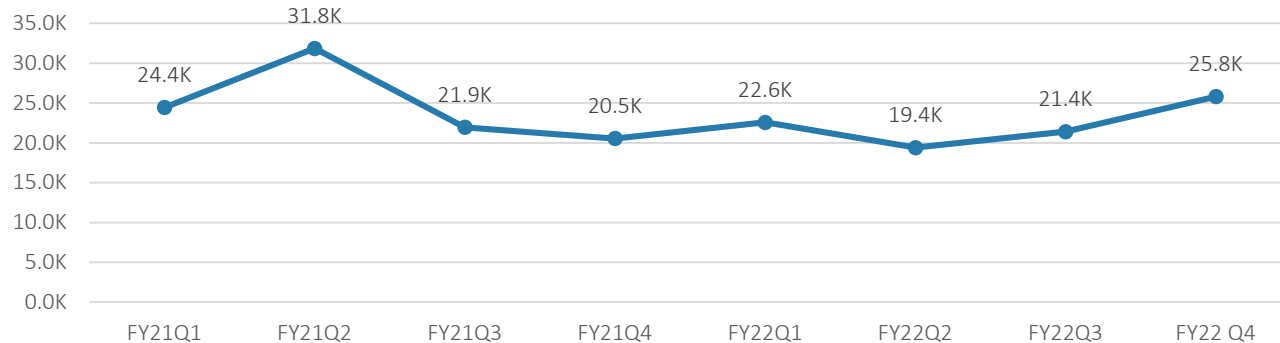
ADJUDICATIONS (CAS) INVENTORY | *Industry*

**INDUSTRY
CURRENT
INVENTORY
9/30/2022**

Industry

25.8K

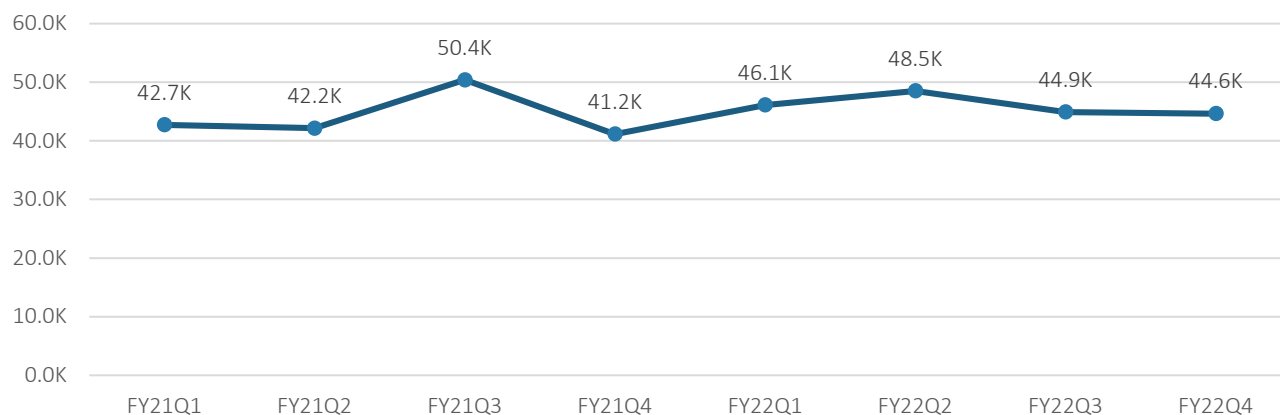
Industry Inventory



Industry Closed Cases

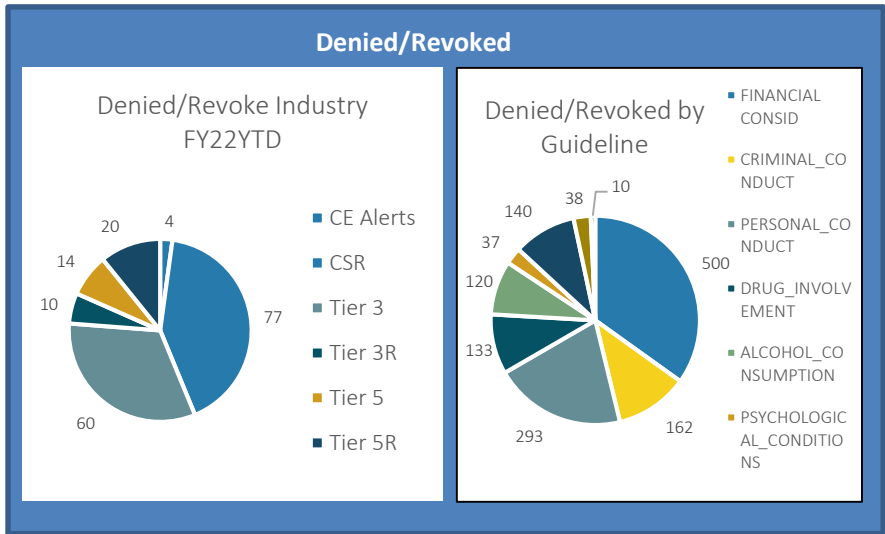
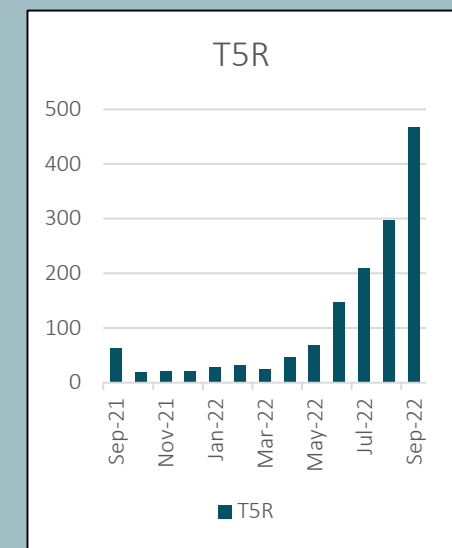
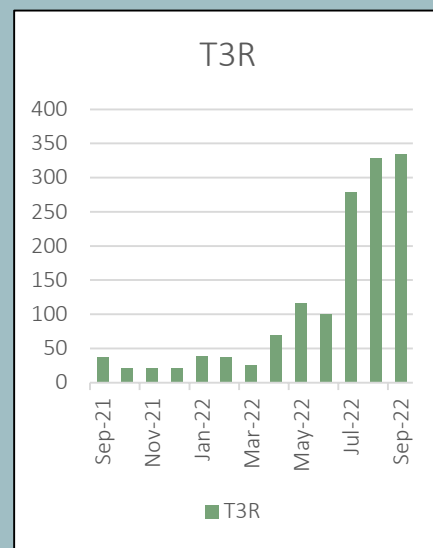
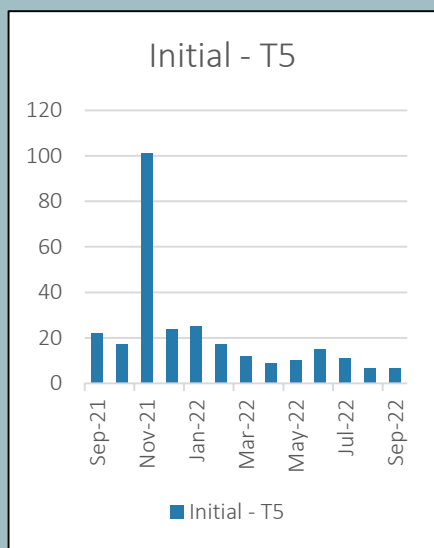
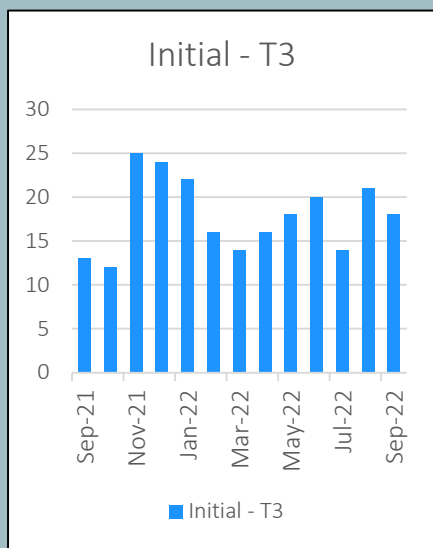
FY21Q1	42,725
FY21Q2	42,157
FY21Q3	50,387
FY21Q4	41,156
FY22Q1	46,121
FY22Q2	48,507
FY22Q3	44,915
FY22Q4	44,647

Industry Closed Cases





ADJUDICATIONS (CAS) TIMELINESS | Industry



Industry Quarterly Timeliness

Industry	Secret	Top Secret	Secret Reinvest	TS Reinvest
FY21Q1	14	13	31	30
FY21Q2	20	8	49	66
FY21Q3	11	13	33	28
FY21Q4	13	30	40	33
FY22Q1	19	75	19	20
FY22Q2	17	17	33	28
FY22Q3	18	12	92	71
FY22 Q4	18	8	310	306



NISP Industry Metrics FY22

~1M

NISP Contractors With Clearance Eligibility

207k

Requests for Investigations Processed

6%

Rate of CE Alerts Received

7 days

Average Industry Interim Determination

20K

Incidents Triaged

54k

Customer Service Requests

Risk Identification and Mitigation



- 45K Valid Alerts on 31K unique subjects
- 40% not previously reported
- CV relies heavily on culture of self-reporting (SEAD-3)

Best Practices for Initial Investigations

Fingerprints: Capture and electronically submit fingerprints **just before** submission of the investigation request to prevent an investigation request from being rejected for missing fingerprints and to allow for timely interim determination.

Prime Contract Number: Investigation request submissions may be rejected that do not **include the prime contract number**. The prime contract number is a required field for industry submissions of personnel security clearance investigations.

Accuracy & Completeness: Applicant, FSO review information in the e-QIP for completeness and accuracy prior to submission to VRO.

Periodic Reinvestigations

No longer conducting Periodic Reinvestigations.

Continued requirement to submit an updated SF-86 at 5 year intervals, regardless of level of eligibility.

Updated SF-86 submitted will be enrolled and/or captured with updated information into Continuous Vetting (CV) program.



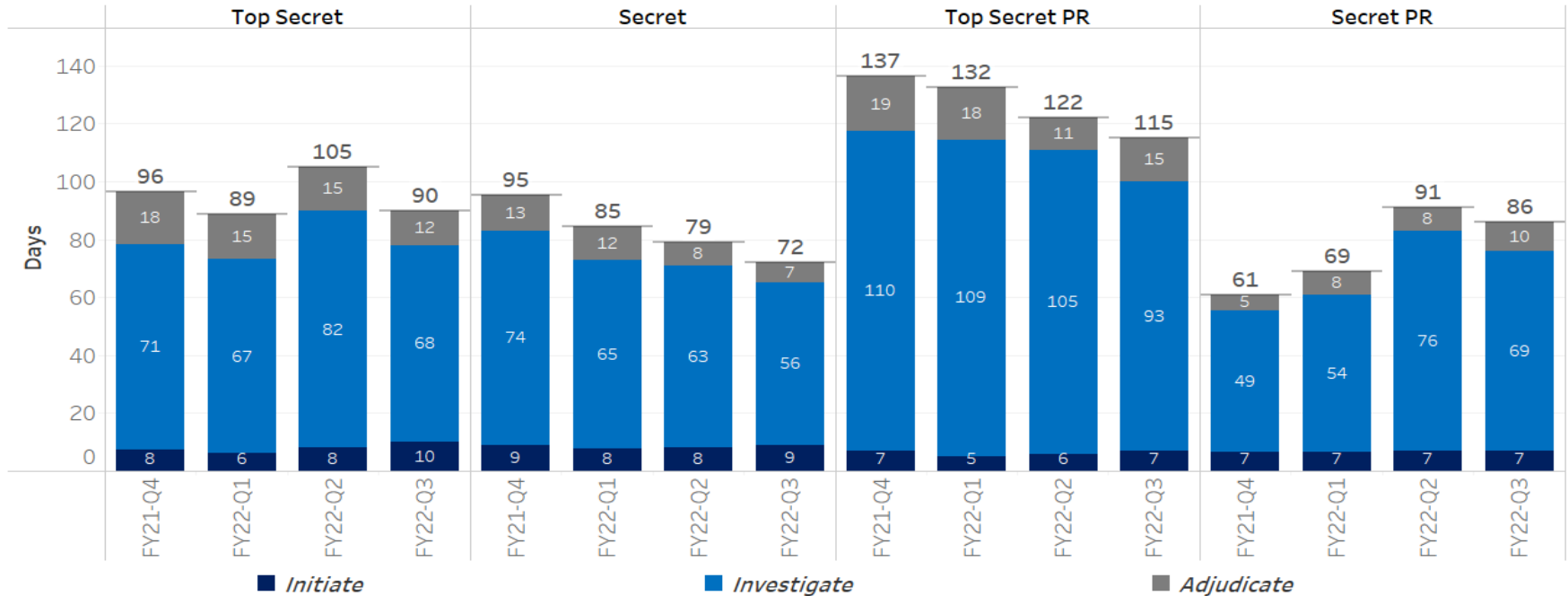
Workload & Timeliness Performance Metrics

Department of Energy



Quarterly DOE Timeliness Performance Metrics

Average Days for Fastest 90% of Reported Clearance Decisions Made



Total Adjudications Reported

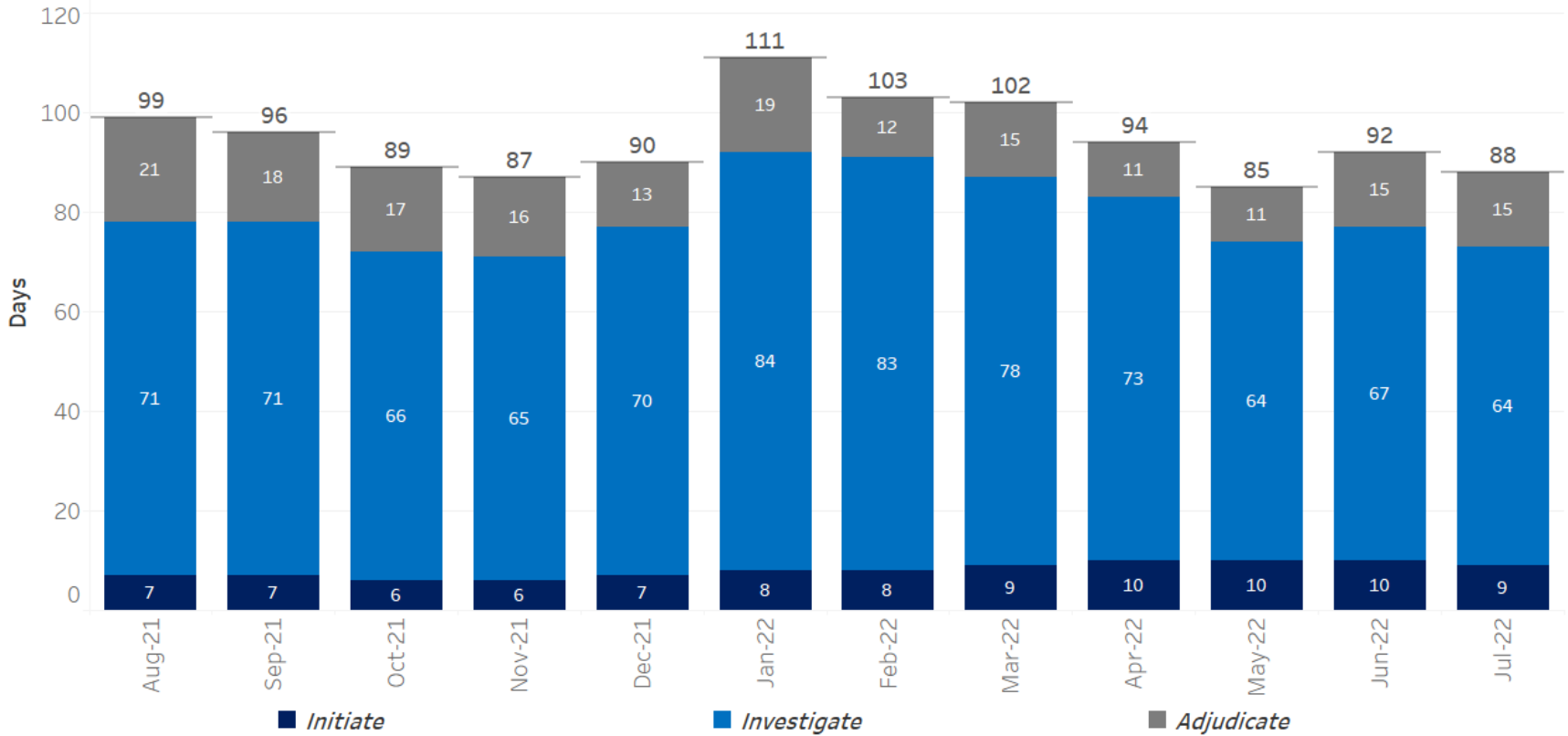
	Top Secret	Secret	Top Secret PR	Secret PR
FY21-Q4	2,281	672	2,248	428
FY22-Q1	2,225	572	1,256	390
FY22-Q2	2,143	575	1,461	708
FY22-Q3	2,467	672	2,456	752

Data representative of DOE Contractor investigations

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Monthly Timeliness for Fastest 90% of Initial Top Secret (T5) Security Clearance Decisions

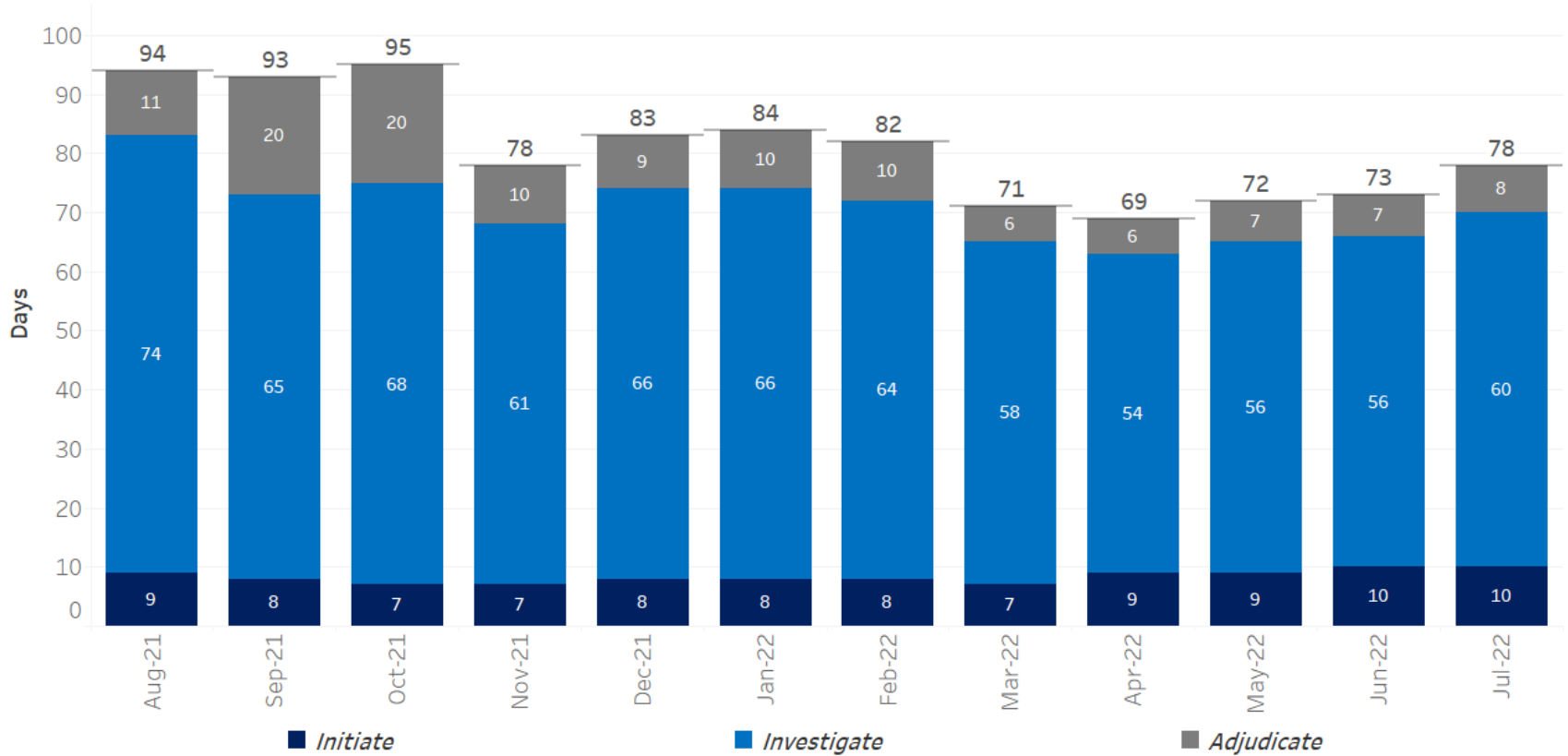


Number of Adjudications Reported

Month	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22	Jul-22
Count	718	808	751	747	727	683	626	834	736	860	871	738



Monthly Timeliness for Fastest 90% of Initial Secret (T3) Security Clearance Decisions

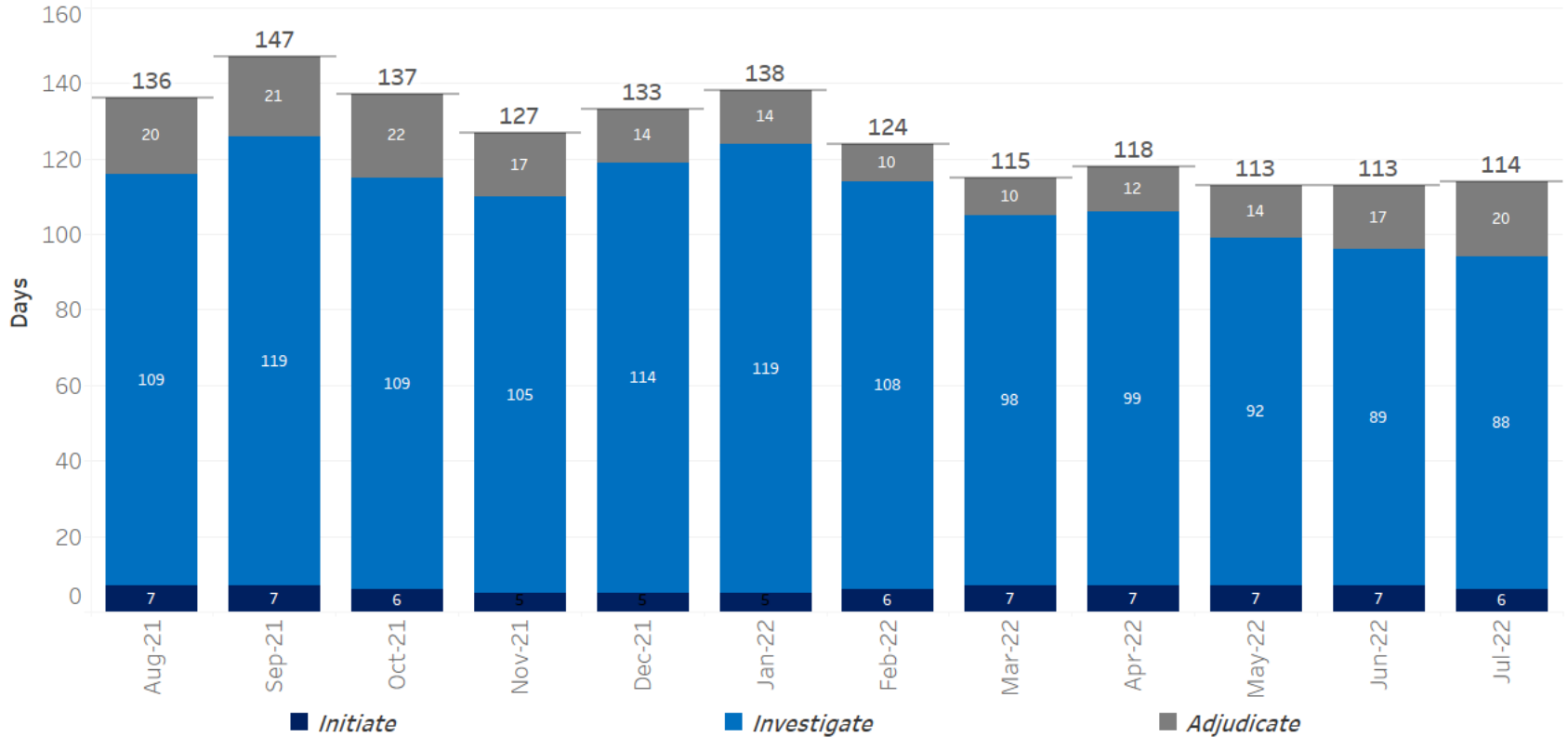


Number of Adjudications Reported





Monthly Timeliness for Fastest 90% of Top Secret Reinvestigation (T5R) Security Clearance Decisions



Number of Adjudications Reported

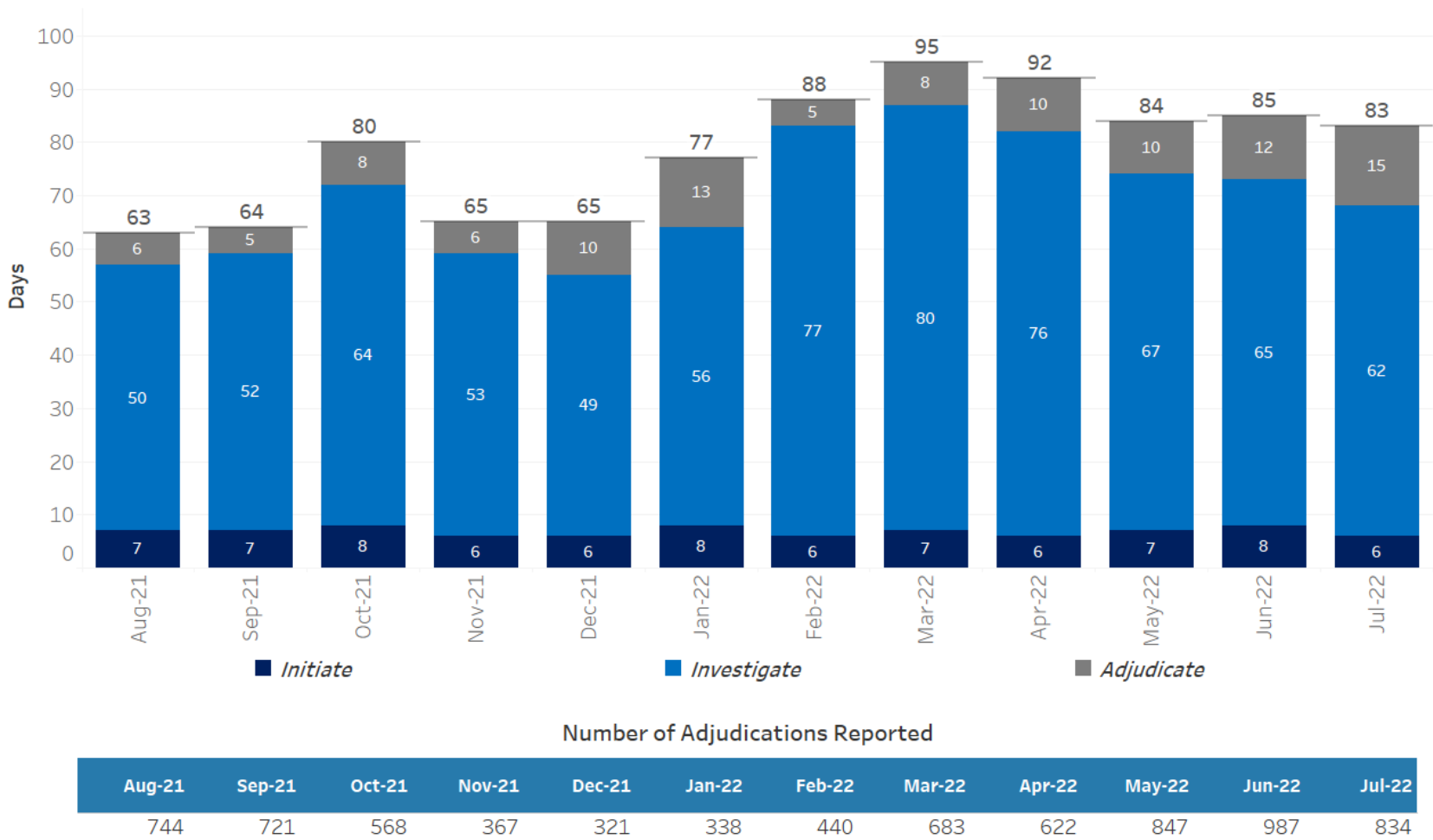
Month	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22	Jul-22
Adjudications	744	721	568	367	321	338	440	683	622	847	987	834

Data representative of DOE Contractor investigations

UNCLASSIFIED



Monthly Timeliness for Fastest 90% of Secret Reinvestigation (T3R) Security Clearance Decisions



Workload & Timeliness Performance Metrics

Nuclear Regulatory Commission

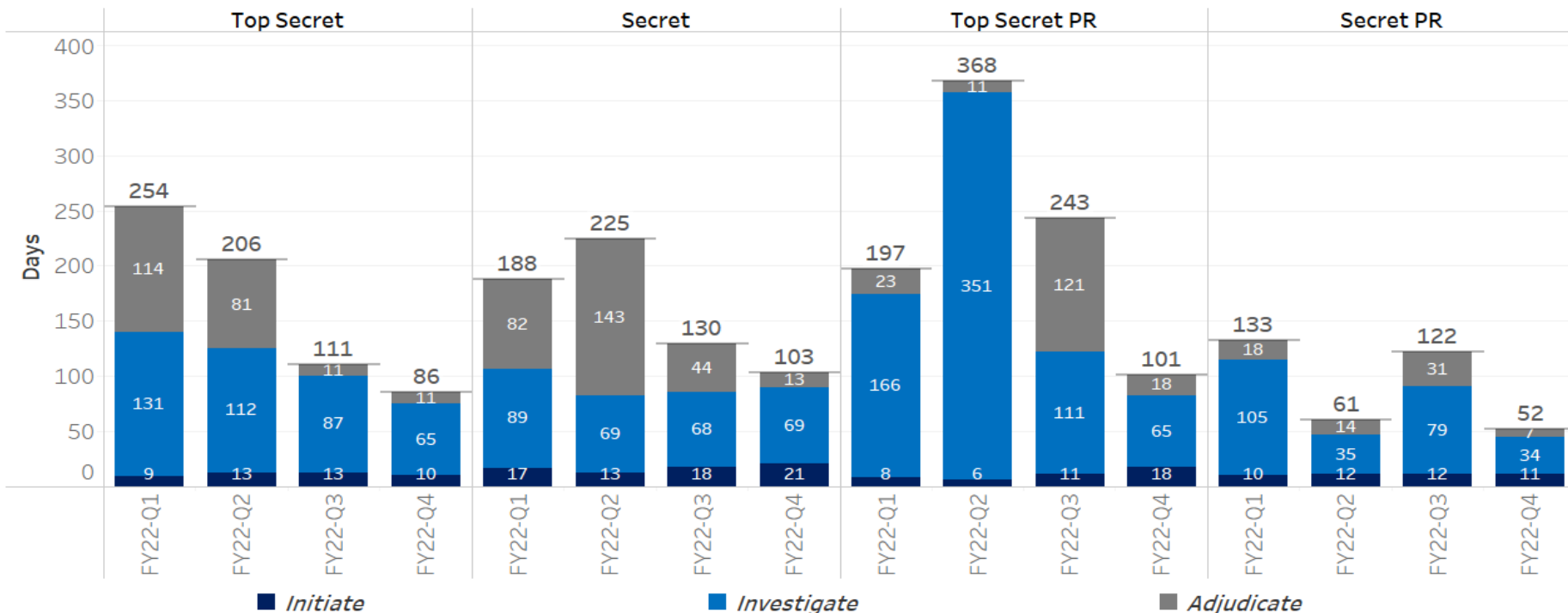
DEFENSE
COUNTERINTELLIGENCE
AND SECURITY AGENCY





Quarterly NRC Timeliness Performance Metrics

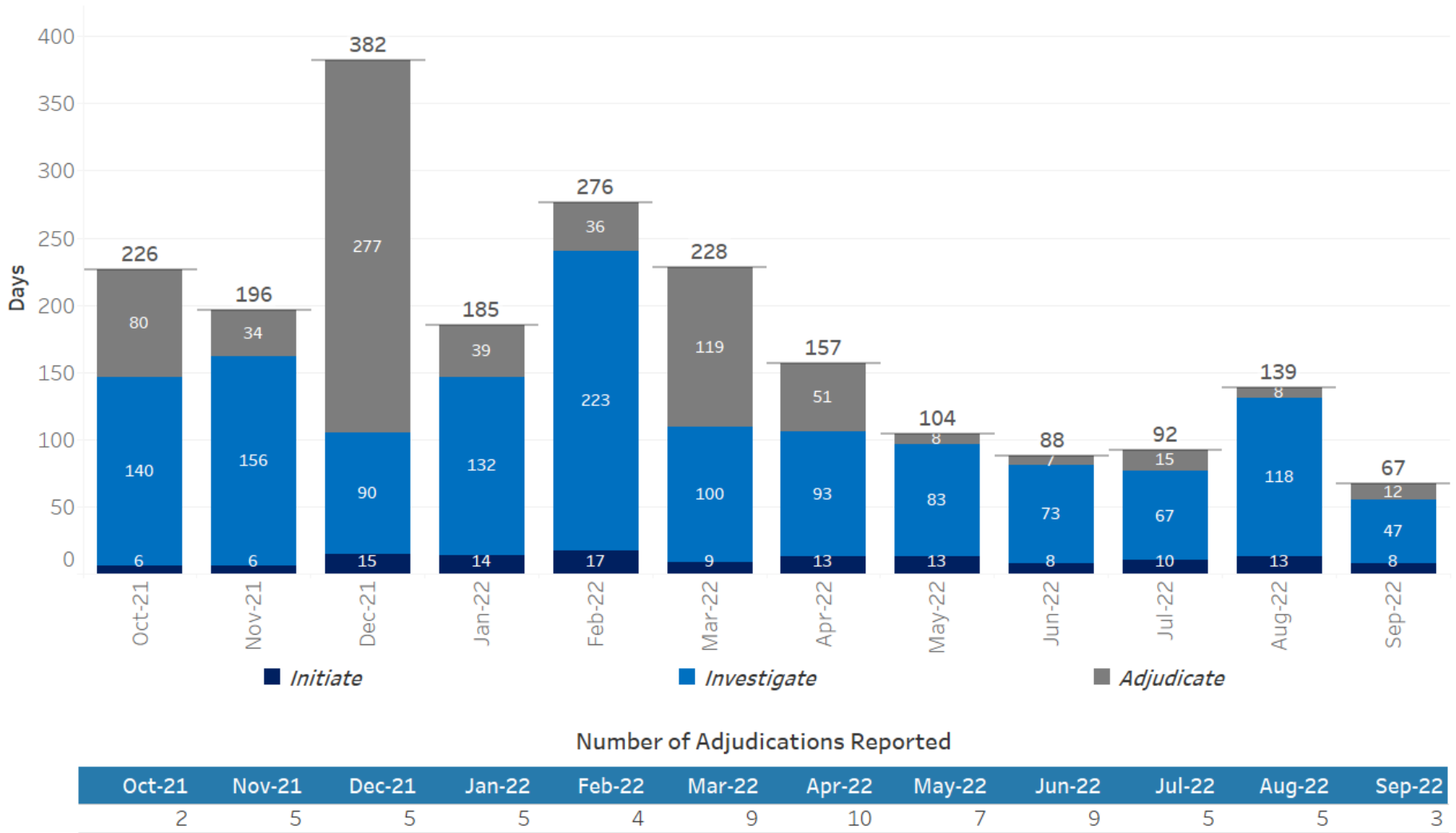
Average Days for Fastest 90% of Reported Clearance Decisions Made



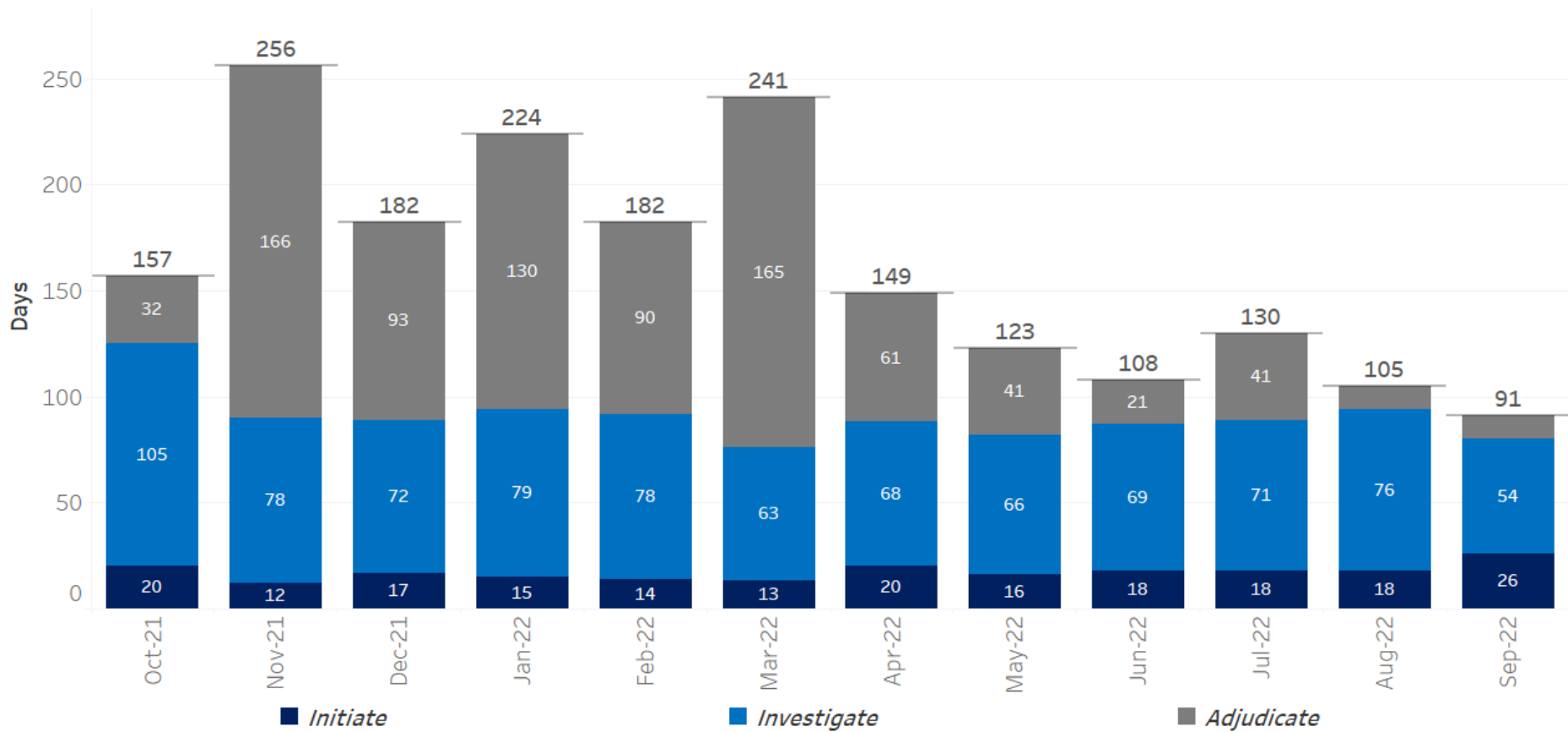
Total Adjudications Reported

	Top Secret	Secret	Top Secret PR	Secret PR
FY22-Q1	12	49	9	58
FY22-Q2	18	154	3	54
FY22-Q3	26	202	7	51
FY22-Q4	13	90	3	217

Monthly Timeliness for Fastest 90% of Initial Top Secret (T5) Security Clearance Decisions



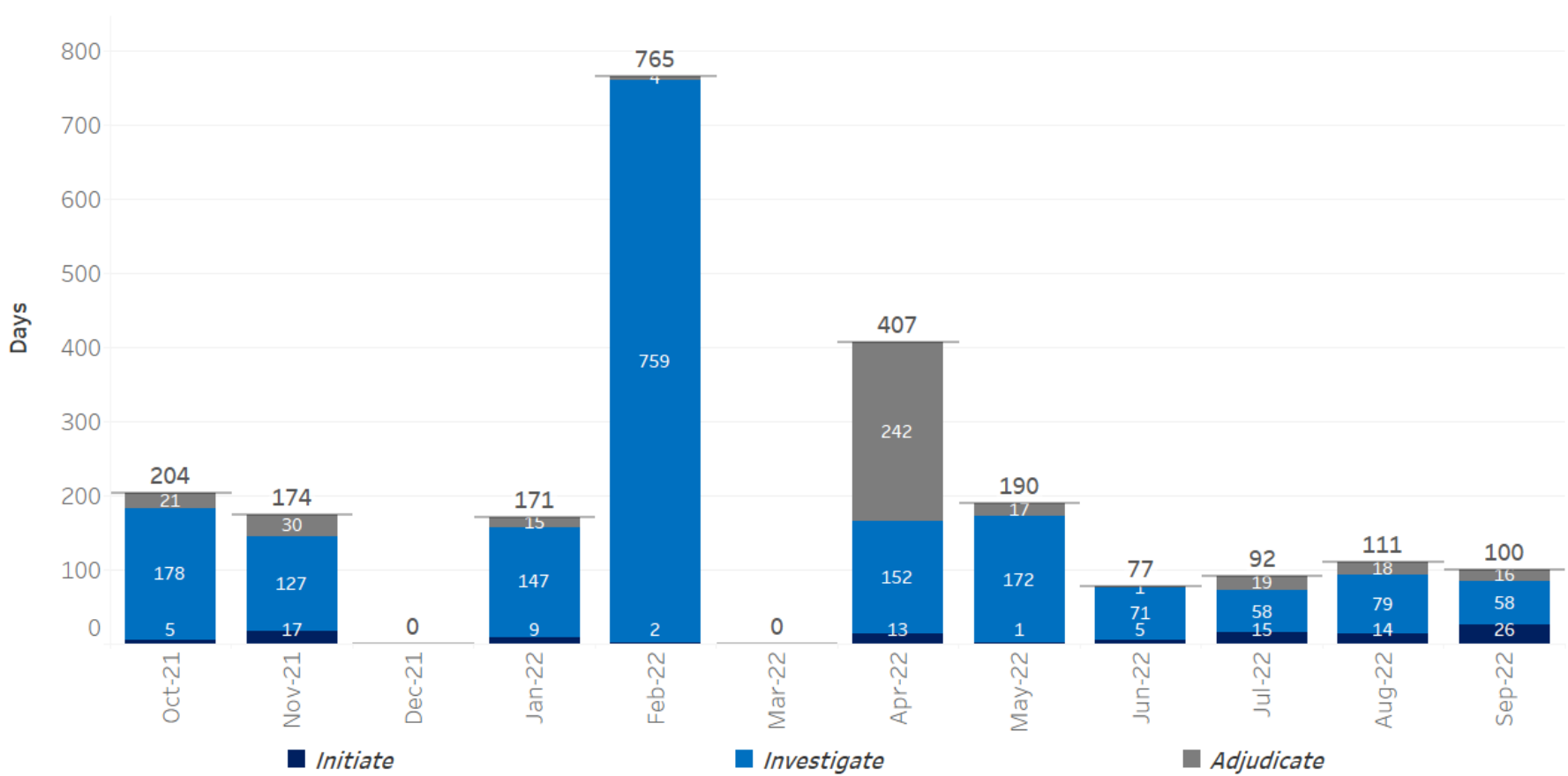
Monthly Timeliness for Fastest 90% of Initial Secret (T3) Security Clearance Decisions



Number of Adjudications Reported

Month	Number of Adjudications Reported
Oct-21	17
Nov-21	12
Dec-21	19
Jan-22	30
Feb-22	30
Mar-22	94
Apr-22	95
May-22	66
Jun-22	43
Jul-22	38
Aug-22	33
Sep-22	20

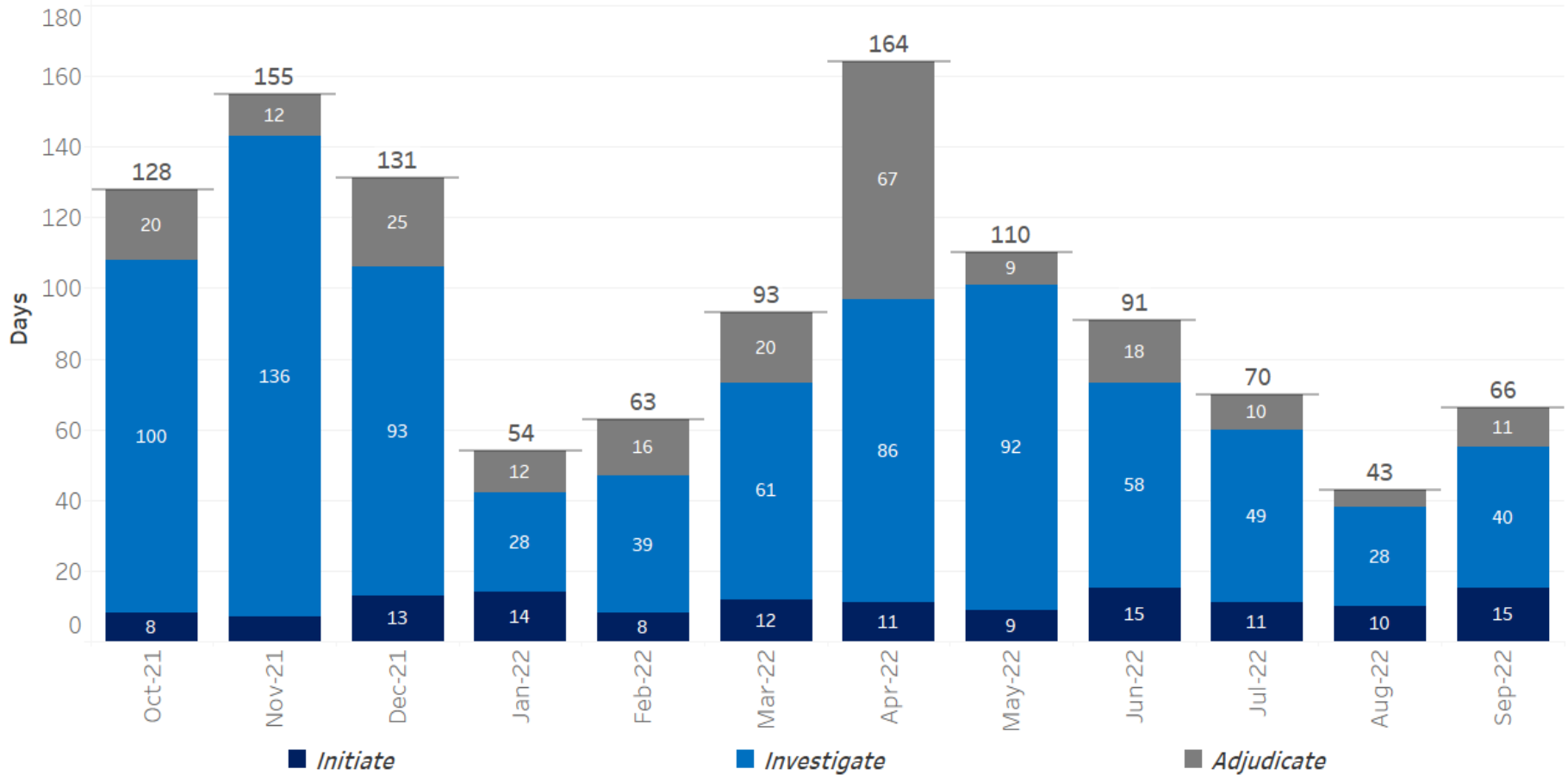
Monthly Timeliness for Fastest 90% of Top Secret Reinvestigation (T5R) Security Clearance Decisions



Number of Adjudications Reported

Month	Oct-21	Nov-21	Dec-21	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22	Jul-22	Aug-22	Sep-22
Adjudications	7	2	0	2	1	0	5	1	1	1	1	1

Monthly Timeliness for Fastest 90% of Secret Reinvestigation (T3R) Security Clearance Decisions



Number of Adjudications Reported

Month	Adjudications
Oct-21	22
Nov-21	9
Dec-21	27
Jan-22	36
Feb-22	9
Mar-22	9
Apr-22	26
May-22	12
Jun-22	14
Jul-22	24
Aug-22	113
Sep-22	79

Information Security Oversight Office

Protect • Inform • Assess



NISPPAC Working Groups

- **Policy**
 - Status of various Industrial Security policies
 - Last mtg 9/7/2022
- **Clearance**
 - Black label safes, SEAD 3, NBIS
 - Last mtg 8/31/2022
- **NISP Information Systems Authorization (NISA)**
 - Cloud Integration and Data Security
 - Last mtg 8/24/2022

NISPPAC Working Groups

■ Cost

- Cognizant Security Agencies/Offices only at this time
- Discussed how to collect costs of NISP for Industry
- Last mtg 5/4/2022

■ FOCI (formerly called NID)

- Discussed NDAA for FY 2019 Section 842, Removal of National Interest Determination (NID) Requirements for Certain Entities which stated a covered National Technology and Industrial Base (NTIB) entity operating under a special security agreement pursuant to the NISP shall not be required to obtain a NID as a condition for access to proscribed information beginning October 1, 2020
- Last mtg 12/9/2020

NISPPAC Working Groups

■ NISP Systems

- Discussed the systems associated with the NISP program at the various CSAs
- Last mtg 9/10/2020

■ Insider Threat

- Discussed training and certification of security professionals, insider threat plans, Section 9403 of the NDAA for FY 2021 (federal policy on the sharing of information pertaining to contractor employees in the trusted workforce)
- Last mtg 9/2/2020

NISPPAC

Biographies

NISPPAC

Chairman

Biography



MARK BRADLEY. The President of the United States approved Mr. Bradley's appointment as Director of the Information Security Oversight Office (ISOO), effective December 2016. ISOO is responsible to the President for policy and oversight of the government-wide security classification system under Executive Order 13526, the National Industrial Security Program under Executive Order 12829, as amended, and the Controlled Unclassified Information Program under Executive Order 13556. As the Director of ISOO, Mr. Bradley serves as the Executive Secretary of the Interagency Security Classification Appeals Panel and the Public Interest Declassification Board, and as the Chairman of the National Industrial Security Program Policy Advisory Committee, the State, Local, Tribal, and Private Sector Policy Advisory Committee, and the Controlled Unclassified Information Advisory Council. Mr. Bradley has been a member of the Federal government's Senior Executive Service since 2003.

Mr. Bradley previously served as the Director of FOIA (Freedom of Information Act), Declassification, and Pre-publication Review, National Security Division, Office of Law and Policy at the Department of Justice (DOJ). While at the Department, he also served as the Deputy Counsel for Intelligence Policy, and the Acting Chief for Intelligence Oversight.

Before joining the Department of Justice in November 2000, Mr. Bradley served as a CIA intelligence officer and Senator Daniel Patrick Moynihan's legislative assistant for foreign affairs and intelligence matters and as his last legislative director. He co-drafted the legislation that established the Public Interest Declassification Board. Mr. Bradley, who remains a member of the District of Columbia Bar, has also worked as a criminal defense lawyer in the District of Columbia defending indigents accused of serious crimes.

The Society for History in the Federal Government awarded A Very Principled Boy, his biography of Soviet spy Duncan Lee, its 2015 George Pendleton Prize for being the best book written by a federal historian in 2014.

Mr. Bradley is a Phi Beta Kappa graduate of Washington & Lee University and holds an M.A. in Modern History from Oxford University, which he attended as a Rhodes Scholar, and a J.D. from the University of Virginia.

NISPPAC

Government

Biographies



Valerie B. Kerben

**National Counterintelligence and Security Center
Office of the Director of National Intelligence**



Current Position: With 34 years of federal service, Ms. Kerben holds the position of Chief, Policy and Collaboration for the Special Security Directorate (SSD) at the National Counterintelligence and Security Center (NCSC). She joined SSD in September 2016 in the role of Senior Security Advisor. In her current capacity, she serves as lead for personnel security policy matters in the realm of the Trusted Workforce 2.0 efforts for the Director of NCSC and for the DNI in her role as Security Executive Agent (SecEA). She collaborates with the community on the reform efforts in support of the SecEA authorities to develop, implement, and integrate joint security and suitability initiatives with U.S. Government partners for effective, efficient, and uniform policies and procedures in conducting investigations and adjudications for eligibility for access to classified information or to hold a sensitive position.

Ms. Kerben also serves as the principal liaison for the Performance Accountability Council initiatives and is an active participant in personnel security working groups. As a personnel security subject matter expert, she is involved in the development of briefing materials for internal and external Hill engagements and presents at many public and private sector forums. She has been selected as the DNI primary representative for the National Industrial Security Program and Policy Advisory Council (NISPPAC) and for the State, Local, Tribal and Private Sector Policy Advisory Council (SLTPS).

Past Experiences: Previously from May 2005-September 2016, Ms. Kerben served at the U.S. Nuclear Regulatory Commission (NRC) as Chief of Personnel Security and was responsible for the operations and management of the centralized personnel security and Drug-Free Workplace programs including end-to-end security processing and rendering national security clearance and access determinations for federal, contractor and licensee employees.

Prior to joining the NRC, she worked at the U.S. Department of Justice, Immigration and Naturalization Service (INS) as a Personnel Security Specialist, responsible for policy and training and adjudicative functions. While at INS, she was nominated to the position of Chair of the Adjudicator Training Subcommittee at the U.S. Security Policy Board.

In 1988, she began her federal career with the U.S Office of Personnel Management, Washington Federal Investigative Services as a Federal Investigator. She was assigned to conduct full field background investigations for a myriad of Executive branch agencies and for various positions within the White House and on Capitol Hill. Additionally, she held various career enhancing positions such as Recruiter and Branch Chief of Student Investigator Cooperative Education Program.

Personal: Ms. Kerben obtained her B.A. in Criminology from University of Maryland, College Park. She resides in Maryland with her husband and has two daughters.



U.S. Nuclear Regulatory Commission
Chief, Security Management and Operations

Denis H. Brady

Since 2015, Mr. Brady serves as the Chief, Security Management and Operations Branch, Division of Facilities and Security, with overall responsibility for planning, developing, directing, and coordinating all policies and activities of the nationwide facilities security program for the U.S. Nuclear Regulatory Commission (NRC). Prior to his current appointment, Mr. Brady served as the program manager and nuclear security inspector supporting the NRC's force-on-force security inspection program.

In his current role, Mr. Brady oversees the NRC security program that provides for and assures the physical protection of NRC staff, classified information and activities, and government equipment and facilities to assure the continuity of activities. He formulates a wide variety of agency-wide operational security policies and techniques which involve buildings and grounds, fire, safety and security equipment and procedures, communications, guard services, access, technical security countermeasures, emergency planning, and coordination with local and Federal law enforcement agencies.

As the chief of the Security Management and Operations Branch, he develops policies, procedures and standards for physical security to safeguard National Security Information, Restricted Data and sensitive unclassified information in the possession of NRC, NRC contractors, and other personnel. Additionally, Mr. Brady acts as the authoritative NRC spokesperson on the implementation of physical security matters and coordinates with other government agencies concerning multi-agency security interests.

The NRC Insider Threat Program is directed and managed by him, and he serves as lead to the NRC's Travel Advisory Group. This group advises agency management of safety, security, and threat-related information in order to make a risk-informed decision for NRC staff planning for, or currently on official foreign and/or domestic travel.

Mr. Brady directs and manages the NRC Security Infractions/Inquiries Program involving NRC employees, contractors and licensees. He develops and implements systems and methods to preclude recurrences and assures such systems and methods are in conformance with acceptable administrative practices and procedures.

Prior to joining the NRC, Mr. Brady served in the U.S. Army as an investigator and later retired from the Army National Guard as an infantry officer. Mr. Brady was a regional vice president of a large, cleared security services firm and managed the industrial security program as its Facility Security Officer. Mr. Brady enjoys spending time with friends and family, cooking/grilling and has a passion for restoring classic cars from the 60's and 70's.

Tracy L. Kindle
U.S. Department of Energy
Personnel Security Policy Program Manager

Mr. Kindle is originally from Florida and now resides in Maryland. Mr. Kindle spent 20 years in the U.S. Army, retiring in 2005. He has held a number of positions as a civilian security specialist in various Department of Defense (DoD) agencies. After retiring from the Army, Mr. Kindle spent two and a half years as a Security Officer with the U.S. Army from 2005-2008; Information Security Specialist with the Defense Threat Reduction Agency in 2008; Industrial Security Specialist (Policy) with the Defense Counterintelligence and Security Agency formerly Defense Security Service from 2008-2013; Security Education, Training and Awareness and Information Security Supervisory Security Specialist with the Department of the Navy from 2013 to 2018. Mr. Kindle obtained four DoD Security Professional Education Development certifications and holds a Master's of Science Degree in Human Resources Development and Management from National Louis University. As the Department of Energy (DOE) Personnel Security Policy Program Manager, Mr. Kindle has the primary responsibility for assessing, clarifying, and developing DOE-wide Personnel Security Program policy. Mr. Kindle is the DOE alternate voting member on the National Industrial Security Program Policy Advisory Committee and the State, Local, Tribal, and Private Sector Policy Advisory Committee.

Rich DeJausserand serves as the Deputy Director for the Department of Homeland Security (DHS), Office of the Chief Security Officer (OCSO), National Security Services Division (NSSD), Industrial Security Program. In his role as the Deputy Director, Rich is responsible for the Departmental level protection of National Security Information, Technology, Personnel and Facilities.

Prior to assuming the Deputy Director position, he served as the DHS OCSO Compliance/Standards & Training Division Branch Chief (2015-2019), responsible for oversight of the Classified National Security Information Program for State, Local, Tribal and Private Sector entities. He also served as the DHS Science & Technology (S&T) Security Deputy Branch Chief (2011-2015), responsible for Physical Security and the Security Compliance Review program providing security support for the S&T Directorate and five National Research Laboratories.

Rich DeJausserand retired from the United States Navy and served as a Chief Petty Officer (1998-2008). He is a native of the Great State of Michigan and holds both a Masters and Bachelors Degree in Criminal Justice Administration from Columbia Southern University, Orange Beach, Alabama.

Keith Minard currently serves as the Senior Policy Advisor within the Critical Technology Protection Directorate of the Defense Counterintelligence and Security Agency (DCSA). In this role he provides policy support for DCSA leadership and staff, government, and industry partners in support of the CTP security mission that include National Industrial Security Program, SCIF Accreditation, Controlled Unclassified Information, and other key mission areas. His prior assignments include serving with the Office of the Under Secretary of Defense for Intelligence and Security, where he managed Physical Security Policy for DoD, the U.S. Army at Fort Belvoir where he served as the installation, Chief of Physical Security, and served in the United States Army as a Military Policeman for over 20-years. His professional security certifications include SFPC; SAPPC; SPIPC; Industrial Security Oversight Certification; and Physical Security Certification. His education includes a Bachelor of Arts degree in Security Management and Master of Arts degrees in Business and Organizational Security Management, and Procurement and Acquisition Management from Webster University.



Matthew Roche
Defense Counterintelligence and Security Agency (DCSA)
Critical Technology Protection (CTP)

Matthew currently serves as the CTP, Operations Division Chief, DCSA Headquarters, Russell Knox Building, Quantico Marine Base, VA. As the CTP Operations Chief he is responsible for providing the CTP Regional Directors the tactics and tools required for implementing the National Industrial Security Program.

Matthew joined DCSA in May 2002. He has 26 years' experience supporting the Department of Defense. Prior to his current position he's served in multiple capacities in DCSA including Industrial Security Field Operations, Chief of Staff, Industrial Security Program Integration Program Manager, Field Office Chief, Senior Staff Action Officer, Arms Ammunition and Explosives Program Manager, Industrial Security Representative, and Personnel Security Investigator.

Matthew completed the Leadership in a Democratic Society Program, Federal Executive Institute, Charlottesville, VA — 2017, Executive Leadership, Harvard Kennedy School, Cambridge, MA — 2014, Bachelor of Arts, California University of Pennsylvania, California, PA — He is a US Army veteran where he served as an airborne infantryman. The Army awarded Matt an Army Commendation Medal for his service. Matt earned a Bachelor of Arts degree in Political Science from California University of Pennsylvania, California, Pennsylvania in 1994.

Stephen Barbieri currently serves as the Assistant Director for the Department of Commerce's Information Security Division, which includes the management of the Department's Information Security, Industrial Security, Communications Security, Operations Security, and Special Security programs. Stephen's previous assignments at the Department include Acting Assistant Director for the Office of Security's Client Security Services Division, where he oversaw the Department's Bureau level security offices, and as the Information and Industrial Security Program Manager. Prior to the Department of Commerce, Stephen was a multi-disciplined security specialist at the United States Citizenship and Immigration Services. Stephen received a Bachelor of Arts in Sociology from the Catholic University of America and a Master of Public Administration and Policy from American University, both in Washington, D.C.



Elizabeth O'Kane

**Senior Security Advisor,
Counterintelligence, Human Intelligence, Foreign Disclosure, & Security Directorate
Office of the Deputy Chief of Staff for Intelligence, G-2
Headquarters, Department of the Army**

As the Army's Senior Security Advisor, Elizabeth manages the policy, political, programmatic, and technical challenges confronting the Army's security portfolio. She also served the Army G-2 as the Chief of Personnel Security, advocating for swift personnel vetting reform. Prior to the Army, she worked at the Office of the Under Secretary of Defense for Intelligence & Security as the Department's lead for Continuous Evaluation. During this time, Elizabeth also oversaw the directorate's budget, executed numerous contracts, and made key contributions to the insider threat and the personnel, information, and physical security teams. Elizabeth began her federal career in a developmental position with the Defense Information Systems Agency (DISA). While at DISA, Elizabeth served in several positions and gained diverse experience in information technology, data analysis, human resources, consulting, program management, policy, and acquisition. Elizabeth obtained both her bachelor's and master's degrees from Indiana University's School of Public and Environmental Affairs in Bloomington, Indiana. She continued her passion for learning by completing both the DoD and the DISA Executive Leadership Development Programs and achieving a Chief of Information Operations Certificate from the National Defense University. Elizabeth has received formal recognition throughout her career for individual and team performance as well as risk-taking. Elizabeth prides herself on building teams that promote innovation, creativity, and diversity in the workplace. She is happiest enjoying the outdoors and spending time with her husband and three children.

JENNIFER M. AQUINAS

Jennifer M. Aquinas, a member of the Senior Executive Service, is the Director of Security, Special Program Oversight and Information Protection, Office of the Administrative Assistant, Office of the Secretary of the Air Force, Arlington, Virginia.

Ms. Aquinas served as an officer on active and reserve Air Force from 1996 to 2016 in a variety of Security Forces assignments. She entered federal civil service in 2008 with the Office of the Secretary of Defense for Intelligence. She transferred to the Department of the Air Force in 2013 and was instrumental in standing up the Air Force Counter Insider Threat program and leading Department of Defense efforts in personnel vetting and security reform. She was appointed to the Senior Executive Service in 2020 as the Deputy Director, Security Special Program Oversight and Information Protection.

In her current position, she provides security leadership, policy direction, integration and oversight of the Department of the Air Force's Special Access Programs and Information Protection Enterprise to protect the nation's most sensitive information, technologies and capabilities. In this capacity, she is also the Functional Manager for the Department of the Air Force's more than 2,000-member civilian security community.



EDUCATION

1996 Bachelor of Science, Criminal Justice, Pace University, New York
 2001 Squadron Officer School, Air University, Maxwell Air Force Base, Ala.
 2002 Master of Arts, Business and Organizational Security Management, Webster University, St. Louis
 2010 Master of Military Operational Art and Science, Maxwell AFB, Ala.
 2017 Master of Arts, National Security and Resource Strategy, Fort Lesley J. McNair, Washington, D.C.

CAREER CHRONOLOGY

1. 1996–1998, Flight Leader, 10th Missile Squadron, Great Falls, Mont.
2. 1998–1999, Flight Commander, 341st Missile Squadron, Great Falls, Mont.
3. 1999–2000, Flight Commander, 11th Security Forces Squadron, Bolling Air Force Base, Washington, D.C.
4. 2000–2002, Flight Commander, Detachment 1, 11th Security Forces Squadron, the Pentagon, Arlington, Va.
5. 2002–2016, Commander, U.S. Air Force Reserve (various assignments)
6. 2002–2008, Contractor, Department of Defense, the Pentagon, Arlington, Va.
7. 2008–2013, Security Specialist, Office of the Under Secretary of Defense for Intelligence, the Pentagon, Arlington, Va.
8. 2013–2015, Program Manager, Office of the Administrative Assistant to the Secretary of the Air Force, the Pentagon, Arlington, Va.
9. 2015–2016, Division Chief, Strategy, Readiness and Force Development, Directorate of Security Forces, the Pentagon, Arlington, Va.
10. 2016–2017, Student, Eisenhower School, National Defense University, Washington, D.C.
11. 2017–2020, Division Chief, Security Policy and Oversight, Office of the Administrative Assistant to the Secretary of Air Force, the Pentagon, Arlington, Va.
12. 2020–2022, Deputy Director, Security, Special Program Oversight and Information Protection, the Pentagon, Arlington, Va.
13. 2022–present, Director, Security Special Program Oversight and Information Protection, the Pentagon, Arlington, Va.

AWARDS AND HONORS

Air Force Meritorious Civilian Service Award
 Meritorious Service Medal
 Air Force Commendation Medal
 Air Force Achievement Medal
 National Defense Service Medal
 Global War on Terrorism Service Medal
 Nuclear Deterrence Operations Service Medal with "N" device
 Armed Forces Reserve Medal

(Current as of March 2022)





Vaughn Simon



**National Aeronautics and Space Administration
Headquarters, Office of Protective Services**

Industrial/Information Security Program Manager

As NASA's Industrial/Information Security Program Manager in the Office of Protective Services, Mr. Simon is responsible for assessing, advising, and developing Agency wide Industrial Security and Information Security Program policy/guidance and training. He provides oversight and management of the Information and Industrial Security Programs while maintaining, planning classification/declassification review processes and procedures for classified national security information (CNSI), Department of Energy (DOE) Restricted, and Formerly Restricted Data handled by all NASA employees and contractors. Mr. Simon also serves as NASA, DOE, North Atlantic Treaty Organization (NATO) and other government agency's inspection and review coordinator.

Mr. Simon began his career at NASA as a security officer from 2013-2014, then transitioned into the Investigator/ Security Officer Training manager position. In 2015, Mr. Simon was selected into the highly coveted NASA Protective Services Training Academy serving as an instructor for the security officers from 2015-2017. He coordinated and facilitated training of onsite and offsite officers and NASA protective service personnel, including Federal Arrest Authority (FAA) and bi-annual firearms qualifications. In 2017, Mr. Simon accepted the position of Intelligence Analyst / Security Specialist Program Lead for NASA's Industrial Security Declassification Program. He was the primary liaison for implementing national security directives, declassification management, and industrial security considerations. In September 2022, Mr. Simon transitioned to his current role and officially began his federal service with NASA.

In addition to his career at NASA, Mr. Simon spent 9 years in the United States Marine Corps, retiring in February 2021. During his tenure he progressed to becoming a Sergeant and served as an intelligence specialist and foreign security force advisor. He is a Maryland native but draws his roots from the island of Dominica.

NISPPAC

Industry

Biographies

HEATHER M. SIMS



Mrs. Heather Sims provides Strategic Industrial Security advice for the Chief Security Officer at L3Harris, headquartered in Melbourne, Florida. Her primary responsibility is to provide subject matter expertise for a variety of security disciplines throughout the L3Harris enterprise.

Ms. Sims is also the current Industry Spokesperson to the National Industrial Security Program Policy Advisory Committee (NISPPAC). NISPPAC members advise on all matters concerning the policies of the National Industrial Security Program, including recommending changes. The NISPPAC serves as a forum to discuss policy issues in dispute.

Prior to her arrival at L3Harris, Mrs. Heather Sims was the Strategic Security Advisor to the General Dynamics Chief Security Officer. Prior to her arrival in cleared industrial, Heather was the Assistant Deputy Director for Industrial Security Field Operations at the former Defense Security Service, now Defense Counterintelligence and Security Agency located in Quantico, Virginia. Mrs. Sims was responsible for the day-to-day field operations throughout the United States and was an instrumental liaison to other government agencies and cleared contractors. Prior to assuming the role of Assistant Deputy Director, she was the St. Louis Field Office Chief, responsible for supporting approximately 700 facilities in Missouri, Illinois, Wisconsin, Indiana, Minnesota, and Iowa. Mrs. Sims last role with DSS was a special Department of Defense project on behalf of the Secretary of Defense researching and preparing a Congressional response to The National Defense Authorization Act for Fiscal Year 2017 Section 951, ultimately bringing the security investigation mission back to the department for the federal government.

Prior to her employment with DSS, Mrs. Sims was the Chief, Plans and Programs, 375 Security Forces Squadron, Scott Air Force Base, Illinois. Mrs. Sims provided supervision to over 27 staff personnel comprised of civilian, military and contractors. She had program management oversight of the following; Police Service, Installation Security, Physical Security, Electronic Security Systems, Policy and Plans, Installation Constable, Reports and Analysis and Information/Industrial/Personnel Security at an Air Force installation that was home to USTRANSCOM, Headquarters Air Mobility Command, Air Force Communications Agency and three Air Force wings. Additionally, Mrs. Sims was responsible for security oversight of 64 geographically separated units spread throughout the United States.

Mrs. Sims holds a Bachelor's degree in Workforce Education and Development from the University Southern Illinois. She is also a graduate of the Excellence in Government Senior Fellows Program and the Federal Executive Institute as well as a recipient of the Distinguished Service Award and the Air Force Exemplary Civilian Service award. Mrs. Sims grew up in Pennsylvania and began her Air Force career in August 1989 as a Law Enforcement Specialist. Following Law Enforcement technical training, she was assigned to various overseas and stateside assignments working a variety of law enforcement and security positions. She lives in Melbourne, Florida with her husband John Sims and two of their three children.

Aprille Abbott, ISP[©]

INDUSTRIAL SECURITY PROFESSIONAL

Aprille Abbott is currently employed by the MITRE Corporation as an Industrial Security Program Lead and Corporate FSO. She has been a security professional for over 20 years' and has had notable success in a broad range of initiatives that provided support to the security community. The most impactful initiatives have involved her active roles in NCMS "Society of Industrial Security Professionals in the following capacities:

- President of the Society 2 years
 - NCMS MOU representative to the NISPPAC
- Vice President 2 years
- Board of Director 9 years
- National Seminar Chair 3 years
- National Program Chair 2 years
- National Chapter Chair Liaison to the NCMS Board of Directors
- New England Chapter Local leadership positions
- Elected to the NISPPAC October 2019

Each of these roles required her to foster working relationships with government and industry partners, demonstrate leadership qualities, work to implement change and attend Government and Industry meetings as the representative for NCMS and Industry.



DEREK W. JONES
Assistant Department Head, Government Security
Security Services Department
Massachusetts Institute of Technology
Lincoln Laboratory

Derek W. Jones serves as the Assistant Department Head for the Security Services Department at MIT Lincoln Laboratory providing direct program support under the Laboratory's Chief Security Officer. Mr. Jones has supported Lincoln Laboratory for almost 17 years serving in a variety of positions to include personnel security, business operations and manager of industrial security.

In his current role, Mr. Jones is directly responsible for security management oversight, guidance and direction for all facets of the Laboratory's industrial security program and special program activities to meet government and contractual security requirements. His responsibility also includes managing the security program for local remote facilities and Laboratory field sites. Mr. Jones serves as a security senior management representative with government sponsors to include the Air Force, DARPA, DCSA, ODNI, etc. Key program oversight aspects include: personnel security, commercial background investigation program, visitor services, education and awareness, vulnerability assessments, closed/secure area administration and construction, policies and procedures, investigations, counterintelligence and insider threat. In addition, he is responsible to manage security fit-up and operational support for remote activities.

Mr. Jones has a long and proven track record providing critical support to a high performing security operation that has been nationally recognized by OUSD. The program at Lincoln Laboratory is dynamic and complex requiring critical skills in leadership, influence and project execution. Mr. Jones was one of the elite selected to participate in MIT's Leadership Program where only two fellows are chosen to attend. He has also received numerous awards for his participation or leadership in a number of efficiency improvements and large scale infrastructure projects. Mr. Jones chairs the FFRDC/UARC Policy Working Group and leads monthly telecons with the other FFRDC/UARC partners to encourage the sharing of information, best practices and experiences. Mr. Jones is a member of the MIT Lincoln Laboratory Information Technology Security Counsel, teaming with the Chief Information Officer and key IT personnel and leaders within the Laboratory to enable a secure environment to mitigate incidents and deter insider threat.

Mr. Jones received his undergraduate degree in Criminal Justice from Westfield State University, and received his graduate degree in Criminal Justice from the University of Massachusetts Lowell. He has served on the University of Massachusetts Alumni Board upon the personal request from the Criminal Justice program department head.

Tracy Durkin

Mrs. Tracy Durkin is a dedicated security professional with over 30 years of experience in multiple security disciplines across the Intelligence and DoD communities.

Tracy is currently a Vice President in security at ManTech in Herndon, VA. She manages and oversees their Personnel Security Center (PSC), Physical Security team, Security Education Program, Systems Security and the Information Security team.

Tracy was elected as a National Industrial Security Program Advisory Committee (NISPPAC) representative in 2020 and is the representative for industry in the NISPPAC Clearance Working Group. She also serves as the Chairperson on the Board of Directors for the Industrial Security Working Group (ISWG).

Tracy holds a Business Management degree from Strayer University. She is also a Certified Facility Security Officer. Tracy grew up in Maryland and began her security career in 1990 when she became a security officer supporting several Intelligence agencies. She lives in Warrenton, VA with her husband Jared and her two sons. Tracy also has two daughters and five grandchildren.

Greg Sadler, CISSP, CISM
Senior Director, Security



Greg Sadler has over 25 years of industrial security experience associated with managing and directing Government contracts. He is currently employed by General Dynamics Information Technology, a leading provider of information technologies and related services within agencies of the Department of Defense, the Intelligence Community, the U.S. Department of State, the U.S. Department of Homeland Security, the U.S. Department of Justice, and other agencies.



As a direct report to the Vice President of Security, Mr. Sadler provides security support to all GDIT Defense business entities worldwide, emphasizing integration of security with core business process. Mr. Sadler has been working for GDIT for over two years with previous engagements with PAE, USIS, TASC, Northrop Grumman, Sprint Nextel and Lockheed Martin.

As a Senior Director of Security, Mr. Sadler oversees security of the 10,000+ employees as well as company assets around the globe. He manages a security staff of over 50 and administers an annual budget in excess of \$6 million. Mr. Sadler is responsible for security programs that ensure the safeguarding of classified material and directs a team of professionals that support the security aspects of SCI, Special Access, and DOD security issues.

Mr. Sadler is experienced in all elements of industrial security under the cognizance of Intelligence Community and Department of Defense clients. His expertise includes a history of information systems, physical and personnel security operations as an industry partner. Mr. Sadler has served as a consultant to other companies in development of security programs, security information management, and incident management. Mr. Sadler is a Marine Corps veteran, former Co-Chairman of CAISSWG's DC Chapter, served on the Industrial Security Working Group (ISWG) Board of Directors, holds a BS in Business Administration from Strayer University and is completing an MBA through the Jack Welch Management Institute. He has maintained Certified Information Systems Security Professional (CISSP) and Certified Information Security Manager (CISM) certifications since 1999 and 2003 respectively.



DAVE TENDER

Chief Security Officer

Dave Tender is ASRC Federal's chief security officer (CSO). As CSO, Dave is responsible for managing security and facility operations including physical and personnel security, clearances and facilities.

Dave is a seasoned security leader with over 25 years of experience. He is deeply skilled in executive security operations leadership and execution, security program development, cyber support, disaster recovery, business continuity, integration activities as well as helping align the company to meet new security compliance requirements. Prior to joining ASRC Federal, Dave was vice president, chief security officer of Perspecta where he led a 100-person enterprise security and emergency operations team and managed Perspecta's Insider Threat program. Additionally, he was the vice president, chief security officer of Vencore, Inc. where he managed a team of 50 employees supporting enterprise security operations and a budget of \$4.5 million dollars.

Dave is a board member for the National Industrial Security Program Policy Advisory Committee (NISPPAC) and vice chairman of the Intelligence Security Working Group (ISWG). In his free time, Dave enjoys indoor and outdoor activities with his family.

Dave holds both a bachelor's and master's degree in security management from American Military University.

Jane Dinkel



Jane has 30+ years of Security experience both in Industry and government. She joined Lockheed Martin Corporation in 2008 as the Facility Security Officer of the “AA” facility in Grand Prairie TX. Jane has served in roles of increasing responsibility and is currently Director of Security of Enterprise performance and Integrated Air Missile Defense Line-of-business which includes international sites in the United Kingdom, Japan and others as well as leading the Inspection Readiness Program for Lockheed Martin Missiles and Fire Control. During her term in Industry, Jane’s sites have earned 99.99% Superior ratings under DCSA Cog and numerous James S. Cogswell awards. Jane also provides leadership and strategic direction for Lockheed Martin on National Policy and specifically for Missiles & Fire Control regarding National Industrial Security Program compliance.

Prior to joining Lockheed Martin, Jane served 20+ years with Defense Counterintelligence and Security Agency (DCSA), formerly Defense Security Service (DSS) and Defense Investigative Service (DIS). Jane’s experience with DCSA included Field Office Chief in Dallas, Texas and Huntsville, Alabama; Industrial Security Representative, Polygraph Examiner and Investigator. Jane is also a recipient of the DSS Distinguished Service Award .

Over the years, Jane has served on a variety of government and industry working groups (WG) and committees to include Core Industry Group - DSS in Transition/DCSA in Transformation, DCSA Security Rating System WG, NISPPAC DISS Industry Team, DCSA Government and Industry Security Training Committee (GIST), NISPPAC NISPOM Re-write committee and NISPPAC Industry Policy WG, to name a few.

Jane is currently a member and was recently re-elected to her 3rd term on the NCMS Board of Directors where she has served on the NCMS Board Executive Committee as Vice President and chaired numerous national level committees including NCMS National Government & Industry Committee. Jane currently serves as the 2023 NCMS National Seminar Chair to be hosted in New Orleans, LA Jun 6-8, 2023. She also serves on the DFW Joint Security Awareness Council (JSAC) Board of Directors and JSAC Steering Committee. Jane achieved her Industrial Security Professional (ISP) certification in 2010 and re-certified in 2013, 2017 and 2019.

Jane earned a Bachelor of Science in Business Administration (Major: Finance) and a Master’s in Security Management (Concentration: Industrial Security).

When not working, Jane enjoys spending time outdoors off-roading, target shooting and hiking with her two sons (Luke and Josh), spending time with her fur-babies (Zeus, Athena and Ladybug) and traveling.

ISAIAH “IKE” RIVERS

Chief Security Office/Senior Information Security Officer

Institute for Defense Analyses

Isaiah Rivers Jr. is the Chief Security Officer and Senior Information Security Officer at the Institute for Defense Analyses (IDA) located in Alexandria, VA. Isaiah has over 18 years of Security experience supporting the DoD and Intelligence Community Industrial Security Programs and 20 years of Military Leadership in support of the DoD and Intelligence Community Industrial Security Programs; Retired Air Force SNCO of 20 Years of Active Service.

Isaiah leads a team of 120 Security professional supporting IDA's Security Program. Isaiah implements and oversees all aspects of IDA's Special Access Programs, Personnel Security, Physical Security, Classified Information System Security, and Procedural/Program Security. Coordinating with IDA's Executive Council, Isaiah implements IDA's strategy to assess and mitigate risk, manage crises and incidents, maintain continuity of operations and safeguarding of the organization.

He will also serve as IDA's Insider Threat Program Senior Official, which is responsible for overall company's operations, including oversight of security. He is also a member of the Alexandria/Arlington Police Department Community Insider Threat Program.

In his security roles, Isaiah has been a champion for government/ industry partnership. Isaiah frequently engages the Defense Counterintelligence and other Intelligence Community Security Agency to improve both the Security program of the corporations he supports, but also industrial security as a whole by participating as an Industrial Representative for the NISPPAC, FFRDC Security Executive Council, and serves on working groups through NCMS, ISWG, NDIA, and ASIS.

Isaiah is married to Alisha Rivers and together they have 5 wonderful children.

NISPPAC

Speaker

Biographies



Peregrine D. Russell-Hunter
Director
Defense Office of Hearings & Appeals (DOHA)

As the Director of the Defense Office of Hearings & Appeals, Mr. Russell-Hunter oversees all of DOHA's Administrative Judges, Department Counsel, Personnel Security Adjudicators, and administrative staff as either second or third level supervisor. Prior to his appointment to the Senior Executive Service as Director of DOHA, Mr. Russell-Hunter served as Deputy Director of DOHA, after serving for more than ten years as DOHA's Chief Department Counsel; during which time he was awarded the Secretary of Defense Medal for Exceptional Civilian Service in January of 2001. Mr. Russell-Hunter was appointed Chief Department Counsel in 1996, after serving as the Deputy Chief Department Counsel during 1995. Prior to becoming the Deputy Chief Department Counsel, Mr. Russell-Hunter served as a Department Counsel representing the Government in industrial security clearance due process cases. He is a frequent invited speaker on the topic of security clearance due process at national industrial contractor conferences convened by such groups as AIA/NDIA, the National Security Institute's "IMPACT" series, ASIS, and the CSSWG; as well as local chapters of the Industrial Security Awareness Council and National Classification Management Society. He regularly teaches the personnel security clearance process in courses at the DCSA's CDSE and the DC Bar. He has served on various working groups to reform the clearance process within the Department of Defense and across Government. He has served on the DoD/DNI Joint Security and Suitability Process Reform Team since its inception in June of 2007. He and the rest of the Joint Reform Team received the Director of National Intelligence's Meritorious Unit Citation in 2009. He was again awarded the Secretary of Defense Medal for Exceptional Civilian Service in January of 2017 for his leadership of DOHA and his interagency work on clearance reform.

Mr. Russell-Hunter is an Adjunct Professor of Law at the Georgetown University Law Center in Washington, D.C. where he teaches trial advocacy and civil litigation practice and where he was named the Charles Fahy Distinguished Adjunct Professor of Law for 2016-2017. Mr. Russell-Hunter is also on the faculty of the non-profit National Institute for Trial Advocacy program where he teaches trial advocacy and deposition skills to practicing attorneys and is the Director of the DC Deposition Skills Program and the Deposing the Expert Program. Mr. Russell-Hunter is also a Past President of, and a charter member of, the Federal American Inn of Court in Washington, D.C., where, from 1990 to 2010, he taught litigation and trial advocacy skills to practicing attorneys and law students. In the American Inns of Court, instruction by judges and practicing attorneys emphasizes ethics and civility in trial advocacy.

Prior to his nearly thirty years of federal service with the Department of Defense, Mr. Russell-Hunter practiced with the law firm of Pepper, Hamilton & Scheetz in Washington, D.C.

Mr. Russell-Hunter graduated *magna cum laude* from Syracuse University in Syracuse, New York, with a Bachelor of Arts degree with majors in both English and Political Science. While at Syracuse, Mr. Russell-Hunter was *Phi Beta Kappa* and received the Senior Leadership Award and the James F. Reynolds Award in Political Science. Mr. Russell-Hunter received his *Juris Doctor*, from Northwestern University School of Law in Chicago where he served on the school's Moot Court Board and practiced in the Legal Clinic.

Mr. Russell-Hunter is a member of both the Commonwealth of Pennsylvania and District of Columbia Bars. His direct office number is (703) 696-4751.
