

National Industrial Security Program Policy Advisory Committee (NISPPAC)

Meeting Minutes

May 10, 2017

The NISPPAC held its 56th meeting on Wednesday, May 10, 2017, at the National Archives and Records Administration (NARA), 700 Pennsylvania Avenue, NW, Washington, DC. Mr. Mark Bradley, Director, Information Security Oversight Office (ISOO), served as Chair. The minutes of this meeting were certified on June 12, 2017.

I. Welcome:

The Chair opened the meeting by welcoming everyone and introducing himself as the new ISOO Director.

After introductions, the Chair recognized Laura Aghdam as ISOO's newest employee and NISPPAC lead, and the following four new government NISPPAC members:

- Amy Davis, National Security Agency (NSA)
- Steve Lynch, Department of Homeland Security (DHS)
- Dr. Mark Livingston, Navy
- Zudayyah Taylor-Dunn, National Aeronautics and Space Administration (NASA)

List of meeting attendees is provided (**Attachment 1**).

The Chair turned to Greg Pannoni, NISPPAC Designated Federal Official (DFO), to address administrative items and old business.

II. Administrative Items

Mr. Pannoni informed attendees that after this meeting, ISOO will no longer be providing hard copy handouts for NISPPAC meetings. All presentations and handouts will be provided in electronic format prior to the meeting. Mr. Pannoni also stated that beginning with this meeting, the meeting transcript, along with the minutes will be posted to the ISOO website.

III. Old Business

Action Items from Previous Meetings

Mr. Pannoni addressed and provided updates to the NISPPAC action items from the November 10, 2016, meeting;

- Defense Security Service (DSS) to provide an update on the cost collection methodology, in collaboration with industry.
STATUS: Keith Minard, DSS, will provide an update later in the meeting.

- ISOO to confirm the votes for the industry spokesperson amendment to the NISPPAC bylaws.
STATUS: Action closed. Votes were confirmed, amendment (**Attachment 2**) was approved and the industry spokesperson is now recognized in the bylaws.
- ISOO to request an email vote from NISPPAC members on the proposed amendment to the bylaws to include the industry member nomination process.
STATUS: Action closed. More than 2/3 of government and industry NISPPAC members voted to approve the amendment, (**Attachment 3**) and the industry member nomination process is now included in the bylaws.
- NISPPAC industry members and Cognizant Security Agencies (CSA) to make a recommendation to the NISPPAC chair regarding establishment of a NISPPAC National Interest Determination (NID) working group after meeting to discuss the issue.
STATUS: Action closed. NID working group was established and ISOO hosted a general meeting with CSAs and industry representatives on January 11, 2017. On April 24, 2017, ISOO hosted a second meeting with CSAs, Cognizant Security Offices (CSO), NID concurring agencies (NSA, Office of the Director of National Intelligence (ODNI) and the Department of Energy (DoE)) and industry members to discuss current NID statistics and to complete its review of the NID portion of the updated 32 CFR 2004 draft. The group agreed to continue meeting until NID timeframes have decreased and the process is more streamlined. Next meeting will be scheduled for the October/November timeframe.
- Industry members requested an update from DSS on the status of the National Industrial Security Program (NISP) Information System for Security (NISS).
STATUS: DSS to provide update at the July NISPPAC meeting. [ACTION ITEM]

IV. New Business

Process for Government Membership in the NISPPAC

The Chair discussed the need to update ISOO records on NISPPAC government members by addressing the following:

Identification of the Senior Agency Official (SAO) for the NISP.

The Chair stated that ISOO would be contacting government NISPPAC members, then the remaining Executive branch agencies to verify the appointed NISP SAO for each agency. [ACTION ITEM]

Endorsement of current NISPPAC government members by the SAO.

The Chair stated that ISOO would be contacting government member agencies to confirm endorsement of each current NISPPAC government member. [ACTION ITEM]

Mr. Pannoni reminded government members that at the end of the 4-year term, a new candidate must be nominated, or the existing member may be re-nominated, and endorsed by the SAO, and a new package submitted to ISOO.

Completion of NISPPAC member requirements by government members.

The Chair reminded government members of the requirements associated with membership and stated ISOO would be following up to ensure each member has complied with the following requirements: [ACTION ITEM]

- Term: Members must serve a 4-year term, with the option of an extension endorsed by the SAO.
- Financial disclosure statement: Members must submit a confidential annual financial disclosure statement to the National Archives and Records Administration (NARA) general counsel.
- Meeting attendance: Members must attend NISPPAC meetings and if unable to attend, may designate an alternate with advance notification to the Chair.
- Voting: Voting is restricted to members or their designated alternates.

The Chair requested that government members review and submit updates to the provided list of NISPPAC government members. He stated this topic would be addressed in future meetings. [ACTION ITEM]

V. Reports and Updates

DSS Implementation of NISP Contract Classification System (NCCS)

Lisa Gearhart, DSS, provided an update (**Attachment 4**) on the NCCS, a new online system for the issuance and tracking of all DD Form 254s, Contract Security Classification Specification. Ms. Gearhart stated the objective of the new system is to automate the issuance/maintenance process of DD 254s and that once the moratorium on the issuance of new regulations is lifted, there will be a Federal Acquisition Regulation (FAR) clause mandating the use of the system. The system is to have connections to the System for Award Management (SAM) for Commercial and Government Entity (CAGE) codes, the Industrial Security Facilities Database (ISFD)/NISS for facility clearances and DSS field offices, and future links to Joint Personnel Adjudication System (JPAS)/Defense Information System for Security (DISS). The database is operational and all users, government and industry, must register and obtain DoD certificates to access and use the system.

To date, implementation is in Phase 3 in which several government and industry entities have registered to use the system. Phase 6, scheduled for January-April 2018, is the proposed final stage in which all users will have established accounts. Users may register for an account by submitting a General Account Manager (GAM) Nominee letter to DSS.NCCS@mail.mil and DSS will initiate the registration process.

Training can be accessed at <https://wawftraining.eb.mil/nccswbt/> and the CDSE is in the process of releasing additional job aids.

Dennis Keith, Industry, asked if there was a timeline on the lifting of the regulation moratorium. Ms. Gearhart stated she did not have an estimate, but that the FAR clause is drafted and ready for approval once that occurs.

Update on the National Background Investigations Bureau (NBIB)

James Onusko, Deputy Assistant Director, NBIB Federal Investigative Records Enterprise, provided an update on the various strategies by which NBIB is working to reduce the clearance backlog. Because NBIB receives approximately 4,000 new cases daily, he stated the bureau is in an aggressive hiring phase and that by the end of the year, approximately 580 new investigators (FTE and contractors) will have been hired. To complement the government staff, NBIB has contracted to four companies who are now performing investigations. In order to ensure quality contractor investigations, NBIB has implemented more aggressive oversight of contractor performance.

The focus on national security remains a priority and NBIB is working with other government agencies to strengthen its own capabilities. Mr. Onusko stated eApp will replace eQIP and that the new software has the capability to collect and mitigate, as necessary, more information early in the clearance process resulting in a more efficient expenditure of field investigator resources.

Mark Livingstone, Navy, asked if NBIB could consider military Joint Duty Assignments (JDA) for assistance in decreasing the backlog. Mr. Onusko stated this was a possibility.

Larry Hanauer, Intelligence National Security Alliance (INSA), asked if “continuous evaluation” would enable rapid re-granting of access regardless of scope and if it would also ease the reciprocity issue. Mr. Onusko stated that as more users reference the same clearance database, reciprocity should increase.

Mr. Pannoni asked if Mr. Onusko could provide updated timelines for clearance investigations. Mr. Onusko stated that with the use of new tools such as greater capacity for volume, workforce management initiatives, automation and a new “smart” system would help to decrease the backlog but that he could not provide specific timelines.

Mr. Keith, Industry, acknowledged NBIB’s dedication and efforts in decreasing the clearance backlog, but also stated that the inability to get applicants cleared in a timely manner affects company hiring and competitiveness.

The Chair asked Mr. Onusko if NBIB was affected by the hiring freeze and Mr. Onusko stated it was not.

Michelle Sutphin, Industry Spokesperson, asked if NBIB is prepared to receive the mass number of clearance cases currently being metered by DSS. Mr. Onusko stated that with the new work force, NBIB is prepared to handle them upon receipt.

Controlled Unclassified Information (CUI) Update

Dr. Patrick Viscuso, ISOO, provided an update on the CUI program and informed the council that the CUI staff had provided numerous briefings at industry events. During these events, he emphasized that the staff received valuable feedback and answered question. He outlined the phased implementation of the CUI Program and what could be expected next while referencing the guidance posted to the CUI Registry (CUI Notice 2016-01). Dr. Viscuso briefed the council on future plans for a CUI Federal Acquisition Regulation (FAR) and what will be emphasized in its requirements. ISOO intends to emphasize an oversight approach incorporating self-certification, submission of documentation, and, in select cases, agency validation. He spoke to the activities of CUI working groups on training and implementation. Dr. Viscuso also discussed ISOO's partnership with the National Institute of Standards and Technology (NIST) and future work on the NIST SP 800-171A. As agencies become aware of additional information that they are protecting under law, regulation, and Government-wide policy, Dr. Viscuso stated that the CUI Registry will be updated. He also made mention of training tools that have been made available on the CUI Registry and plans for additional ones.

Steven Kipp, Aerospace Industries Association Industrial Security Committee (AIA ISC), asked whether there will limits placed on agency validation of compliance with the FAR. Dr. Viscuso stated that agencies will be constrained on the basis of resources and that ISOO intends to introduce consistency into the FAR.

Leonard Moss, Industry, expressed his appreciation for the ISOO CUI team's engagement with industry and asked for the rollout date of the program. Dr. Viscuso explained that implementation is currently in process and that ISOO has already sent a request on April 7 to the heads of agencies and departments asking them to report on their implementation of the program thus far. Dr. Viscuso expressed his thanks to all industry partners for their valuable input.

Industry Presentation

Ms. Sutphin, provided an industry update (**Attachment 5**). She recognized two new Memorandum of Understanding (MOU) groups and their representatives: Shawn Daley for the Federally Funded Research and Development Centers (FFRDC)/University Affiliated Research Center (UARC) and Mr. Hanauer for INSA

Valerie Heil, DoD, requested that ISOO be provided an information copy of the two new MOUs and Ms. Sutphin stated they would be provided when finalized [ACTION ITEM].

Ms. Sutphin listed the following industry concerns:

- Security clearance backlog and industry's inability to maintain a cleared labor market.
- DHS Homeland Security Acquisition Regulation (HSAR) 2015-01 and its apparent lack of recognition of the NIST 800-171 and the NARA CUI registry. NISPPAC and MOU groups submitted a formal response to DHS.
- Security Executive Agent Directive (SEAD) 3 Implementation.
- National Defense Authorization Act (NDAA) 2017, Section 1647, Formation of an Advisory Committee on Industrial Security and Industrial Base Policy and its role as related to the NISPPAC.

Ms. Sutphin provided updates on the following NISPPAC Working Groups (WG):

- National Industrial Security Operating Manual (NISPOM) Re-write: The WG is making great progress and recently completed its review of the International Section.
- DSS In Transition: Industry is not completely on board with the new methodology but remains fully engaged.
- NIDs: Industry participated in the review of the NID section of the updated 32 CFR 2004 and continues its involvement in NID reform via this WG.
- Personnel Clearance Applications: Industry remains involved in the planning and deployment of the NCCS, the DISS, eQIP to eApp and the National Industrial Security System (NISS). Industry is concerned that there is currently only one DSS POC for the NCCS.
- Insider Threat: 95% of industry have appointed an Insider Threat Program Security Officer (ITPSO) and 90% have certified plans in place. Industry is interested in the methods by which DSS will evaluate its insider threat programs. Industry also remains concerned that Scattered Castles does not communicate with the JPAS and that adverse information is not shared.
- Information Systems Authorization: Industry focus is on incorporation of the Risk Management Framework (RMF) into future process manuals.

Kim Baugher, Department of State, (DoS) asked if someone on the committee would be speaking about industrial security policy. Ben Richardson, DoD, stated he will address this topic later in the meeting.

Office of the Director of National Intelligence (ODNI) Update

Valerie Kerben, ODNI, provided updates on SEAD 3 and SEAD 4. SEAD 3 reporting requirements will be effective June 12, 2017 and Ms. Kerben stated ODNI will work with agencies to help with implementation. All reporting will be in an electronic format and ODNI will coordinate with the Performance Accountability Council (PAC) and DoD to ensure the existence of a reporting mechanism. There will be a forum on May 17, 2017 to discuss SEAD 3 implementation.

SEAD 4, effective June 8, 2017, creates one set of adjudicative criteria for classified and sensitive positions and will apply to all Executive branch agencies.

Department of Defense (DoD) Update

Mr. Richardson expressed his appreciation to industry for its support and collaboration with ODNI on the implementation of the SEADs. Mr. Richardson stated DoD is currently reviewing the portion of the NDA which requires the establishment of an advisory committee on industrial security policy. DoD will collaborate with ISOO on this initiative.

Mr. Richardson stated that the Government Accountability Office (GAO) has just begun a study of DSS and is reviewing its industrial security program.

Rollout of the adjudicative piece of the DISS is slated for this Fall 2017.

Ms. Baugher asked if non-DoD agencies would ever be authorized access to JPAS. Mr. Richardson stated this initiative is a work in progress. Lisa Loss, Office of Personnel Management (OPM), asked Mr. Richardson to confirm that the integration of CVS and JPAS in the new NBIS system will permit all agencies to access necessary data points, thus alleviating the current concern about non-DoD agencies wanting to access JPAS. Mr. Richardson replied in the affirmative.

Michael Hawk, DoS, expressed great concern in the differences between CVS and JPAS. Mr. Richardson acknowledged the concerns but stated DoD is motivated to find solutions.

Defense Security Service Update

Mr. Minard provided the DSS update. He stated DSS continues to work on the cost collection methodology for industry and that it is focusing on updating the instructions. Mr. Minard reminded industry of the May 30, 2017 deadline for completion of insider threat training. If not completed by the deadline, DSS will take action to invalidate the facility clearances of those contractors not in compliance. DSS is on track for full deployment of the NISS in the Fall 2017.

Mr. Minard provided a summary of the “DSS In Transition” initiative and stated DSS is in the process of creating a program in which assessments are prioritized based on level of potential threat due to the technology it is protecting.

Mr. Keith asked how DSS will calibrate the cost collection methodology. Mr. Minard stated in order to obtain a better cost analysis, DSS is considering a breakout of data via categories such as physical security, classification management, personnel security, etc.

NISP Implementing Directive

Mr. Pannoni stated the 32 CFR 2004 is in the process of submission to the Office of Management and Budget (OMB) for review of the mitigated public comments. There is no current estimate for final issuance, given the OMB process under the new Administration.

Ms. Baugher, DoS, asked if upon release, DSS will assume additional roles in the NID process. Mr. Pannoni answered in the affirmative. Ms. Heil commented that all CSAs and non-DoD agencies will have to review and revise their policies to ensure compliance once the Implementing Directive is issued.

VI. Working Groups

Personnel Security Clearance Working Group Report

Policy Initiatives

Donna McLeod, NBIB, provided a brief overview of the changes associated with the draft SF 86. She listed each section of the form containing changes and provided information on access to the online draft.

Mr. Hanauer asked if the changes to the SF 86 would increase the amount of time to complete the form. Ms. McLeod stated that overall, the amount of time for completion should not increase since the form requests the details needed to complete a thorough investigation.

Updates and Processing Statistics

Personnel Security Management and Oversight for Industry (PSMO-I)

Heather Green, PSMO-I, gave a brief update (**Attachment 6**) and explained that DSS continues to meter submissions to NBIB due to budget limitations. Initial and interim security clearance determinations are being prioritized as DSS works to find strategies to reduce the clearance backlog. New guidance on processing Tier 5 investigations can be found on the DSS website.

NBIB

Ms. McLeod provided a presentation (**Attachment 7**) with updated metrics for industry clearance processing times, which continue to increase. Slides (**Attachment 8**) for DoE and the Nuclear Regulatory Commission (NRC) (**Attachment 9**) processing statistics were also provided but not briefed.

ODNI

Gary Novotny, ODNI, provided a presentation (**Attachment 10**) on security clearance and timeliness methodology as well as Intelligence Community (IC) clearance processing times which continue to increase.

Mr. Hanauer asked why the processing times continue to increase. Mr. Onusko, NBIB, stated that as old cases are processed along with the new ones, the timeliness metric will increase until the old cases are closed.

Mr. Keith asked if the case processing times are meeting the goals of the Intelligence Reform and Terrorism Prevention Act (IRPTA). Mr. Onusko stated that the new, rather than the old cases, will meet the IRPTA goals.

Ms. Sutphin asked why there is a significant difference in the processing of Tier 3 investigations vs. National Agency Checks with Local Agency Check and Credit Check (NACLAC). Ned Fish, DoD Consolidated Adjudications Facility (CAF), stated that the higher processing times for NACLACs represent cases with derogatory information.

DoD CAF

Mr. Fish provided metrics slides (**Attachment 11**) which were consistent in illustrating the increasing backlog.

Mary Edington, Industry, asked how to expedite reciprocity-related requests when they go to the DoD CAF for adjudication. Mr. Fish explained that when the DISS becomes the system of record, reciprocity should improve, however, there are no current plans for the system to connect with Scattered Castles.

Bob Harney, Industry, commented that reciprocity, for industry, has seen a decrease in trend and asked if there was a method by which the government could continue providing statistics. Mr. Fish clarified the process by which the metrics for reciprocity are collected. He stated that the tracking of a reciprocity case begins when the request comes in and leaves the security office and explained that those numbers are low. The actual issue is the time before and after a case gets to the CAF.

Ms. Kerben stated that SEAD 7, currently in draft, will address reciprocity.

Ms. Loss stated that the issue with reciprocity cases is often the fact that there is not enough information to honor the requests and that there is a delay while those details are obtained. Jennifer Brown, Industry, asked if response times for Research, Recertify and Upgrade (R/R/U) actions are tracked, and commented that often, reciprocity requests are left unanswered. Mr. Fish reiterated that the issue is lack of complete information and waiting for the additional data. Ms. Brown commented that a simple receipt response would be helpful. Ms. Green stated she would inquire and provide more information on responses at the next NISPPAC meeting [ACTION ITEM].

DOHA

Perry Russell-Hunter, DOHA, explained that due to increased collaboration with the DoD CAF, the number of cases being forwarded to DOHA has decreased. He stated that with the implementation of SEAD 4, adjudicative consistency should help to improve the reciprocity issues. Mr. Hunter explained that the main reason cases come to DOHA is not necessarily that an issue cannot be mitigated, but that there is no mitigating information in the SF 86. Ms. McLeod, NBIB, commented that the new SF 86, as it evolves, will include mitigating questions so that a smaller number of cases are sent to DOHA.

Ms. Brown, Industry, asked if the requirement to collect foreign passports from security clearance applicants remains current. Mr. Russell-Hunter stated that as of June 2017, the requirement will be for those with foreign passports to state they have one rather than to relinquish the document itself. He further stated that the requirement to deny an Interim Secret to those with foreign passports is no longer an automatic disqualifier.

Information Systems Authorization Working Group Report

DSS

Karl Hellmann, DSS, gave an update (**Attachment 12**) on the industry transition to RMF. He stated that the current number of RMF System Security Plan (SSP) submissions is 137 and that the average number of days to an authorization decision is 39. Additional RMF training products are in development and the next module, “Applying A&A in the NISP” should be available in approximately August 2017.

Mr. Pannoni, ISOO, stated that the reported metric timeframes on RMF transition cases needs to decrease. Mr. Hellmann acknowledged this comment and stated DSS is working on improving its response times.

DoE

John Abeles, DoE contractor, provided a presentation (**Attachment 13**) on the DoE enterprise wide risk management approach to system authorizations.

Mr. Pannoni asked Mr. Abeles about the public release of his presentation due to the slide markings, “Unclassified/Deliberative/Pre-Decisional.” Mr. Abeles stated he was told to use the slides and that distribution is authorized.

Insider Threat Working Group Report

Mr. Pannoni, ISOO, gave the report for the Insider Threat Working Group and stated the group held its third meeting in February with CSAs and industry. The group continues to discuss program implementation, expectations of the government and the need for increased information sharing. Due to the overlap between personnel security clearance issues and insider threat, the personnel security clearance and insider threat working groups will meet jointly for the next meeting.

Mr. Keith asked if SEAD 3 requirements will be a topic of discussion in either of these working groups. Mr. Pannoni stated that any topics related to insider threat and personnel clearances are potential topics of discussion.

VII. General Open Forum/Discussion

The Chair opened the meeting for anyone to present new business or to speak to the committee.

Mitch Lawrence, National Defense Industrial Association (NDIA), asked if the quarterly scorecard would continue as a requirement. Matt Eanes, PAC-Program Management Office (PMO), stated the new administration said it will continue but the criteria and goals must be determined.

Ms. Taylor-Dunn asked if there is a timeline for the issuance of interim clearances. Mr. Minard, DSS, stated that as long as there is no derogatory information on a clearance applicant, PSMO-I is able to issue an interim fairly quickly.

VIII. Closing Remarks and Adjournment

The Chair thanked attendees for coming, and thanked all the presenters. He announced the dates for the next 2017 NISPPAC meetings: July 12th, and November 14th, all to be held in the Archivist’s Reception Room. (Note, subsequent to the meeting, it was decided to cancel the July 12th meeting). The chair adjourned the meeting.

SUMMARY OF ACTION ITEMS

- Industry requests an update from DSS on the status of the NISS.
- ISOO will contact government NISPPAC members and remaining Executive branch agencies to verify the appointed NISP SAO for each agency.
- ISOO will contact government member agencies to confirm endorsement of each current NISPPAC government member.
- ISOO will follow up to ensure each government member is in compliance with NISPPAC member requirements.
- NISPPAC government members will review and submit updates to a list provided by ISOO.
- Industry will provide a copy of the two new NISPPAC MOUs (FFRDC and INSA) to ISOO.
- PSMO-I will provide details on responses to the tracking methods for RRU's related to reciprocity requests.

Attachments:

1. Attendee List
2. Amended bylaws
3. Voting results for proposed amendment to the NISPPAC bylaws for industry member nominations
4. Briefing: NISP Contract Classification System
5. Briefing: NISPPAC Industry
6. Briefing: DSS Personnel Security Management Office for Industry
7. Briefing: National Background Investigations Bureau
8. DOE Personnel Security Performance Metrics
9. NRC Personnel Security Performance Metrics
10. Briefing: Office of the Director of National Intelligence
11. DOD CAF Personnel Security Performance Metrics
12. Briefing: NISPPAC Information Systems Authorization Working Group
13. Briefing: Department of Energy Information Systems Authorizations
14. List of NISPPAC government members and term dates

Attachment #1

NISPPAC MEETING ATTENDEES

The following individuals attended the May 10, 2017 NISPPAC meeting:

Mark Bradley	Information Security Oversight Office	Chair
Greg Pannoni	Information Security Oversight Office	Designated Federal Official
Laura Aghdam	Information Security Oversight Office	NISPPAC PM
Robert Tringali	Information Security Oversight Office	NISPPAC POC Alternate
Lisa Gearhart	Defense Security Service	Attendee/Presenter
Jim Onusko	National Background Investigations Bureau	Attendee/Presenter
Michelle Sutphin	Industry Spokesperson	Member /Presenter
Valerie Kerben	Office of the Director of National Intelligence	Member /Presenter
Ben Richardson	Department of Defense	Attendee/Presenter
Keith Minard	Defense Security Service	Alternate /Presenter
Donna McLeod	National Background Investigations Bureau	Attendee/Presenter
Heather Green	Personnel Security Management and Oversight for Industry	Attendee/Presenter
Gary Novotny	Office of the Director of National Intelligence	Alternate /Presenter
Ned Fish	DoD Central Adjudication Facility	Attendee/Presenter
Perry Russell-Hunter	Defense Office of Hearings and Appeals	Attendee/Presenter
Karl Hellmann	Defense Security Service	Attendee/Presenter
John Abeles	Department of Energy (ctr)	Attendee/Presenter
David Lowry	Air Force	Member
George Ladner	Central Intelligence Agency	Alternate
Steve Lynch	Department of Homeland Security	Member
Valerie Heil	Department of Defense	Attendee
Priscilla Matos	Department of Defense	Attendee
Lisa Loss	Office of Personnel Management	Observer
Zudayaa-Taylor Dunn	National Aeronautics & Space Admin.	Member
Michael Hawk	Department of State	Alternate
Kathleen Berry	Department of Justice	Attendee
Dennis Hanratty	National Security Agency	Member
Dr. Mark Livingstone	Navy	Member
Glenn Clay	Navy	Alternate
William Ewald	Nuclear Regulatory Agency	Alternate
Kim Baugher	State	Member
Matt Eanes	PAC-PMO	Observer
James Ervin	Department of Homeland Security	Alternate
Sharon Dondlinger	Air Force	Alternate
Bill Davidson	Industry	Member
Jim Harris	Industry	Attendee
Steve Lewis	Industry	Attendee
Tony Ingenito	Industry	Member
Dennis Keith	Industry	Member
Quinton Wilkes	Industry	Member
Fred "Cory" Klein	Industry	Attendee
Jennifer Brown	Industry	Attendee

Jennifer Kirby	Industry	Attendee
Rod Webb	State	Attendee
Christine Davis	Industry	Attendee
Leonard Moss	Industry	Attendee
Kevin Flaherty	Defense Advanced Research Projects Agency	Attendee
Norman Pashoian	Industry	Attendee
Carl Prededoiski (sp)	Department of Energy	Attendee
Mary Edington	Industry	Attendee
Noel Matchett	Industry	Attendee
Shirley Brown	National Security Agency	Attendee
Henry Corsidda (sp)	Industry	Attendee
John Haberkern	Defense Security Service	Attendee
Jocelyn Yin	Government Accountability Office	Attendee
John Rastler	Government Accountability Office	Attendee
Mike Irvine	Overseas Private Investment Corporation	Attendee
Robert Harney	Industry	Member
Kirk Poulsen	Industry	Member
Dennis Keith	Industry	Member
Robert Lilje	MOU Representative	Attendee
Steven Kipp	MOU Representative	Attendee
Brian Mackey	MOU Representative	Attendee
Dennis Arriaga	MOU Representative	Attendee
Mitch Lawrence	MOU Representative	Attendee
Matt Hollandsworth	MOU Representative	Attendee
Larry Hanauer	MOU Representative	Attendee

Teleconference Attendees

Stephen Cicerelli	Industry	Attendee
Carla Peters-Carr	Industry	Attendee
Helencia Hines	Defense Security Service	Attendee
Denis Brady	Nuclear Regulatory Agency	Member
Michelle O'Donnell	Industry	Attendee
Lawrence Mustonen	MOU Representative	Attendee
Hazel Martinez	Industry	Attendee
Emmett Price	Industry	Attendee
Catherine Kaohi	MOU Representative	Attendee
Phil Robinson	Industry	Member
Nick Levasseur	Defense Manpower Data Center	Attendee
Joseph Marks	Industry	Attendee

Attachment #2

National Industrial Program Policy Advisory Committee (NISPPAC)
Bylaws (As amended on March 15, 2017)

Article 1. Purpose.

The purposes of the NISPPAC are to advise the Chairman on all matters concerning the policies of the National Industrial Security Program (NISP), including recommended changes to those policies; and to serve as a forum to discuss policy issues in dispute.

Article 2. Authority.

Executive Order 12829, "National Industrial Security Program," as amended, (the Order) establishes the NISPPAC as an advisory committee acting through the Director, Information Security Oversight Office (ISOO), who serves as the Chairman of the Committee, and who is responsible for implementing and monitoring the NISP, developing directives implementing the Order, reviewing agency implementing regulations, and overseeing agency and industry compliance. The framework for the Committee's membership, operations, and administration is set forth in the Order. The NISPPAC is subject to the Federal Advisory Committee Act (FACA), the Freedom of Information Act (FOIA), and the Government in the Sunshine Act (GISA).

Article 3. Membership.

A. Primary Membership.

The Order conveys to the Chairman of the NISPPAC the authority to appoint all members. The Committee's total membership of 24 voting members shall be comprised of 16 representatives from those executive branch departments and agencies (including the Chairman) most affected by the NISP and eight non-government representatives of contractors, licenses, grantees involved with classified contracts, licenses, or grants. At least one industry member shall be representative of small business concerns, and at least one shall be representative of Department of Energy/Nuclear Regulatory Commission contractors or licensees. An industry member serves as a representative of industry, not as a representative of their employing company or corporation. All members must comply with the following guidelines: (1) Any federal employees who are appointed to the Committee must annually file a confidential financial disclosure report with the National Archives and Records Administration (NARA) Office of General Counsel (NGC) on or before the date of their first participation in a Committee meeting, and (2) For purposes of federal ethics law, the non-federal members of the NISPPAC have been determined to be "representatives" rather than "special government employees." NARA will ensure the Committee's non-federal composition does not violate President Obama's June 18, 2010, Presidential Memorandum on "Lobbyists of Agency Boards and Commissions." 75 Fed. Reg. 35.955 (Directing "heads of executive departments and agencies not to make any new appointments or reappointments of federally registered lobbyists to advisory committees or other boards and commissions...")

B. Nominations. The Chairman will solicit and accept nominations for Committee membership: (1) for representatives of the respective agencies, from the agency head; and (2) for non-government representatives, from the NISPPAC industry spokesperson designated in accordance with Article 3, paragraph E. Although a non-government representative does not represent his or her employing company, the Chairman will solicit the approval of the chief executive officer of that company to allow the nominated individual to serve on the NISPPAC.

C. Nomination Process for Non-government Representatives.

The NISPPAC industry spokesperson is responsible for ensuring the solicitation of nominations from the other non-government representatives on the Committee and from the governing boards of professional, trade and other organizations whose membership is substantially comprised of employees of business concerns involved with classified contracts, licenses, or grants.

Each non-government NISPPAC member and professional organization will be permitted to submit one nomination each to replace the two outgoing NISPPAC members whose terms end on Sept. 30 of the current year. The nominations from such professional, trade and other organizations must be endorsed by the board of the nominating organization. No such endorsement is necessary for nominations from the current NISPPAC non-government members.

Nomination packages must include a resume, at minimum, and any other information that supports a nominee's qualifications for NISPPAC membership.

The NISPPAC industry spokesperson will select a former non-government NISPPAC representative to convene a panel comprised of all the current non-government NISPPAC representatives and the chairpersons of the professional organizations which have submitted a nomination to review all the submitted nomination packages.

Each panel member is allowed a total of two votes; one for each individual they determine will best represent industry to replace the two outgoing non-government NISPPAC members, but they must ensure alignment with the criteria established in paragraph 12 of the NISPPAC charter for non-government members.

While non-government NISPPAC members represent all of industry and do not represent their company organizations, nominees who are employed by a company that already has current representation on the NISPPAC will not be considered.

The NISPPAC industry spokesperson will ensure the nomination process is completed to allow sufficient time to ensure that two incoming non-government NISPPAC members are in place by Oct. 1 of each year to replace the two outgoing non-government members.

At the conclusion of vote, the NISPPAC industry spokesperson will submit to the Director, ISOO, a copy of all submitted nomination packages, and an endorsement of two nominees for ISOO Director consideration for NISPPAC membership.

The Director, ISOO, will request management approval from the employing companies of the two endorsed nominees for their participation on the NISPPAC for a four-year period. If company management cannot approve participation of any nominee, that individual will not be further considered for NISPPAC membership. The Director, ISOO, will request that the panel endorse a replacement nominee from the pool of submitted nominations.

The Director, ISOO, is not obligated to select a panel-endorsed nominee. Such a determination by the Director, ISOO, should only be in exceptional circumstances, with rationale provided to the NISPPAC industry spokesperson. Should this occur, the panel will reconvene to identify a replacement nominee for consideration.

- D. **Appointment.** The Chairman shall appoint all Committee members. Membership includes the responsibility of the member to attend NISPPAC meetings personally as often as possible. However, a member may select one or more alternates, who may, with advance written notification to the Chairman, serve for the member at meetings of the Committee when the member is unable to attend. An alternate so selected shall have all rights and authorities of the appointed member.
- E. **Term of Membership.** The term of membership for Government representatives shall be four years. When renominated by the head of their agency, a representative of a Government agency may be selected to serve successive four year terms. The term of membership for industry representatives shall be four years. The terms of industry representatives shall be staggered so that the terms of two industry representatives are completed at the end of each fiscal year. Industry representatives may not serve successive terms. When a Government or industry member is unable to serve their full term, or when, in the view of the Chairman, a member has failed to meet their commitment to the NISPPAC, a replacement shall be selected in the same manner to complete the unexpired portion of that member's term. Each representative's term of membership shall be conveyed by letter from the Chairman.
- F. **NISPPAC Industry Spokesperson.**
The NISPPAC industry spokesperson serves as the focal point representative to the NISPPAC on behalf of the industrial base to coordinate collective points of view from the eight non-government NISPPAC members on national security policy regulations. The industry spokesperson is responsible for representing the NISPPAC non-government members at each NISPPAC meeting; recommends to the NISPPAC Chairman the addition or deletion of NISPPAC working groups, assignment of an industry lead to all NISPPAC working groups, and recommends industry subject matter expertise representation to all NISPPAC working groups.

The NISPPAC industry spokesperson is selected from among the eight current NISPPAC non-government members and nominated to the NISPPAC Chairman for consideration and approval. The spokesperson is expected to be flexible for attendance at impromptu government meetings where industry representation is required. The spokesperson engages with various facets of industry, to include the governing boards of professional, trade and other organizations whose membership is substantially comprised of employees of business concerns involved with classified contracts, licenses, or grants.

- G. **Security Clearance.** If it becomes necessary to hold a classified meeting, members and alternates in attendance must possess a current security clearance at or above the level of the meeting's classification. Clearance certification shall be provided in advance of the meeting to the Chairman by the employing agency or company. ISOO and NARA's Security Management Division will verify that members have been approved for access to classified national security information and ensure that classified information utilized in association with a Committee meeting is managed in accordance with national policy (i.e., E.O. 13526, "Classified National Security Information.")

- H. **Compensation.** Federal Government employees serving on the Committee are not eligible for any form of compensation. The Government will pay travel and per diem for industry members at a rate equivalent to that allowable to Federal Government employees. Industry members will submit travel vouchers to the Executive Secretary within 15 days after each meeting.
- I. **Observers.** Any NISP participating organization (industry or Government) may send observers to attend meetings of the Committee. Such observers will have no voting authority and will be subject to the same restrictions on oral presentations, as would any member of the public. As determined by the Chairman, observers may be permitted to attend closed meetings. Industry observers will not receive travel or per diem compensation.

Article 4. Meetings.

- A. **General.** The NISPPAC will meet at least twice each calendar year as called by the Chairman. As the situation permits, the Executive Secretary will canvass the membership in advance of the scheduling of meetings in order to facilitate attendance by the largest number of members. The Chairman will also call a meeting when so requested by a majority of the 16 Government members, and a majority of the eight industry members. The Chairman will set the time and place for meetings and will publish a notice in the Federal Register at least 15 calendar days prior to each meeting.
- B. **Quorum.** NISPPAC meetings will be held only when a quorum is present. For this purpose, a quorum is defined as two-thirds of the 16 Government members, or alternates, and two thirds of the eight industry members, or alternates.
- C. **Open Meetings.** Unless otherwise determined in advance, all meetings of the NISPPAC will be open to the public. Once an open meeting has begun, it shall not be closed for any reason. All matters brought before or presented to the Committee during the conduct of an open meeting, including the minutes of the proceedings of an open meeting, shall be available to the public for review or copying.
- D. **Closed Meetings.** Meetings of the NISPPAC will be closed only in limited circumstances and in accordance with applicable law. When the Chairman has determined in advance that discussions during a Committee meeting will involve matters about which public disclosure would be harmful to the interests of the Government, industry, or others, an advance notice of a closed meeting, citing the applicable exemptions of the GISA, will be published in the Federal Register. The notice may announce the full or partial closing of a meeting. If, during the course of an open meeting, matters inappropriate for public disclosure arise during discussions, the Chairman will order such discussion to cease, and shall schedule it for a closed session. Notices of closed meetings will be published in the Federal Register at least 15 calendar days in advance.
- E. **Agenda.** The Chairman shall approve the agenda for all meetings. The Chairman will distribute the agenda to the members prior to each meeting and will publish a brief outline of the agenda with the notice of the meeting in the Federal Register. Items for the agenda may be submitted to the Chairman by any regular, or alternate, member of the Committee. Items may also be suggested by non-members, including members of the public. To the extent possible, all written recommendations for NISP or National Industrial Security Program Operating Manual policy changes, whether or not they are placed on the agenda, will be provided to the Committee membership prior to the start of any scheduled meeting. The

Chairman will advise the party making the recommendation what action was taken or is pending as a result of the recommendation.

- F. **Conduct of Meetings.** Meetings will be called to order by the Chairman, following which the Chairman or Executive Secretary will call the roll or otherwise take attendance and read or reference the certified minutes of the previous meeting. The Chairman will then make announcements, ask for reports from subgroups or individual members (as previously arranged), open discussion of unfinished business, introduce new business, and invite membership comment on that business. Public oral comment may be invited at any time during the meeting, but most likely at the meeting's end, unless the meeting notice advised that written comment was to be accepted in lieu of oral comment. Upon completion of the Committee's business, as agreed upon by the members present, the meeting will be adjourned by the Chairman.
- G. **Minutes.** The Committee's Executive Secretary shall prepare minutes of each meeting, which will be certified by the Designated Federal Official (DFO) within 90 calendar days. Copies of the minutes will be distributed to each Committee member once certified. Minutes of open meetings will be accessible to the public. The minutes will include a record of the persons present (including the names of committee members, names of staff, and the names of members of the public from whom written or oral presentations were made) and a complete and accurate description of the matters discussed and conclusions reached, and copies of all reports received, issued or approved by the Committee.
- H. **Public Comment.** Members of the public may attend any meeting, or a portion(s) of a meeting, that is not closed to the public, and may at the determination of the Chairman, offer public comment during a meeting. The meeting announcement published in the Federal Register may note that oral comment from the public is excluded and in such circumstances invite written comment as an alternative. Also, members of the public may submit written statements to the Committee at any time.
- I. **Sub-committee Meetings.** The Chairman may establish a sub-committee(s), to include subgroups or working groups. Each sub-committee shall brief the members of the NISPPAC on its work, and any recommendations of a sub-committee shall be presented to the NISPPAC for deliberation.

Article 5. Voting.

When a decision or recommendation of the NISPPAC is required, the Chairman shall request a motion for a vote. Any member, or approved alternate of the NISPPAC, including the Chairman, may make a motion for a vote. No second after a proper motion shall be required to bring any issue to a vote.

- A. **Voting Eligibility.** Only the Chairman and the appointed members, or their designated alternates, may vote on an issue before the Committee.
- B. **Voting Procedures.** Votes shall ordinarily be taken and tabulated by a show of hands. Upon a motion approved by two-thirds of the members present, a vote by secret ballot may be taken. However, each ballot must indicate whether the vote is from an industry or Government representative.
- C. **Reporting of Votes.** The Chairman will report to the President, Executive Agent of the NISP, or other Government officials the results of Committee voting that pertain to the responsibilities of that official. In reporting or using the results of NISPPAC voting, the

following terms shall apply: (1) Unanimous Decision. Results when every voting member, except abstentions, is in favor of, or opposed to, a particular motion; (2) Government and Industry Consensus. Results when two-thirds of those voting, including two-thirds of all Government members and two-thirds of all industry members, are in favor of, or are opposed to, a particular motion; (3) General Consensus. Results when two-thirds of the total vote cast are in favor of, or are opposed to, a particular motion; (4) Government and Industry Majority. Results when the majority of the votes cast, including a majority of all Government members and a majority of all industry members, are in favor of or are opposed to a particular motion; (5) General Majority. Results when a majority of the total votes cast are in favor of or are opposed to a particular motion.

Article 6. Committee Officers and Responsibilities.

- A. **Chairman.** As established by the Order, the Committee Chairman is the Director, ISOO. The Chairman will: (1) call meetings of the full Committee; (2) set the meeting agenda; (3) determine a quorum; (4) open, preside over and adjourn meetings; and (5) certify meeting minutes. The Chairman also serves as the Committee's DFO, a position required by the FACA.
- B. **Designated Federal Officer.** The FACA requires each advisory committee to have a DFO and an alternate, one of whom must be present for all meetings. The Director and Associate Director, Operations and Industrial Security, ISOO, are, respectively, the DFO and alternate for the NISPPAC. Any meeting held without the DFO or alternate present will be considered as a subgroup or working group meeting.
- C. **Executive Secretary.** The Executive Secretary shall be a member of the staff of the ISOO and shall be responsible for: (1) notifying members of the time and place for each meeting; (2) recording the proceedings of all meetings, including subgroups or working group activities that are presented to the full Committee; (3) maintaining the roll; (4) preparing the minutes of all meetings of the full Committee, including subgroups and working group activities that are presented to the full Committee; (5) attending to official correspondence; (6) maintaining official Committee records and filing all papers and submissions to the Committee, including those items generated by subgroups and working groups; (7) acting as Committee Treasurer to collect, validate and pay all vouchers for preapproved expenditures presented to the Committee; (8) preparing a yearly financial report; and (9) preparing and filing the annual Committee report as required by the FACA.
- D. **Committee Staff.** The staff of the ISOO shall serve as the NISPPAC staff on an as needed basis, and shall provide all services normally performed by such staff, including assistance in the fulfilling of the functions of the Executive Secretary.

Article 7. Documents.

Documents presented to the Committee by any method at any time, including those distributed during the course of a meeting, are part of the official Committee files, and become agency records within the meaning of the FOIA, and are subject to the provisions of that Act. Documents originating with agencies of the Federal Government shall remain under the primary control of such agencies and will be on loan to the Committee. Any FOIA request for access to documents originating with any agency shall be referred to that agency. Documents originating with industry that have been submitted to the NISPPAC during the course of its official business shall also be

subject to request for access under the FOIA. Proprietary information that may be contained within such documents should be clearly identified at the time of submission.

Article 8. Committee Expenses and Cost Accounting.

Committee expenses, including travel and per diem of non-Government members, will be borne by the ISOO to the extent of appropriated funds available for these expenditures. Cost accounting will be performed by the Committee's Executive Secretary. Expenditures by the Committee or any subgroup or working group must be approved in advance by the Chairman or the Executive Secretary.

Article 9. Amendment of Charter and Bylaws.

Amendments to the Charter and Bylaws of the Committee must conform to the requirements of the FACA and the Order and be agreed to by two-thirds of the 16 Government members or alternates and two-thirds of the eight industry members or alternates. Confirmed receipt of notification to all Committee members must be completed before any vote is taken to amend either the Charter or Bylaws.

Attachment #3

**Proposed Change to NISPPAC Bylaws
Nomination Process for Industry Members**

Results of on-line voting

Approval of the amendment requires agreement by two-thirds of the 16 Government members or alternates (11 needed to approve) and two-thirds of the 8 industry members (5 needed to approve).

Government

Representatives of the following agencies voted to amend the bylaws:

NISPPAC Chair
ODNI
DOE
NRC
DHS
Navy
Air Force
DSS
CIA
State Department
Department of Justice
NASA
Commerce Department

The following agencies did not submit a vote:

DoD
Army
NSA

Result: 13 Government members or alternates (representing more than 2/3 of the Government membership) voted to approve the amendment.

Industry

Members who voted to approve:

Michelle Sutphin
Bill Davidson

Bob Harney
Kirk Poulsen
Phil Robinson
Quinton Wilkes

Members who did not vote:

Dennis Keith
Martin Strones

Result: Six of the eight industry members (representing more than 2/3 of the industry membership) voted to approve the amendment.

The amendment is approved for inclusion in the bylaws.

Attachment #4



NISP Contract Classification System (NCCS)

**Lisa Gearhart
Program Manager/Functional Lead**





NISP Contract Classification System (NCCS)

What is it?

- ↳ **Provide NISP community a single web-based system to receive, change, and keep up-to-date contractor security requirements**
 - Automates the submission, review and certification of the DD 254, Facility Clearance Request and other NISP processes
 - Eliminates paper and manual process
 - Defines workflows and manages user access based on roles and responsibilities
 - CAC/PKI/soft certificate login

- ↳ **Provide analytical capability across government programs and companies to identify specific relationships and trends**
 - Identify prime and subcontractor relationships (supply chain)
 - Support audit and oversight activities
 - Facilitate threat information sharing with industry

- ↳ **Provide linkages to existing automated systems**
 - System for Award Management (SAM) for CAGE Codes
 - ISFD/NISS for facility clearance information and the DSS Field Office
 - Future links with JPAS/DISS, etc.

- ↳ **Developing a FAR Clause to mandate use or system integration**





NISP Contracts Classification System (NCCS)

When is implementation?

↳ Jun 2016 - Initial Operational Capability

- 5.8.1 release, Approved Operating Baseline
- 2 Agencies, 2 Industry using system

↳ Dec 2016 - Full Operational Capability

- 5.9.1 release, Mandatory Requirements Delivered
- 5 Agencies, 8 industry using system

↳ Phase 3 (Jan - Apr 2017) 12 agencies

- **DCMA, OPM**, PFFPA, WHS (Office Deputy Chief Management OCffice), Exec Office of Pres, **DHS, DoJ, NRC, OPIC** (10 total)
- Salient, BRTRC, Ball Aerospace, Alutiiq, Booze Allen, Colsa Corp, Cotton & Co (27 total)

↳ Phase 4 (May - Aug 2017) 10 agencies

- NRC, WHS, PFFPA, Marine Corps, JIDA and EOP (Phase 3); Commerce, DARPA, DISA, DTRA, DTSA, HUD, MCC, MDA, Treasury and USAID; NAVAIR, Army MEDCOM and ACC

↳ Phase 5 (Sep - Dec 2017) 9 agencies

↳ Phase 6 (Jan – Apr 2018) 9 agencies





NCCS Roles

NCCS GAM – Will have the ability to administer the Groups, Users and Roles for the NCCS location codes and users.

Government Originator – This access allows Government Support Contractors and Government users to Initiate, Save, Recall, Resubmit and Void the DD Form 254. Government users may create Prime 254s.

Vendor Originator Vendors/Contractors users can Initiate, Save, Recall, Resubmit and Void the DD Form 254. Vendors may create Subcontracts, Tier 1, Tier 2 and Tier 3.

Govt/Vendor Reviewer – This access allows Vendors/Contractors, Government Support Contractors and Government users to Recommend Certification, Reject, Hold or Recall the DD254 depending on the status.

Govt/Vendor Certifying Official – This access allows Vendors/Contractors and Government users to Certify, Reject, Hold or Recall the DD254 depending on the status.

Government Contracting Officer – This access allows a Government Contracting Officer to review and approve accesses with the Request for Approval to Subcontract that is submitted by the Vendor.





NISP Contracts Classification System (NCCS)

When can I get an account?

➔ Register to test at the following two test sites:

- WAWF-GT Uniform (<https://wawf-gt.nit.disa.mil>)
- WAWF-RA2 (<https://wawf-ra2.nit.disa.mil/>)

➔ Apr/May 2017 Test v5.10.1 with June deployment

- NID workflow process
- Enhanced industry 254 process
- NCCS Library
- Enhanced dashboard for multiple roles
- Data encryption

➔ NCCS Workshop hosted by NISPPAC/NCMS

- 2 2-day sessions with 10 govt and 15 industry each session
- Hosted at Northrop Grumman facility





NISP Contracts Classification System (NCCS)

How can I get an account?

→ GAM Appointment Letter (prime/alt) - <http://www.dss.mil/diss/nccs.html>

- Tiered hierarchy levels, similar to JPAS or e-QIP
- The GAM can edit user profile information for two levels: their own level and the level below their level
- The GAM has view-only access to user information for all other levels below their current level
- Registration is based on Location Codes (DoDAACs/CAGE Codes)
- A GAM can have user roles too

→ Send GAM Letter to DSS.NCCS@mail.mil

- DSS sets up agency/company group
- DSS forwards registration process to GAMs
- DSS approves Agency Top GAMs
- Agency Top GAM appoints GAM Level 2 and has users register and approve





NISP Contracts Classification System (NCCS)

Is there training?

↳ **NCCS Web-Based Training Site** (both Demos and Word Document Formats): <https://wawftraining.eb.mil/nccswbt/>

↳ **NCCS Machine Setup:**

- <https://wawf.eb.mil/xhtml/unauth/web/homepage/machineSetup.xhtml>
- DoD certs required
- JAVA

- **A User Guide developed by May workshop participants**
- **CDSE is assisting with Job Aid**
- **A NCCS Library will be added after the login and additional information will be posted.**





Questions?

DSS.NCCS@mail.mil



Attachment #5

Industry NISPPAC Update

May 2017

Agenda

- Current NISPPAC/MOU Membership
- Impacts of Policy Changes
- Working Groups

NISPPAC Industry Members

Members	Company	Term Expires
Bill Davidson	KeyPoint Government Solutions	2017
Phil Robinson	SSL MDA Holdings, Inc.	2017
Michelle Sutphin	BAE Systems	2018
Martin Strones	Strones Enterprises	2018
Dennis Keith	Harris Corp	2019
Quinton Wilkes	L3 Communications	2019
Robert Harney	Northrop Grumman	2020
Kirk Poulsen	Leidos	2020

National Industrial Security Program Industry MOU Members

Industry Association	Chairperson
AIA	Steve Kipp*
ASIS	Bob Lilje*
CSSWG	Brian Mackey
FFRDC/UARC*	Shawn Daley*
INSA*	Larry Hanauer*
ISWG	Marc Ryan
NCMS	Dennis Arriaga
NDIA	Mitch Lawrence
PSC	Matt Hollandsworth*

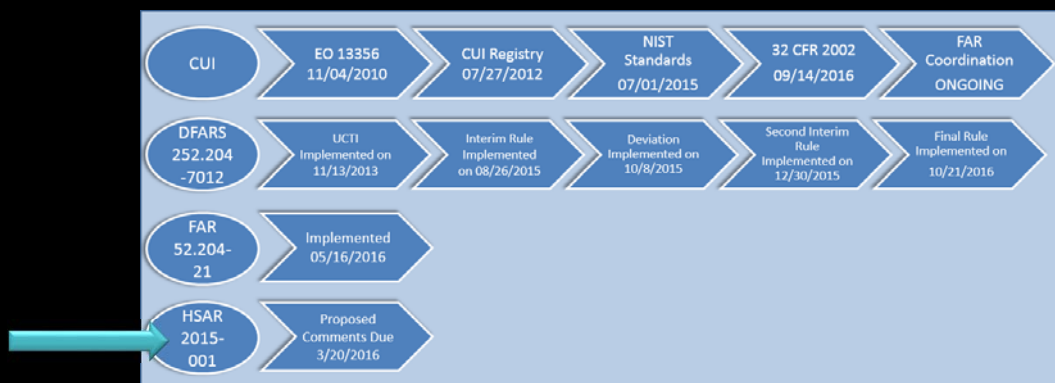
*New since last meeting

Impacts of Policy Changes - Overview

- 2017 will be a year of change with the implementation of Insider Threat, CUI, RMF, JVS, NISS and NCCS. Industry and USG both need increased fidelity on the costs of NISP implementation before additional reforms and new regulations are considered.
- The growing backlog of personnel security investigations and long lead time for meaningful reform to take hold will place national security at risk as both the USG and industry struggle to deliver responsive solutions from a tightening cleared labor market.
- Industry will be responsive to new initiatives, preferably if included in preparatory phases and where intended outcomes are clearly communicated.

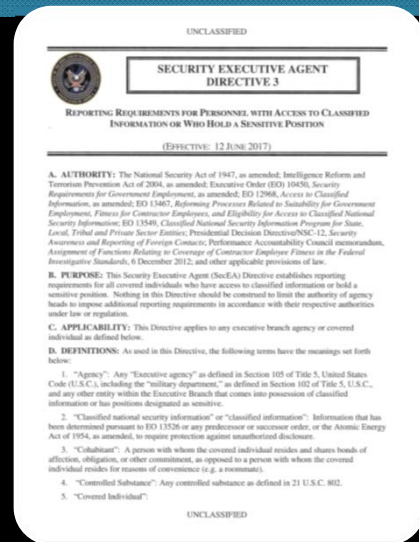
New Business: DHS Proposed Rule HSAR Case 2015-001

- New rule being proposed by DHS will add four new categories of CUI that are not in the NARA CUI Registry.
- Safeguarding does not require the use of NIST 800-171 standards.
- This new regulation may require differing protections for these categories of CUI which will require different network configurations and separate servers; having a large cost impact on contractors supporting DHS.
- NISPPAC and the MOUs submitted formal response; CODSIA response also submitted
- Seen as unnecessary complexity and potential for duplication of efforts and added cost to industry.



New Business: SEAD 3

- SEAD 3 was signed in December of 2016, to be effective June 12, 2017.
- New requirement that all covered persons are to report “CI Concerns” on all other covered persons. NISPOM previously limited reporting within a company, not on any other company. Change raises possible legal and other concerns.
- Pre-approval for foreign travel will be required for collateral clearance holders once it is incorporated into the new NISPOM. This will impose a new and large burden on both industry, DSS and other CSAs to handle the influx of reports that this will now generate. Will also need to de-conflict the process: who will be responsible for approving? Industry or Govt?
- Re-education of the workforce to socialize these new requirements will become necessary.

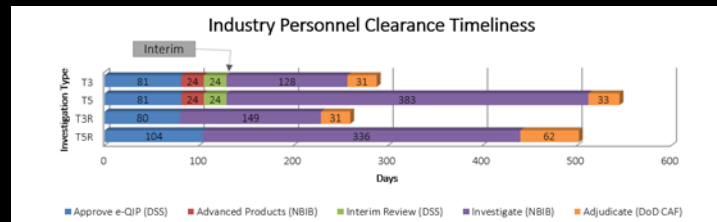


New Business: NDAA 2017 Section 1647

- Formation of an “Advisory Committee on Industrial Security and Industrial Base Policy”
- Committee to “review, assess, and make recommendations with respect to industrial security and industrial base policy” directly to OSD.
- 5 government and 5 non-government entities
- What role will this committee play and how will this interface with the NISPPAC? Concern regarding duplicative efforts or potential to fracture the NISP as this does not include non-DOD entities.

Old Business: Clearance Timelines

- CDC employee base and national security is being placed at risk; workforce churn, increased competition intra industry for cleared personnel raising salaries, efforts to work at lower levels of classification and loss of qualified scientific and technical candidates outside of the DIB as they select other employment options.
- OUSDI published "Clearances Don't Expire" memo 12/7/2016. Requesting similar memo from DNI (currently marked FOUO and cannot be disseminated).
- DOHA has worked the caseload down. As of February, only 145 SORs.
- Concern regarding funding shortfall with PSMO-I in FY17 and 29,000 cases in queue.
- Interim timelines are increasing from 3-5 days to 120+.
- Concerns with the Knowledge Center wait times in excess of 45 minutes and not conducive to Western Region business hours.
- Looking forward to PSMO returning to a steady state by end of the fiscal year as indicated on the 5/5/2017 call with stakeholders.



NISPPAC

Working Groups

- NISPOM Re-Write
 - Efforts continue. Last meeting held on 5/3/2017 regarding International Chapter.
- DSS In Transition (Formerly RBAM)
 - Currently, industry is not completely on board with transitioning to a completely different methodology and are eager to collaborate on the development.
 - NISPPAC supplied 66 industry names to participate on one working group (Industry IPT Members) and one focus group (Industry Focus Group) surrounding the New DSS Methodology. Three meetings have been held thus far.
- NID Ad-Hoc Meeting
 - FOCI representatives from industry met with all pertinent CSAs and continue to discuss NID reform as well as reform of 32 CFR 2004.

NISPPAC

Working Groups (continued)

- Personnel Security: Applications
 - NISP Contractor Classification System (NCCS)
 - Currently one POC at DSS to set up accounts. Backup needed.
 - What is the long term plan and implementation timeline for Government and Industry?
 - Will this be incorporated into the Knowledge Center?
 - Defense Information Systems for Security (DISS)
 - Projected go live for Industry Q4 of 2017
 - Concern regarding the mirroring of JPAS and DISS while transitioning to Industry
 - Still awaiting an Industry Advocate for the Governance Review Board for DISS change requests
 - Template provided in .csv format for developers of SIMS, Access Commander and ISMSi to be able to import
 - Training overview has been developed; concern regarding lack of detailed training to be made available to industry and government
 - eQIP
 - eQIP will be replaced with eAPP. Industry is requesting more information on this transition as well as participation in a beta test. Unsure of potential impacts between eAPP and DISS.
 - Development of National Industrial Security System (NISS)
 - Participated on the system requirements phase and sat in a demo March 1. Industry is hopeful the system will increase efficiencies for both DSS and FSOs.

NISPPAC

Working Groups (continued)

- Insider Threat Working Group
 - 99% complete with ITPSO appointments
 - 96% of plans certified
 - Looking forward to hearing from industry as DSS starts to rate the "effectiveness of programs"
 - Currently no automated process when adverse is entered into Scattered Castles to alert DSS
- Information Systems Authorization Working Group (Formerly C&A WG)
 - Working group focus is on incorporating the Risk Management Framework (RMF) into future process manual updates.
 - 34 RMF authorizations to date with an average of 45 days to approve. Industry will be interested to learn if this trend continues as more plans are authorized.

Attachment #6



Personnel Security Investigations for Industry (PSI-I) Update

- Due to PSI-I budget limitations, investigation submissions to NBIB are being metered.
 - DSS is working to minimize the impact to contract performance by:
 - Prioritizing initials and interim determinations.
 - Tier 5 Reinvestigation change in periodicity with updated guidance posted on Feb 10, 2017. Guidance and applicable policy memorandums are located in the “News” section here: <http://www.dss.mil/>
 - Working aggressive inventory reduction strategy post consecutive continuing resolutions and recently passed budget.



Attachment #7



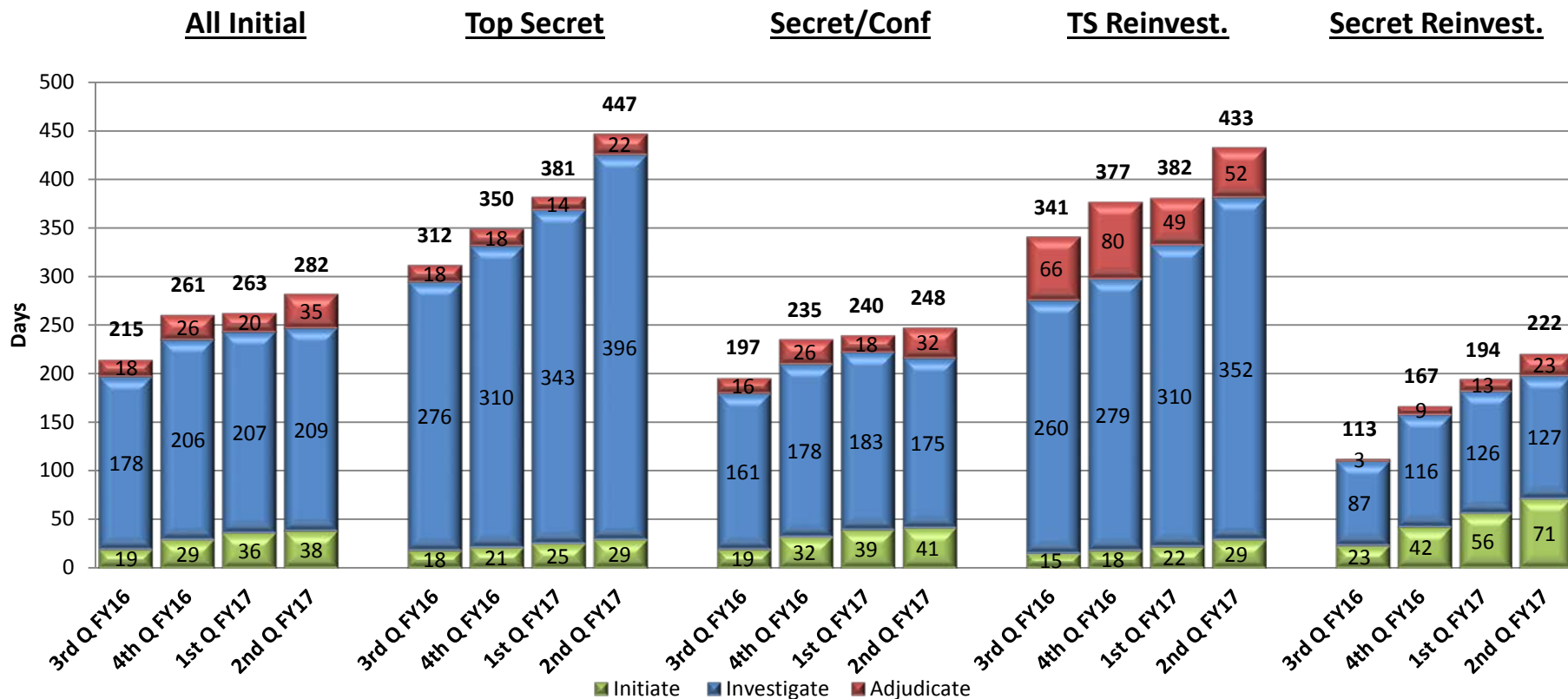
Timeliness Performance Metrics for Submission, Investigation & Adjudication Time

DoD-Industry

May 2017

Quarterly Timeliness Performance Metrics for Submission, Investigation & Adjudication* Time

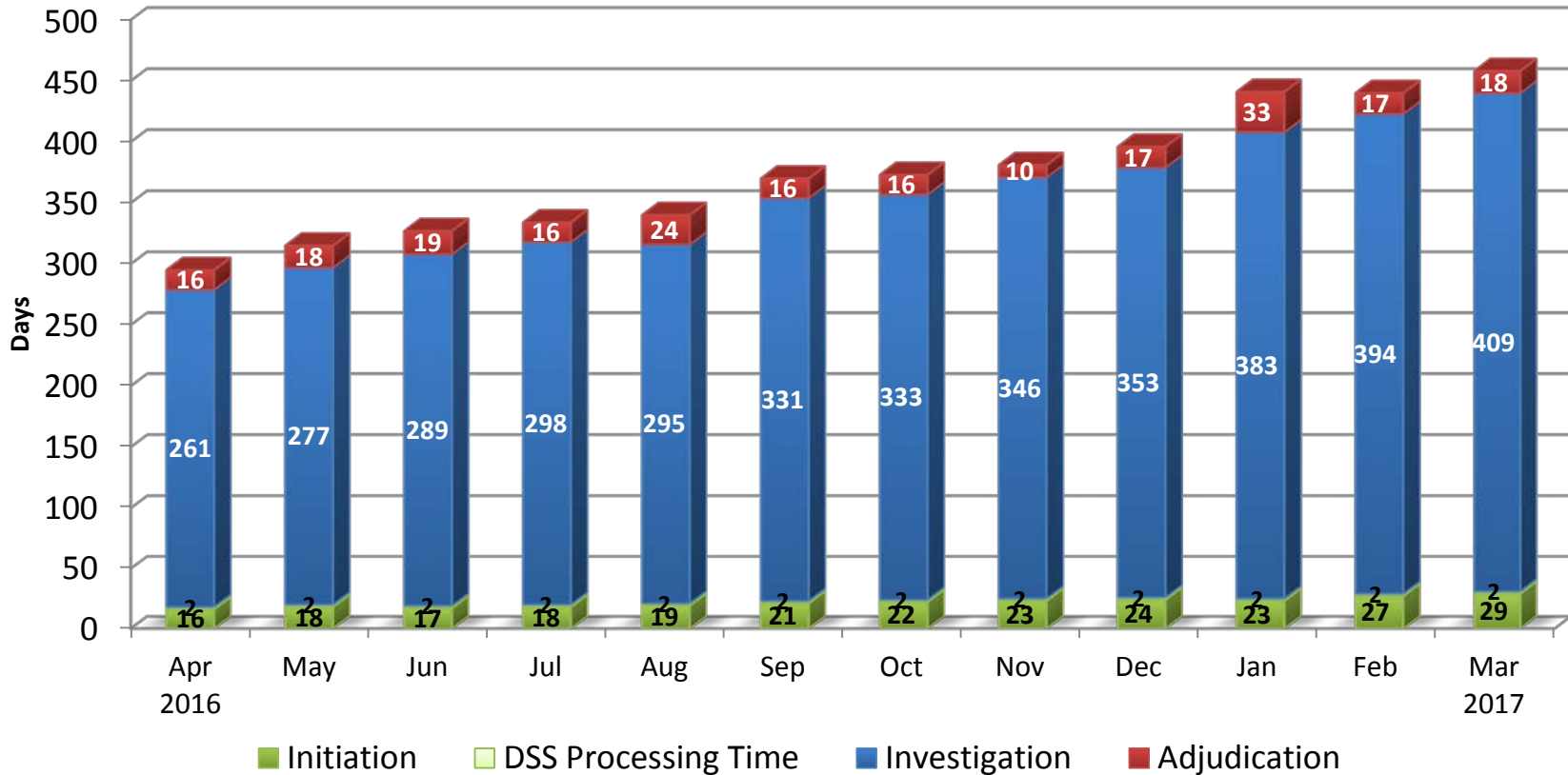
Average Days of Fastest 90% of Reported Clearance Decisions Made



	All Initial	Top Secret	Secret/ Confidential	Top Secret Reinvestigations	Secret Reinvestigations
Adjudication actions taken – 3 rd Q FY16	13,455	2,230	11,225	7,710	3,849
Adjudication actions taken – 4 th Q FY16	10,265	2,310	7,955	7,770	3,257
Adjudication actions taken – 1 st Q FY17	12,549	2,268	10,281	5,802	4,762
Adjudication actions taken – 2 nd Q FY17	13,209	2,564	10,645	6,054	6,216

*The adjudication timeliness includes collateral adjudication by DoD CAF and SCI adjudication by other DoD adjudication facilities

Industry's Average Timeliness Trends for 90% Initial Top Secret Security Clearance Decisions



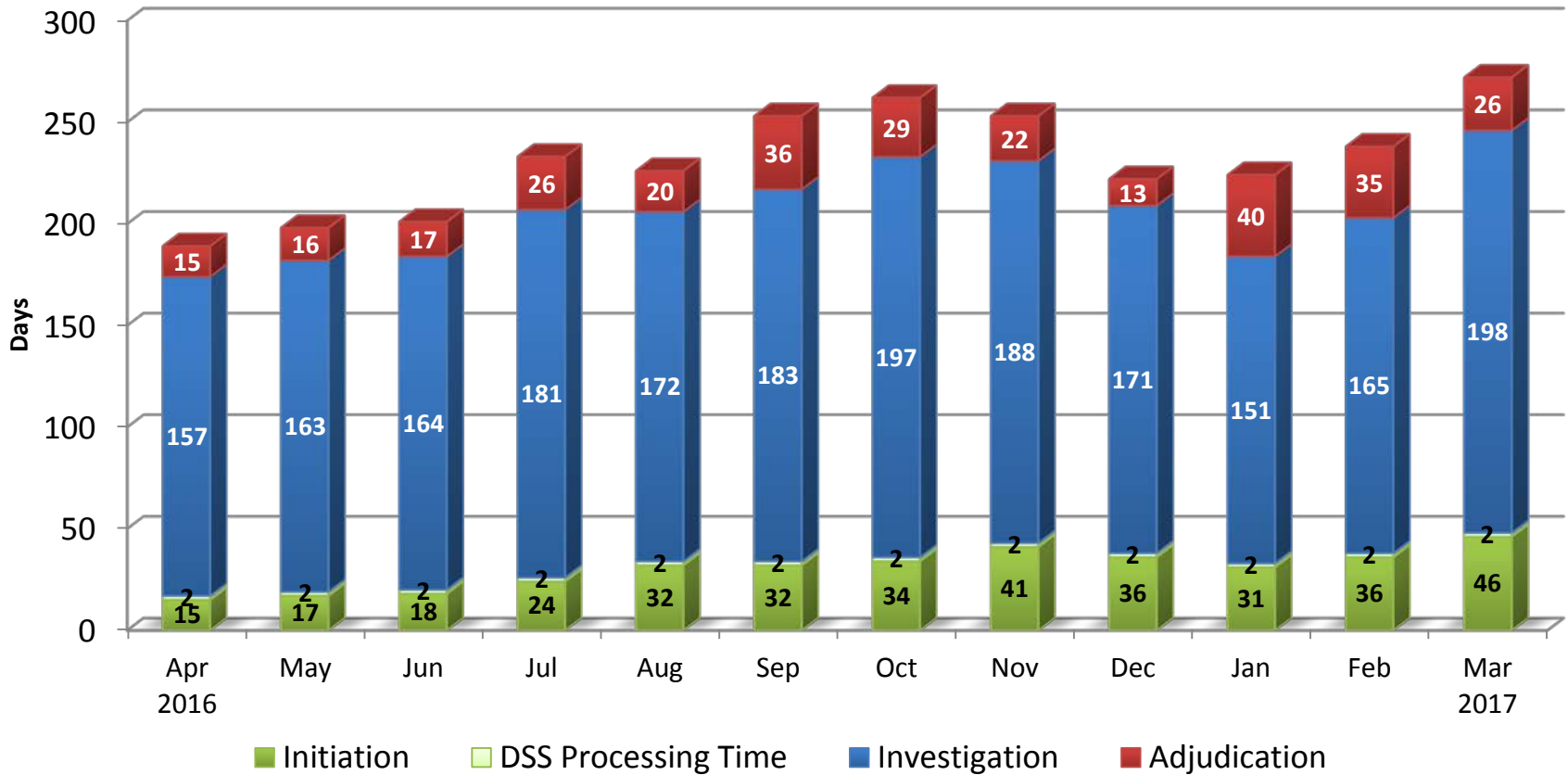
GOAL: Initiation – 14 days

Investigation – 80 days

Adjudication – 20 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	721	759	755	697	681	935	871	793	605	701	845	1,018
Average Days for fastest 90%	295 days	315 days	327 days	334 days	340 days	370 days	372 days	380 days	396 days	441 days	439 days	458 days

Industry's Average Timeliness Trends for 90% Secret/Confidential Security Clearance Decisions (NACLCL/T3)



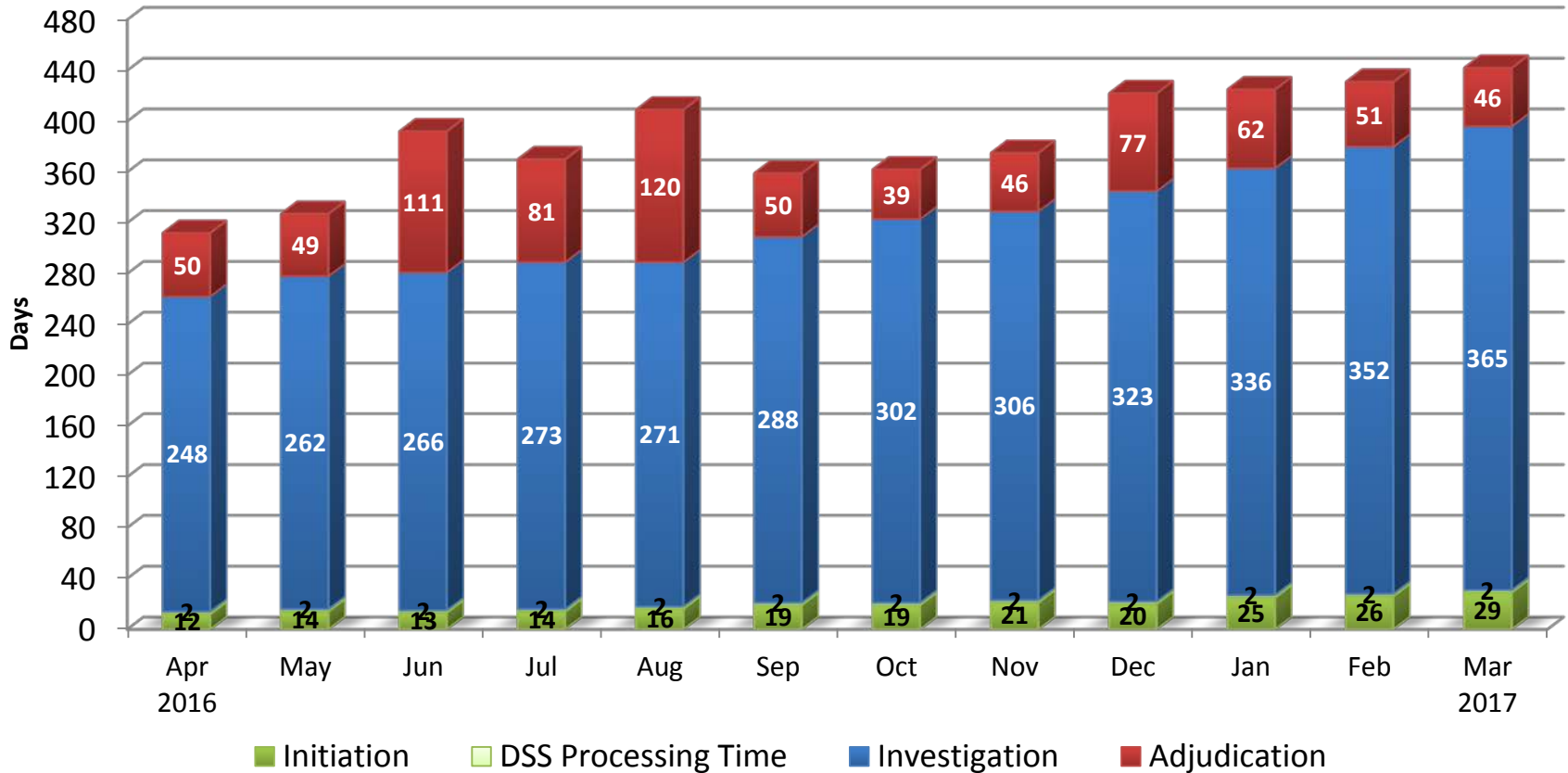
GOAL: Initiation – 14 days

Investigation – 40 days

Adjudication – 20 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	3,464	3,582	4,188	2,352	3,413	2,191	2,730	2,871	4,680	2,402	4,125	4,119
Average Days for fastest 90%	189 days	198 days	201 days	233 days	226 days	253 days	262 days	253 days	222 days	224 days	238 days	272 days

Industry's Average Timeliness Trends for 90% Top Secret Reinvestigation Security Clearance Decisions



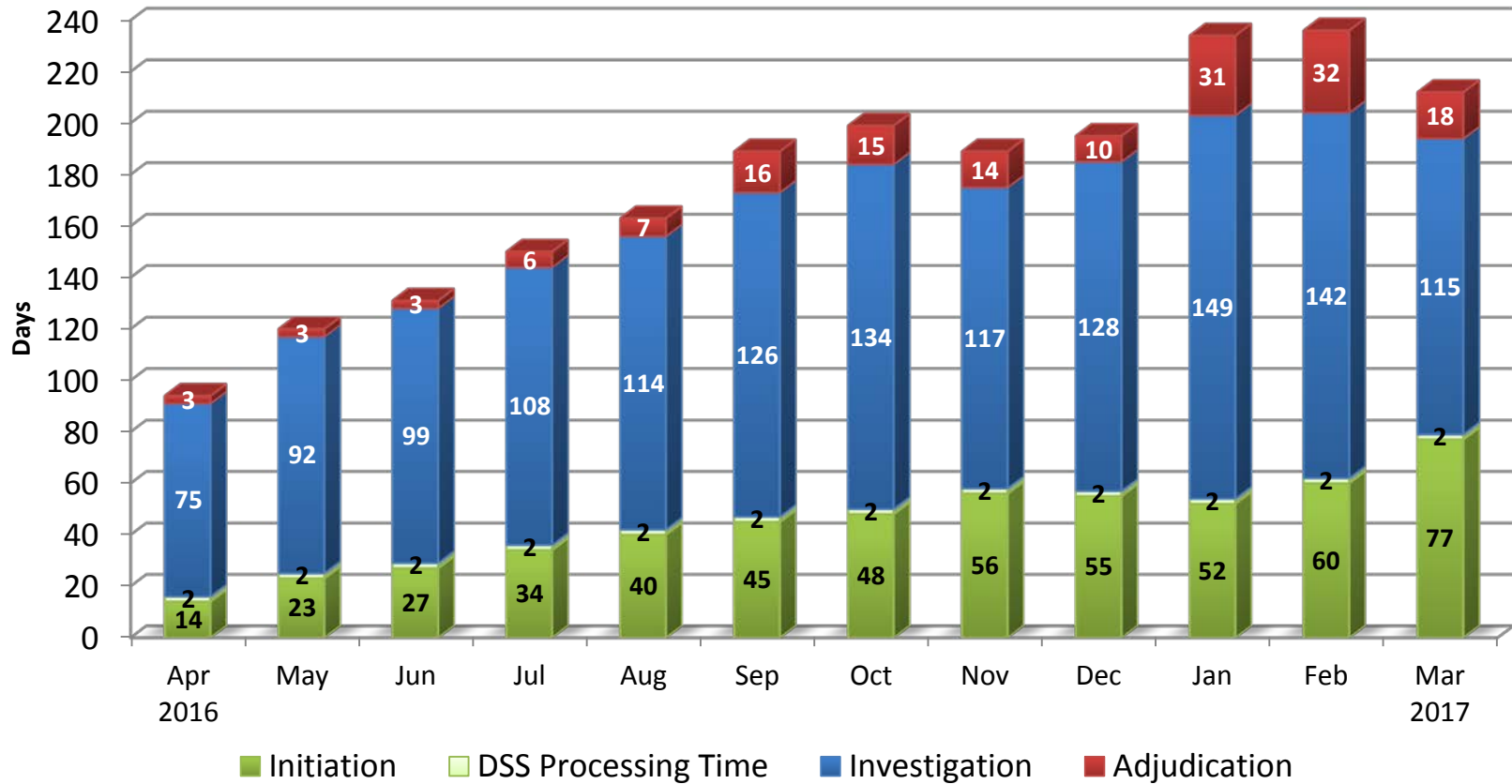
GOAL: Initiation – 14 days

Investigation – 150 days

Adjudication – 30 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	2,635	2,568	2,519	2,627	2,436	2,710	2,257	2,130	1,418	1,907	1,982	2,167
Average Days for fastest 90%	312 days	327 days	392 days	370 days	409 days	359 days	363 days	375 days	423 days	425 days	432 days	442 days

Industry's Average Timeliness Trends for 90% Secret Reinvestigation Security Clearance Decisions (T3R)



	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	1,532	1,122	1,195	762	1,489	1,008	1,140	1,451	2,171	650	1,799	3,852
Average Days for fastest 90%	94 days	120 days	131 days	150 days	163 days	189 days	199 days	188 days	195 days	235 days	236 days	212 days

Attachment #8



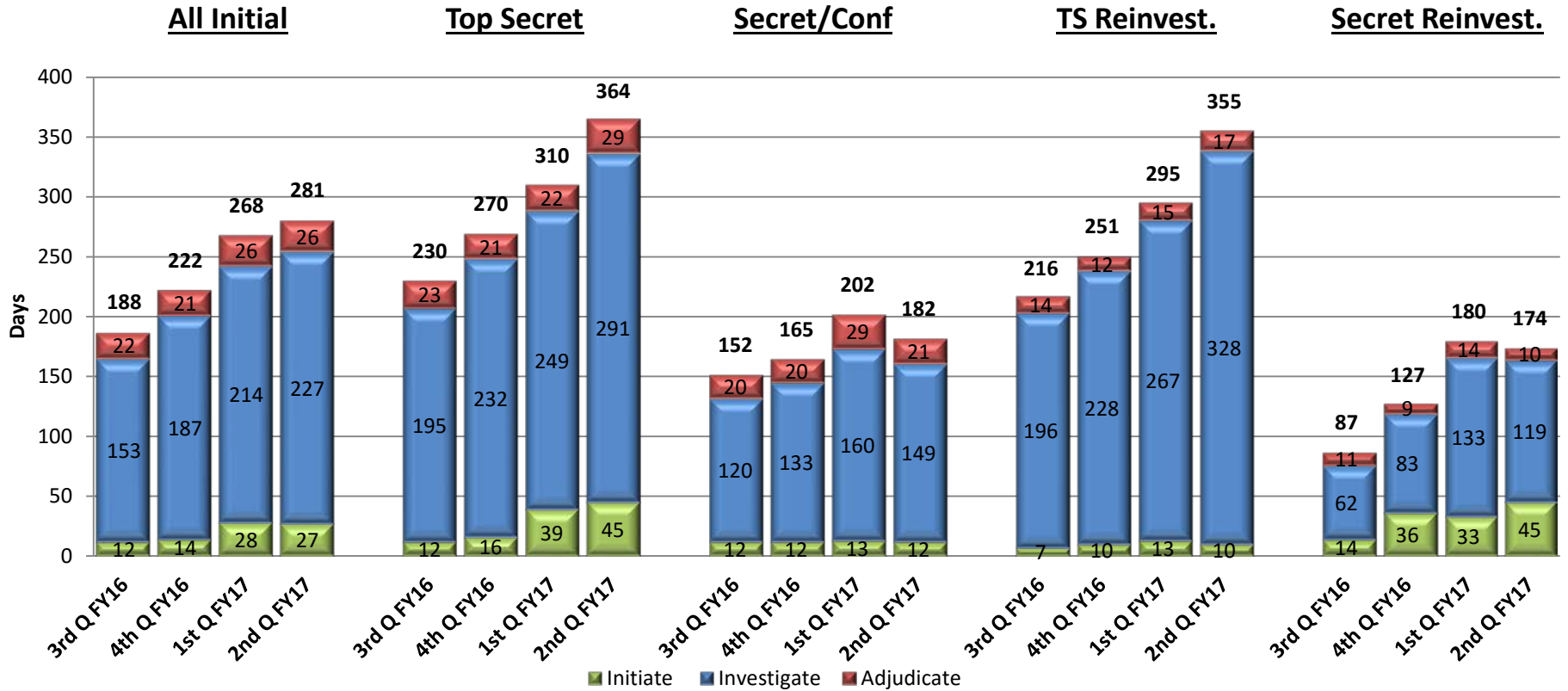
Timeliness Performance Metrics for Submission, Investigation & Adjudication Time

DOE

May 2017

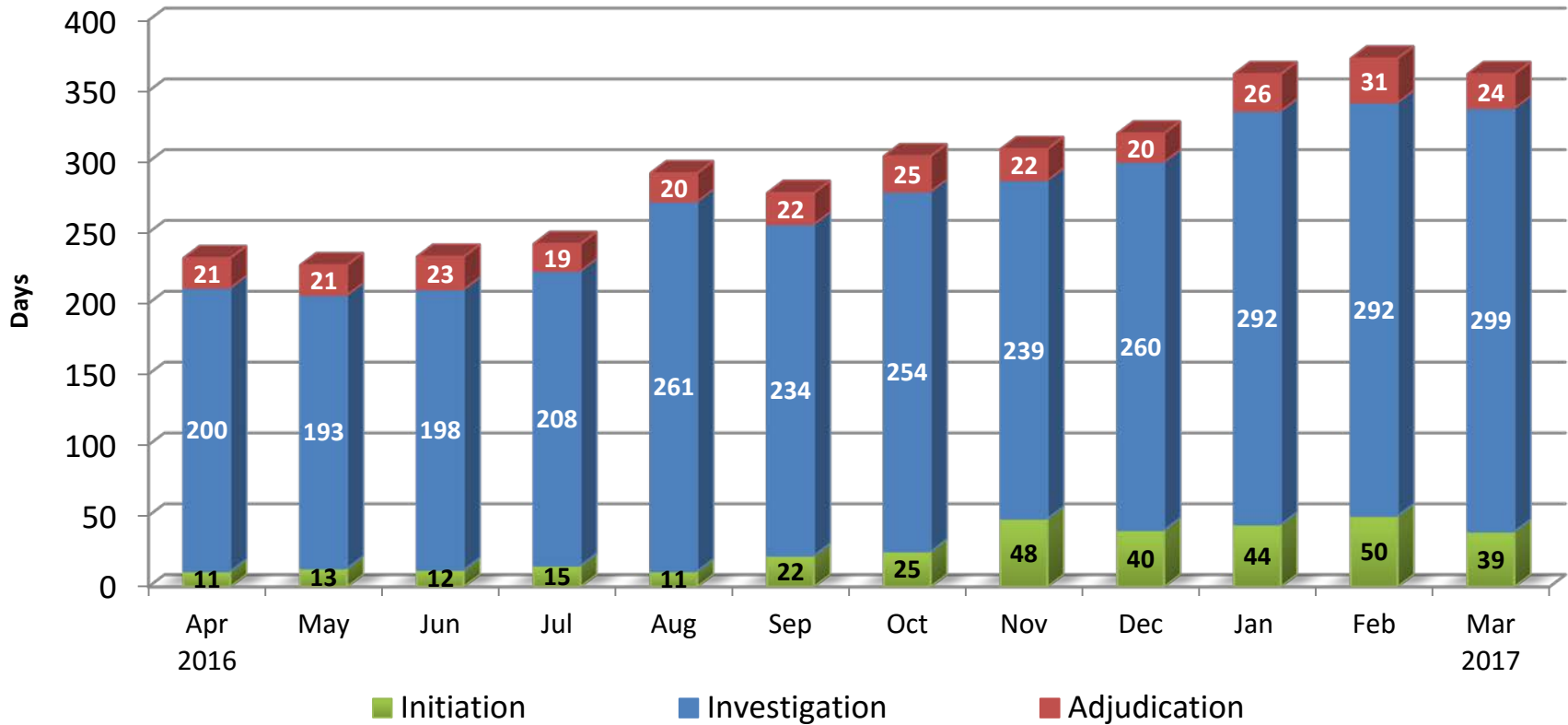
Quarterly Timeliness Performance Metrics for Submission, Investigation & Adjudication Time

Average Days of Fastest 90% of Reported Clearance Decisions Made



	All Initial	Top Secret	Secret/ Confidential	Top Secret Reinvestigations	Secret Reinvestigations
Adjudication actions taken – 3 rd Q FY16	1,536	745	791	1,855	672
Adjudication actions taken – 4 th Q FY16	1,395	788	607	1,962	643
Adjudication actions taken – 1 st Q FY17	1,326	830	496	1,835	453
Adjudication actions taken – 2 nd Q FY17	1,638	923	715	1,775	1,131

DOE's Average Timeliness Trends for 90% Initial Top Secret Security Clearance Decisions



■ Initiation

■ Investigation

■ Adjudication

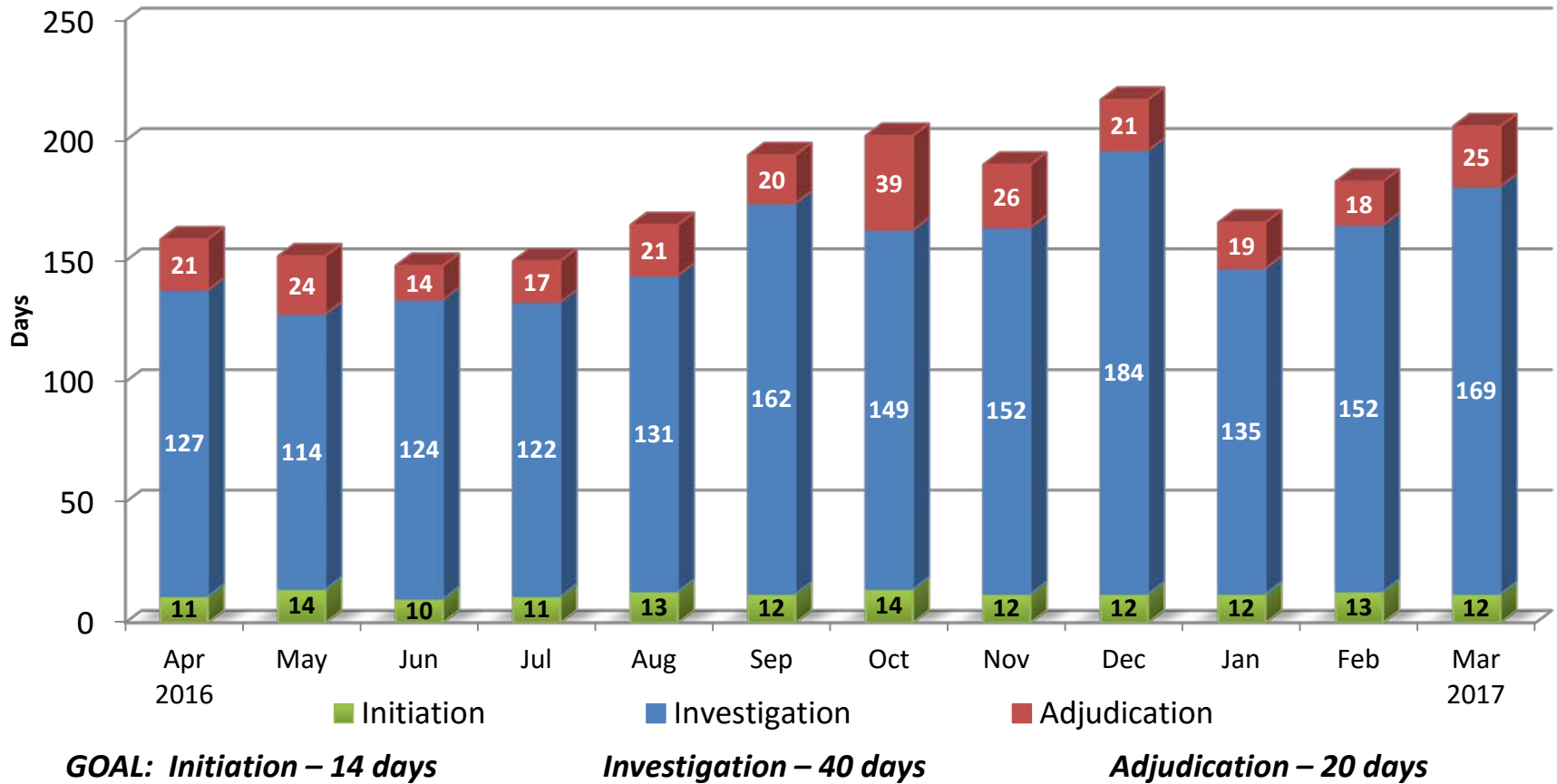
GOAL: Initiation – 14 days

Investigation – 80 days

Adjudication – 20 days

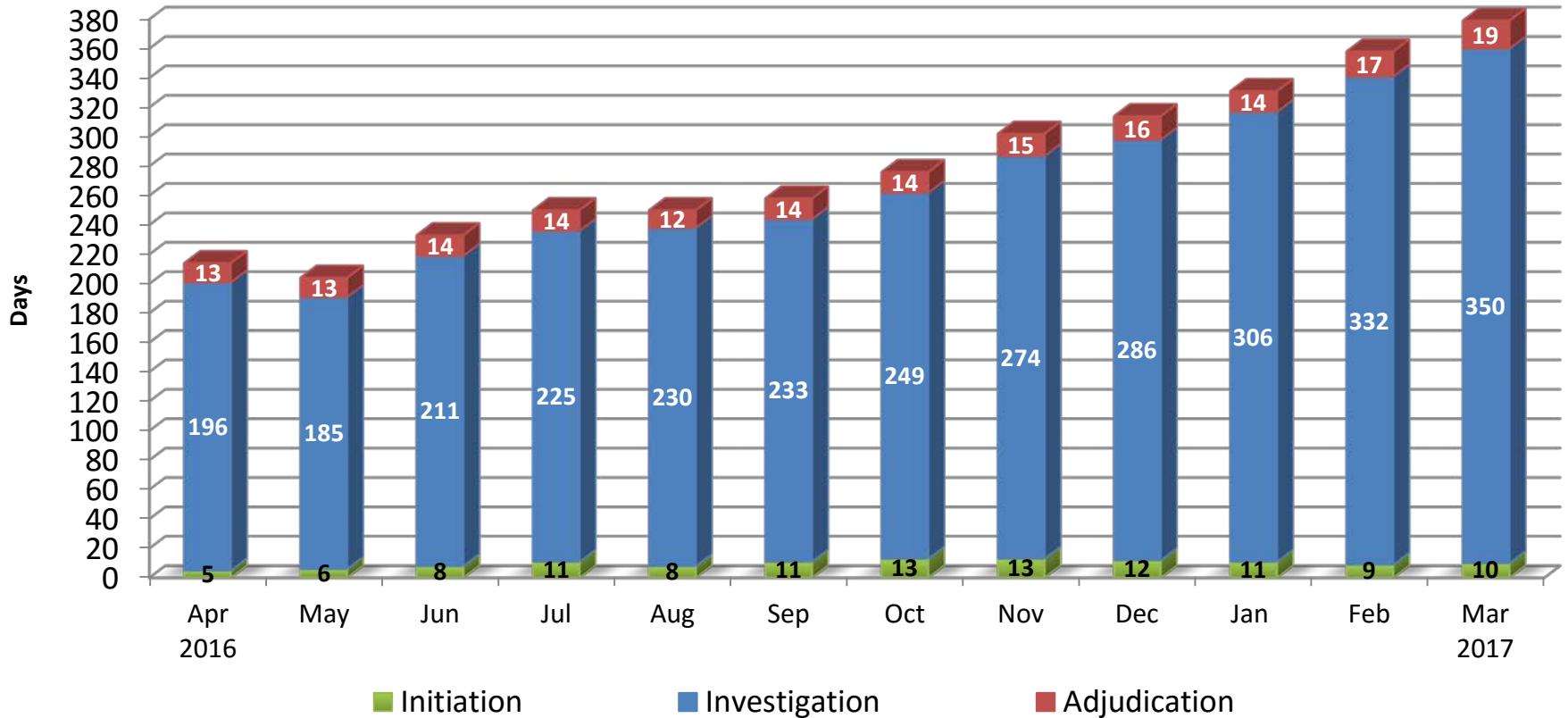
	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	229	271	242	244	274	265	274	281	290	275	277	356
Average Days for fastest 90%	232 days	227 days	233 days	242 days	292 days	278 days	304 days	309 days	321 days	363 days	373 days	362 days

DOE's Average Timeliness Trends for 90% Secret/Confidential Security Clearance Decisions (NACLC/ANACI/T3)



	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	209	274	299	242	201	146	160	175	168	244	185	216
Average Days for fastest 90%	159 days	152 days	148 days	150 days	165 days	194 days	202 days	190 days	217 days	166 days	182 days	206 days

DOE's Average Timeliness Trends for 90% Top Secret Reinvestigation Security Clearance Decisions



■ Initiation

■ Investigation

■ Adjudication

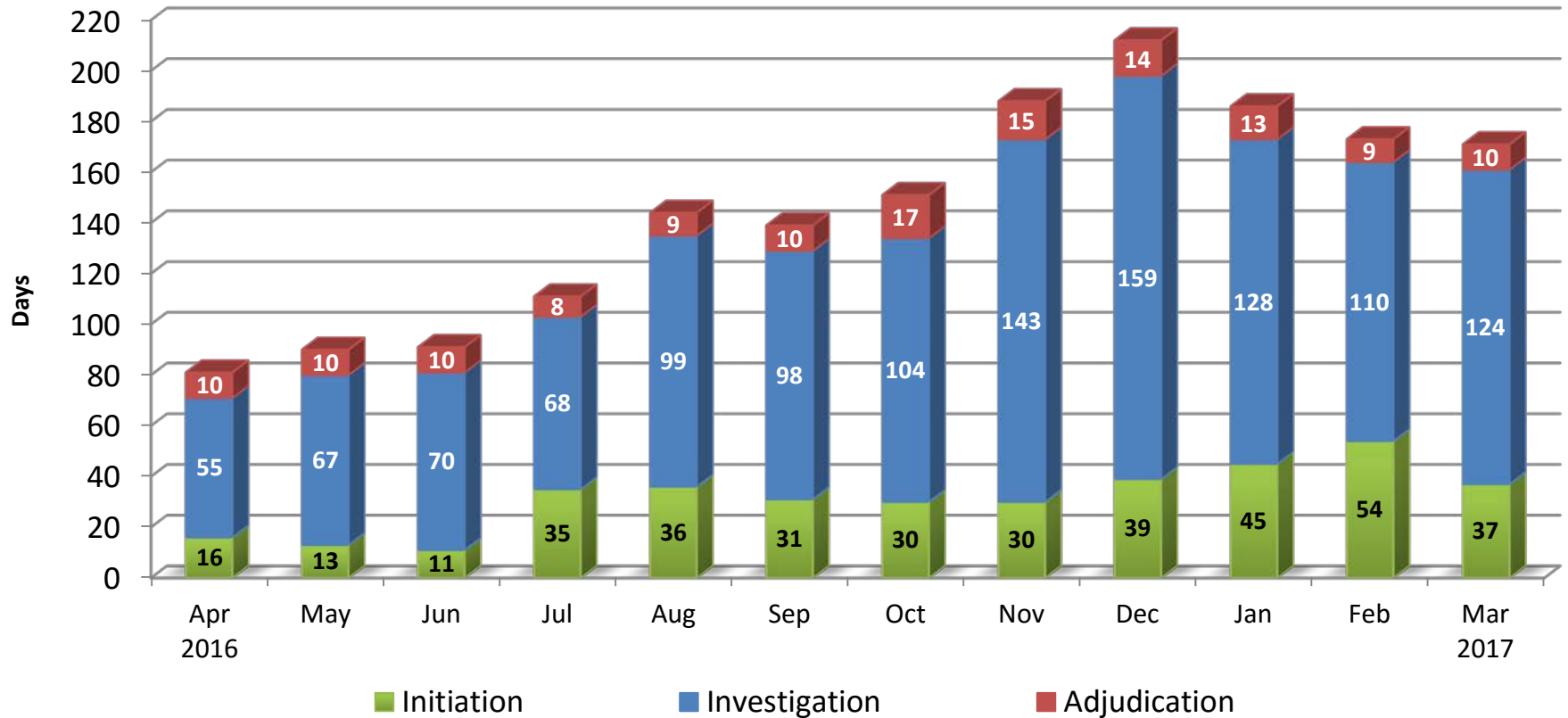
GOAL: Initiation – 14 days

Investigation – 150 days

Adjudication – 30 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	680	671	497	555	738	660	693	655	494	560	613	583
Average Days for fastest 90%	214 days	204 days	233 days	250 days	250 days	258 days	276 days	301 days	314 days	331 days	358 days	379 days

DOE's Average Timeliness Trends for 90% Secret Reinvestigation Security Clearance Decisions (T3R)



	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	284	172	201	252	192	165	168	149	138	239	314	545
Average Days for fastest 90%	81 days	90 days	91 days	111 days	144 days	139 days	150 days	188 days	212 days	186 days	172 days	171 days

Attachment #9



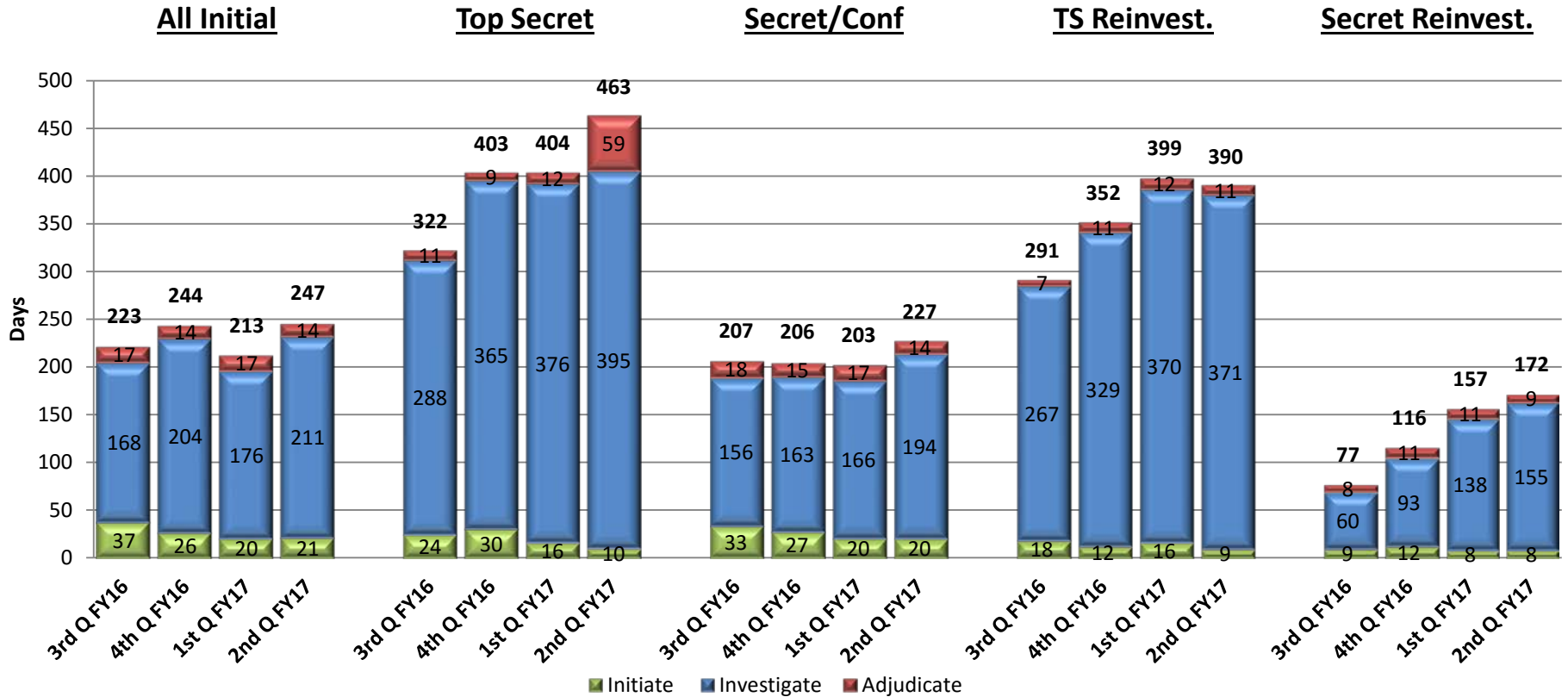
Timeliness Performance Metrics for Submission, Investigation & Adjudication Time

NRC

May 2017

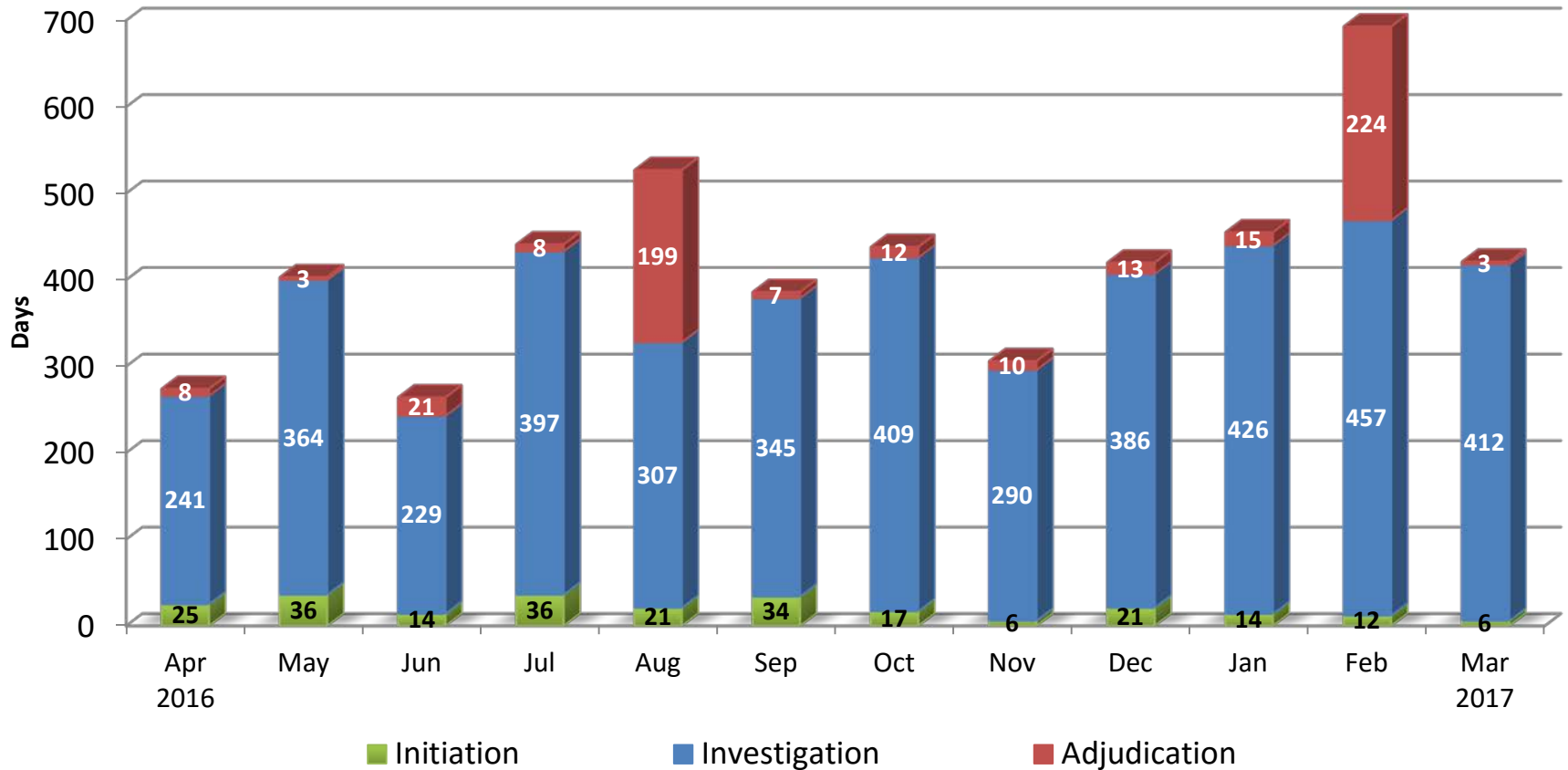
Quarterly Timeliness Performance Metrics for Submission, Investigation & Adjudication Time

Average Days of Fastest 90% of Reported Clearance Decisions Made



	All Initial	Top Secret	Secret/ Confidential	Top Secret Reinvestigations	Secret Reinvestigations
Adjudication actions taken – 3 rd Q FY16	102	15	87	20	44
Adjudication actions taken – 4 th Q FY16	62	13	49	46	83
Adjudication actions taken – 1 st Q FY17	68	5	63	40	54
Adjudication actions taken – 2 nd Q FY17	89	10	79	29	93

NRC's Average Timeliness Trends for 90% Initial Top Secret Security Clearance Decisions



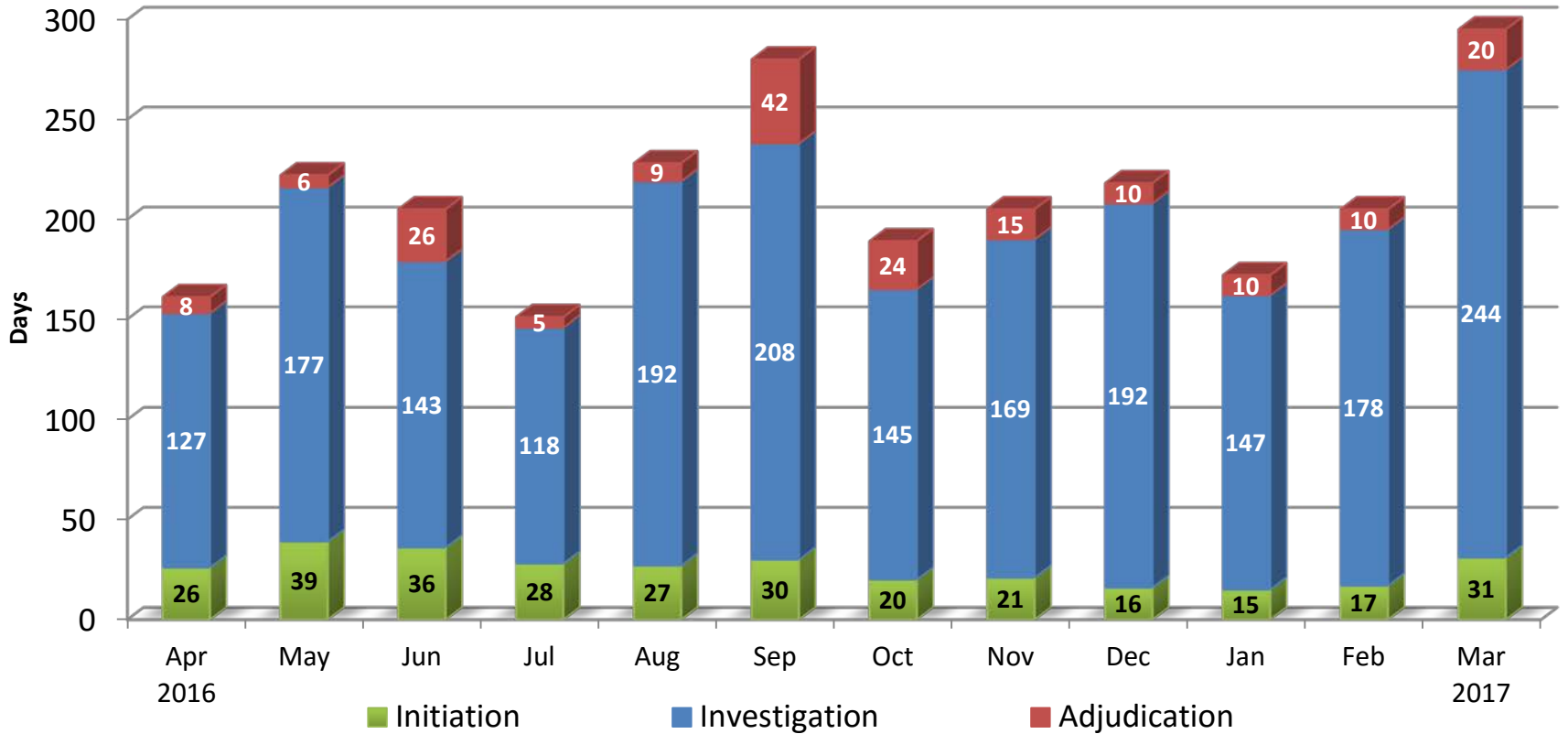
GOAL: Initiation – 14 days

Investigation – 80 days

Adjudication – 20 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	6	4	6	3	5	5	2	1	2	5	2	3
Average Days for fastest 90%	274 days	403 days	264 days	441 days	527 days	386 days	437 days	306 days	420 days	454 days	693 days	421 days

NRC's Average Timeliness Trends for 90% Secret/Confidential Security Clearance Decisions (NACL/ANACI/T3)



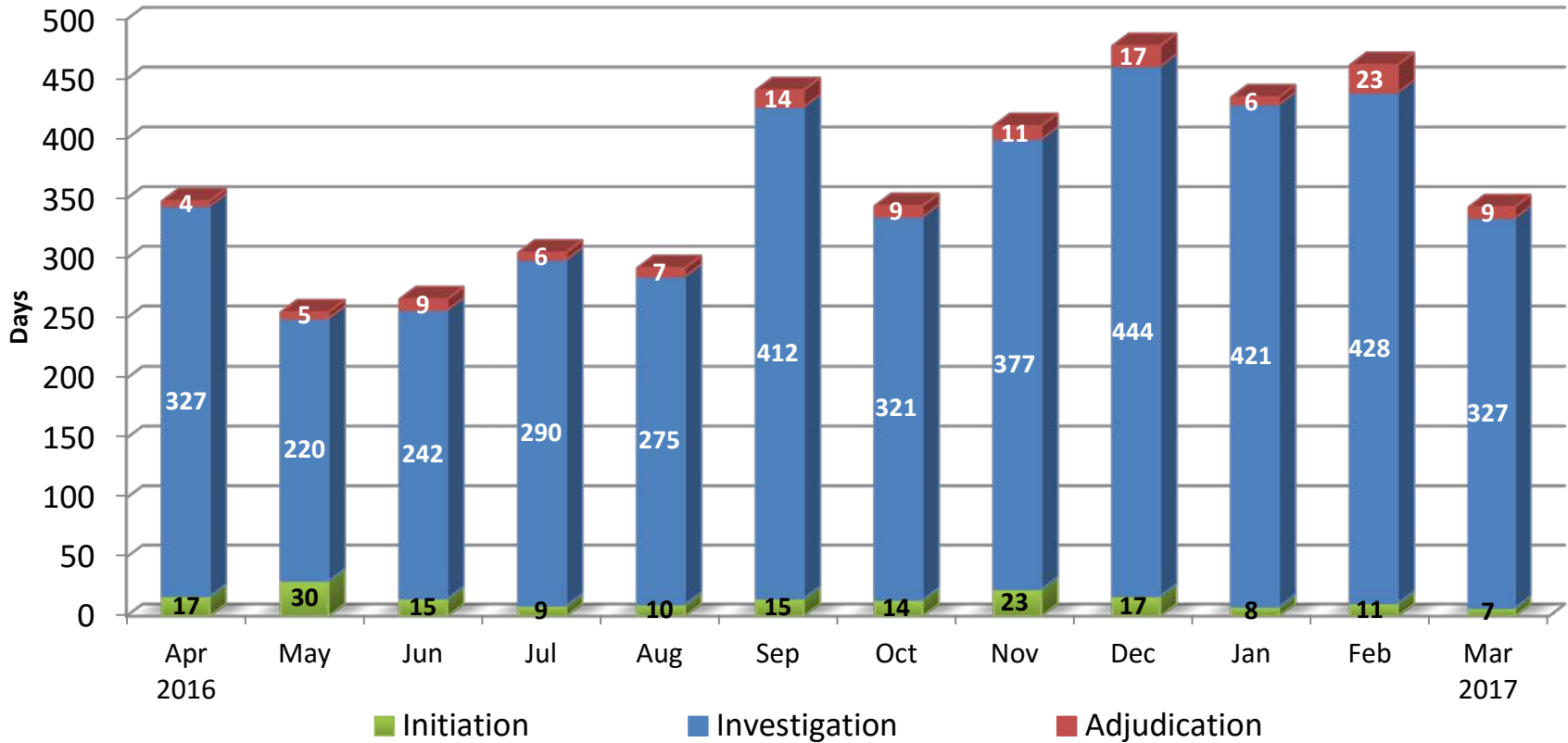
GOAL: Initiation – 14 days

Investigation – 40 days

Adjudication – 20 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	19	30	37	21	17	11	22	27	14	22	23	35
Average Days for fastest 90%	161 days	222 days	205 days	151 days	228 days	280 days	189 days	206 days	218 days	171 days	206 days	295 days

NRC's Average Timeliness Trends for 90% Top Secret Reinvestigation Security Clearance Decisions



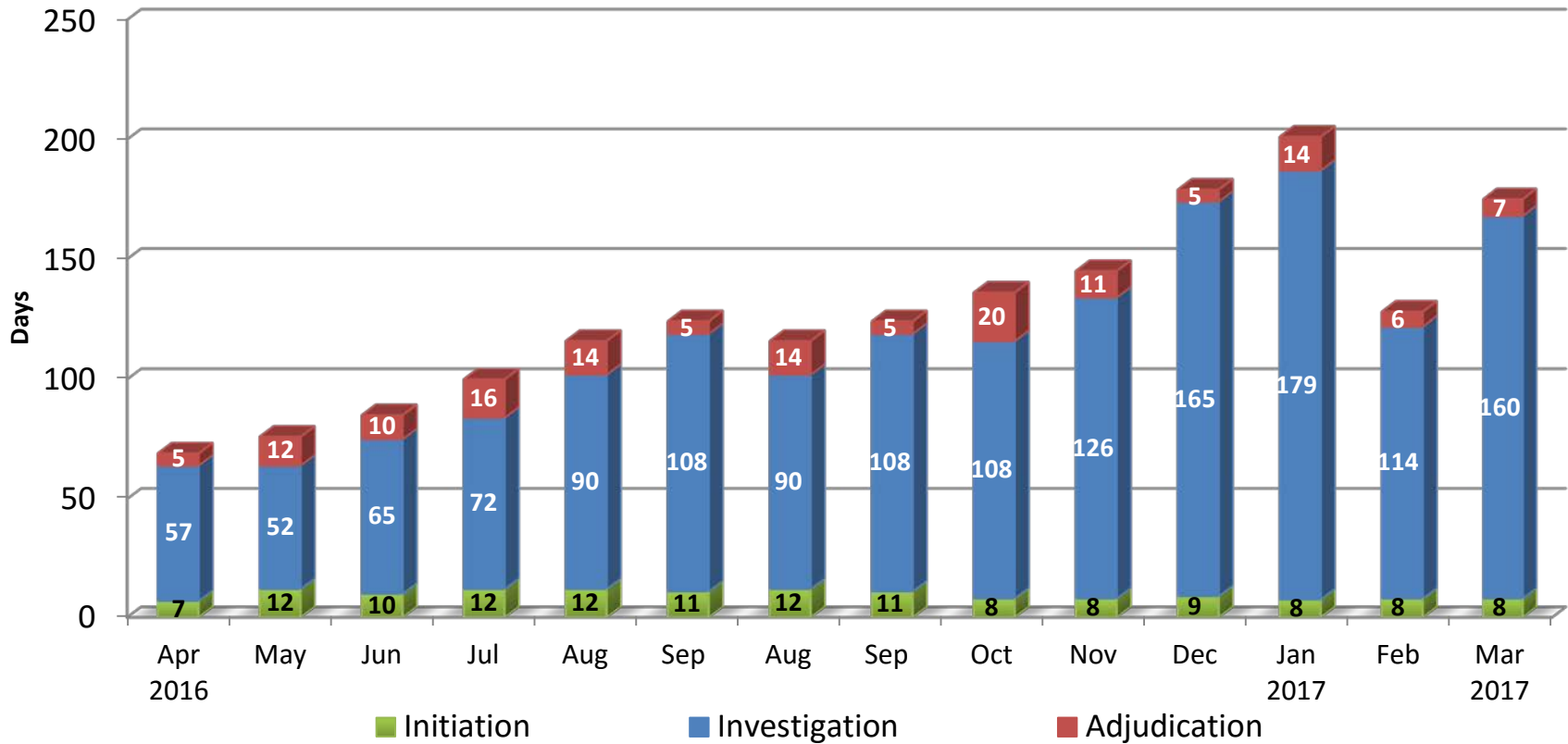
GOAL: Initiation – 14 days

Investigation – 150 days

Adjudication – 30 days

	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	7	3	10	12	14	19	19	6	15	12	5	12
Average Days for fastest 90%	348 days	255 days	266 days	305 days	292 days	441 days	343 days	411 days	479 days	435 days	462 days	343 days

NRC's Average Timeliness Trends for 90% Secret Reinvestigation Security Clearance Decisions (T3R)



	Apr 2016	May 2016	Jun 2016	Jul 2016	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017
100% of Reported Adjudications	20	7	16	21	26	36	15	13	26	30	23	40
Average Days for fastest 90%	69 days	76 days	85 days	100 days	116 days	124 days	136 days	146 days	180 days	201 days	128 days	176 days

Attachment #10

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OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE



INDUSTRY PERFORMANCE METRICS & OTHER SecEA INITIATIVES

NCSC/Special Security Directorate



LEADING INTELLIGENCE INTEGRATION

Gary Novotny, Garymn@dni.gov
Briefing to NISPPAC
10 May 2017

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Performance Accountability Council (PAC) Security Clearance Methodology



- Data on the following slides reflects security clearance timeliness performance on contractor cases. DoD Industry data is provided by OPM and IC contractor data is provided by the following IC agencies: CIA, DIA, FBI, NGA, NRO, NSA and Department of State.
- Timeliness data is being provided to report the length of time contractor cases are taking - not contractor performance.
- As shown in the diagram, 'Pre/Post' casework is not considered in the PAC Timeliness Methodology.
- Unless otherwise specified, Initial Secret data is a combination of legacy investigative types and Tier 3 investigations.



Timeliness Methodology Evolution

**IRTPA
(2004)**

Initial Secret
and Top Secret



**PAC
(2008)**

Initial Secret
and Top Secret

Periodic Reinvestigations



**PAC/SecEA
(2012)**

Initial Secret

Initial Top Secret



Periodic Reinvestigations



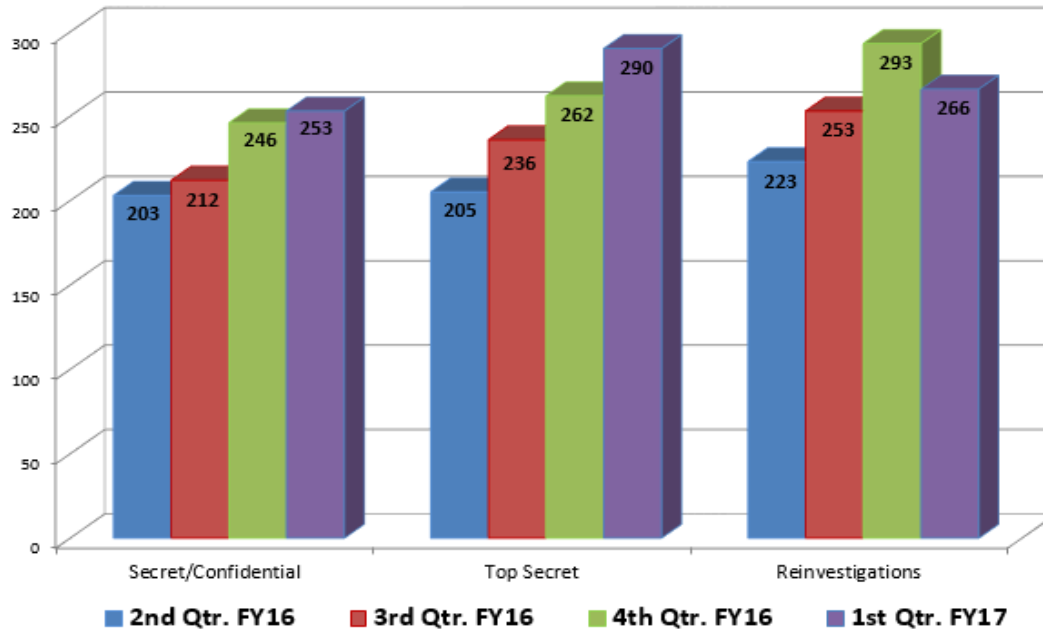
Pre-submission
Coordination

Post-decision
Employment
Coordination



Timeliness Performance Metrics for IC/DSS Industry Personnel Submission, Investigation & Adjudication* Time

Average Days of Fastest 90% of Reported Clearance Decisions Made



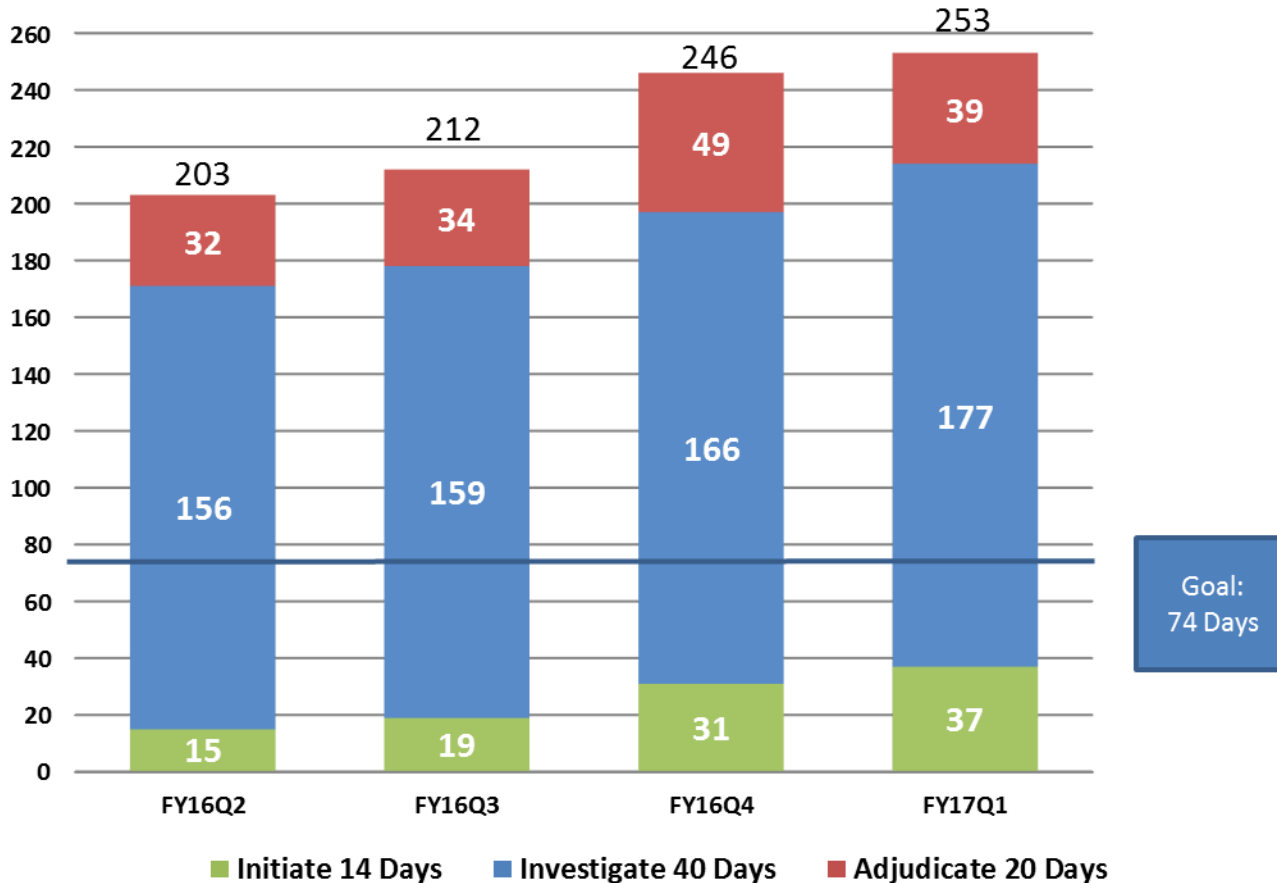
	Secret/ Confidential	Top Secret	Periodic Reinvestigations
Adjudication actions taken – 2nd Q FY16	11,340	4,176	14,110
Adjudication actions taken – 3rd Q FY16	11,820	3,857	13,356
Adjudication actions taken – 4th Q FY16	8,697	4,145	12,995
Adjudication actions taken – 1st Q FY17	10,854	4,181	13,730

*The adjudication timeliness includes collateral adjudication and SCI, if conducted concurrently



IC and DoD Industry – Secret Clearances

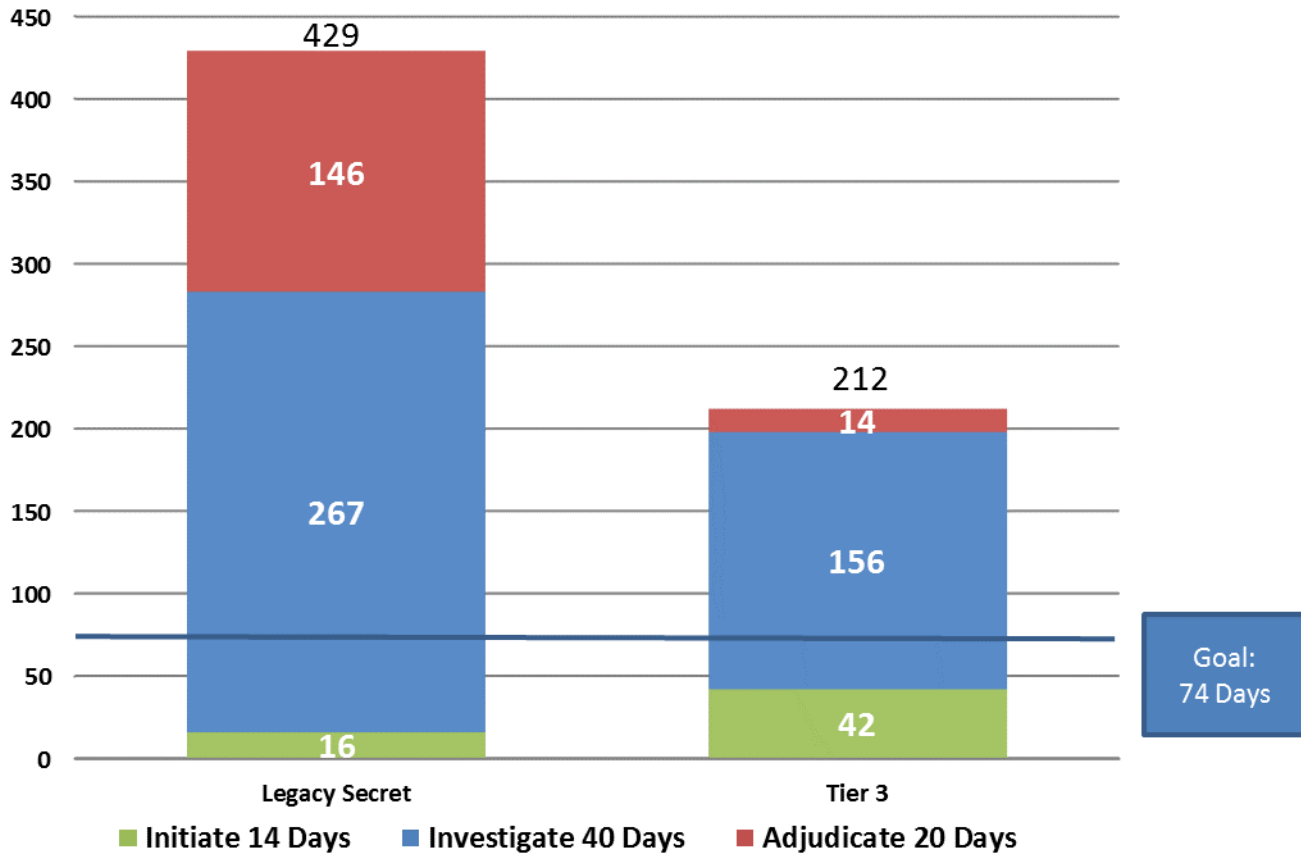
Average Days of Fastest 90% of Reported Clearance Decisions Made





IC and DoD Industry – Legacy Secret vs Tier 3 (FY17 Q1)

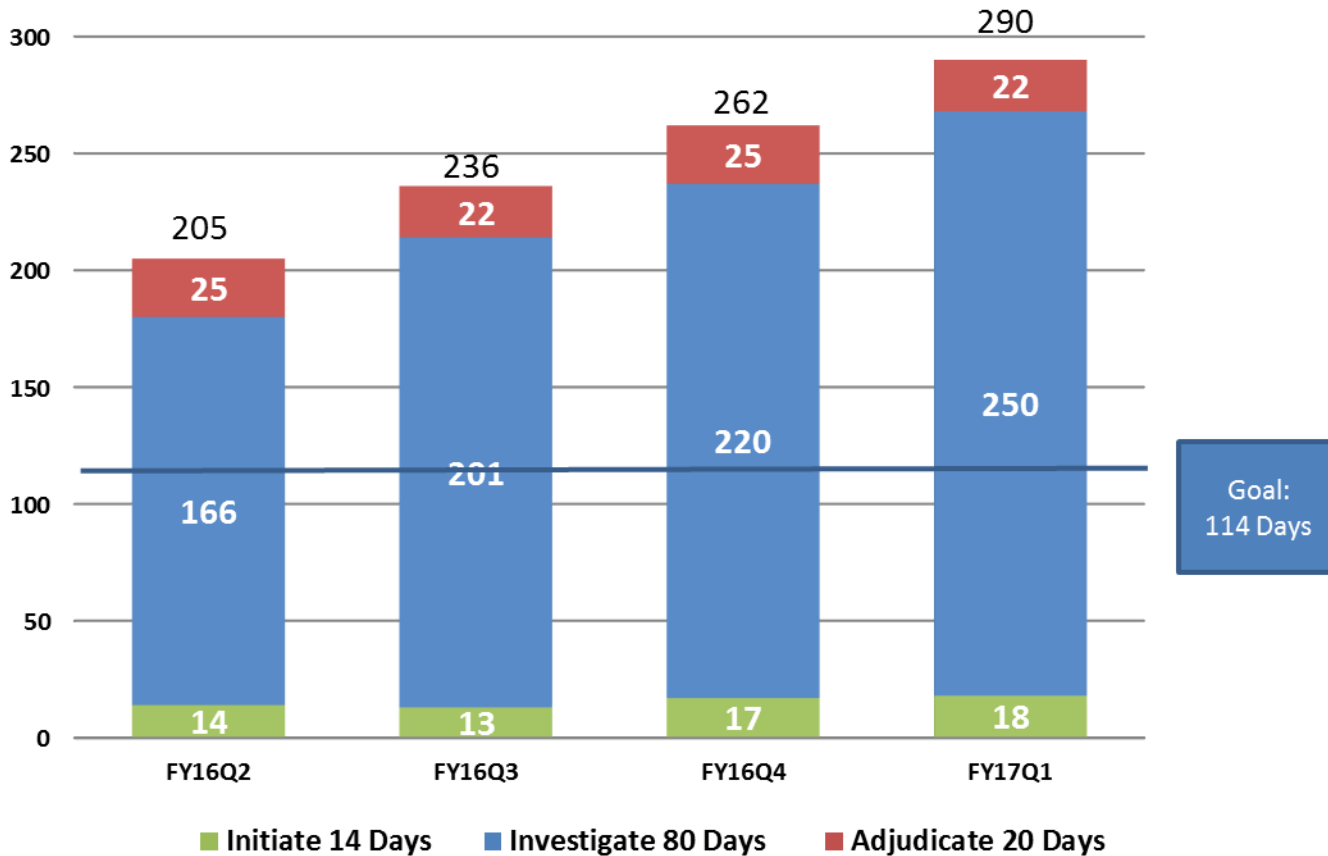
Average Days of Fastest 90% of Reported Clearance Decisions Made





IC and DoD Industry - Top Secret Clearances (SSBI)

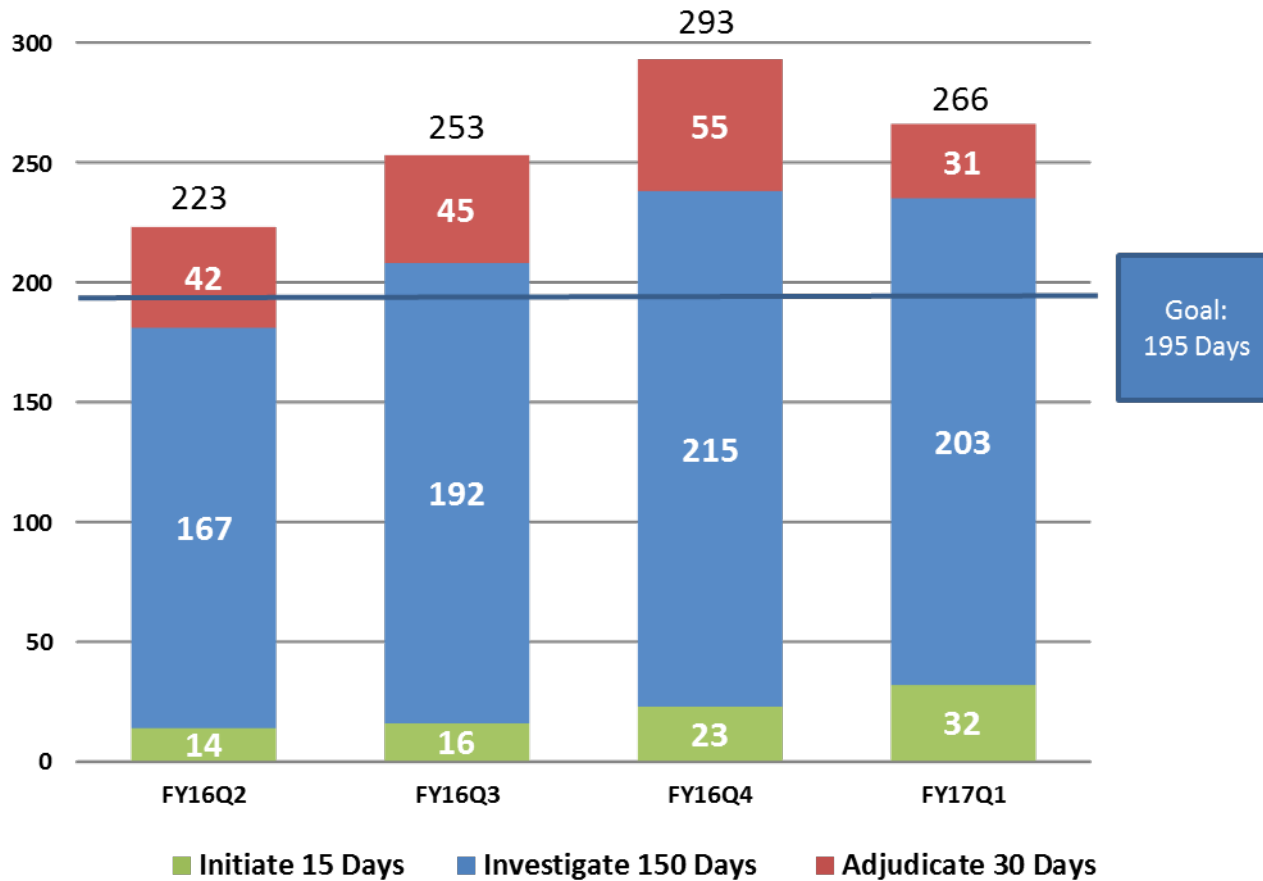
Average Days of Fastest 90% of Reported Clearance Decisions Made





IC and DoD Industry - Periodic Reinvestigations (SSBI-PR's)

Average Days of Fastest 90% of Reported Clearance Decisions Made





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LEADING INTELLIGENCE INTEGRATION



Questions?

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Attachment #11



Department of Defense Consolidated Adjudications Facility



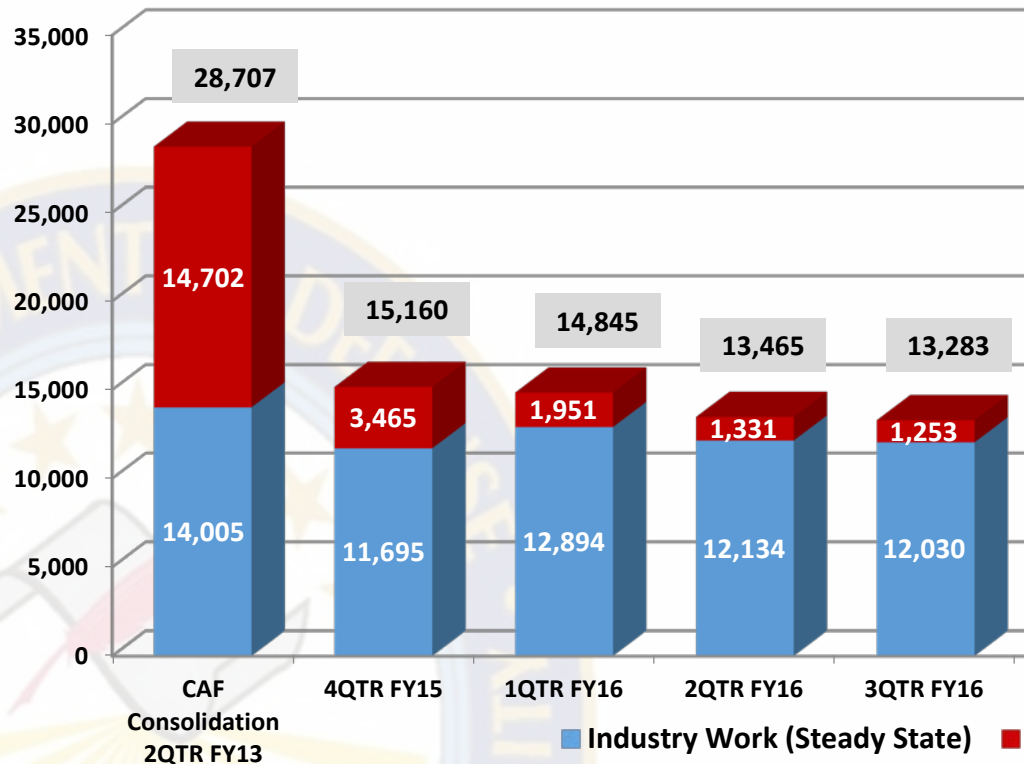
MAY 2017

NISPPAC WORKING GROUP

UNCLASSIFIED



INDUSTRIAL CASES PENDING ADJUDICATION



Re-baselined to include all NISP cases for eligibility (i.e. Secret, TS, and now TS/SCI)

Age of Bklog Cases¹
 0-1 Year 920 / 64%
 1-2 Years 345 / 24%
 >2 Years 165 / 12%
TOTAL..... 1,430

15,121	15,081	15,454	
1,332	1,570	1,935	12,660
13,789	13,511	13,519	1,430
4QTR FY16	1QTR FY17	2QTR FY17	Apr-17
			In Due Process²
			LSR: 441
			Other: 262
			Total: 703

- Decreases in *Steady State* and *Backlog* due to targeted inventory reductions and improved IT operational-rate
- Cases in Legal Sufficiency Review (LSR) increased 47% (+141) since 1QTR due to further CAF emphasis on backlog reduction
- Backlog to be eliminated not earlier than FY2018

October 13	13,515		7.4%
April 17	1,430		0.8%
	-12,085	~ 183,000	

OPR: CAF Metrics Team
¹ Age based on date case received at the DoD CAF
² Data as of 25 Apr 17

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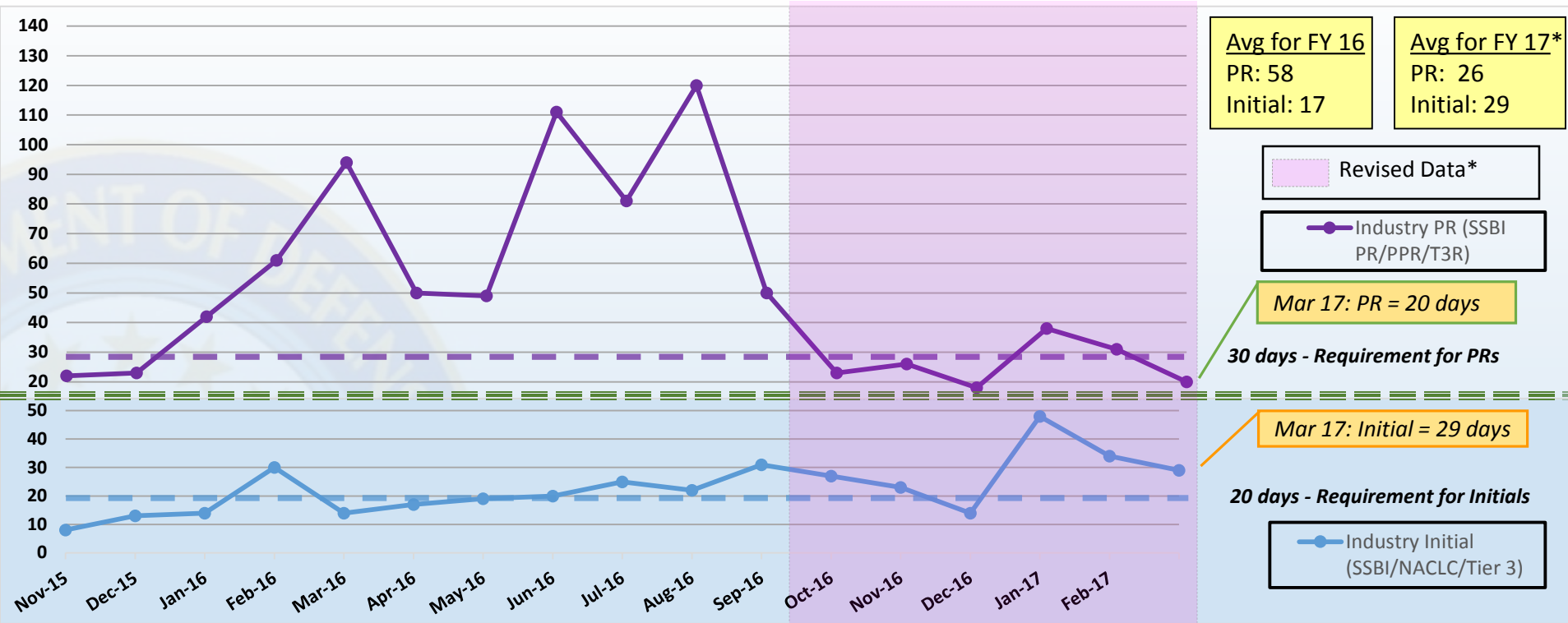
* Includes Personal Security Investigations, Incident Reports, Reconsiderations, etc. 2



INDUSTRY

Intelligence Reform and Terrorism Prevention Act Performance

(Based on OPM Reporting from Nov 15 – Mar 17)



- Increase in adjudication timelines in JAN-FEB 17 due to earlier IT and eDelivery problems in DEC 16-JAN 17
- Barring any future IT challenges, expect timeliness metrics to continue trending in current positive manner through FY17

* Separated non-DoD CAF cases and data applicable to other elements of the DoD (e.g. DIA, NSA, & NGA)

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Department of Defense Consolidated Adjudications Facility

QUESTIONS???



Attachment #12



Defense Security Service

NISA Working Group Update

May 2017





DSS Updates

- * DSS Assessment & Authorization Process Manual (DAAPM)
- * Risk Management Framework (RMF) Transition
- * Recent Activity
- * Metrics
- * Training via CDSE



DAAPM

- * DAAPM update (Version 1.1) released on March 31, 2017
- * Next update scheduled for September 30, 2017 release
- * Planning for centralizing Industry inputs through the NISA WG



RMF Implementation Plan

- * DSS re-assessed the RMF transition plan in January 2017. Discussion with Industry at NISA WG in February.
- * Proposing Phase 2 of Implementation Plan to be all remaining systems
- * Phase 2 will begin January 1, 2018



Recent Activity

- * SSP template in Excel format released
- * Hosting SCAP and CC within OBMS
- * Hosting automated configuration tool (GPO) within OBMS
- * Removal of transitional POA&M requirement



RMF Metrics

- * Total SSP submissions using RMF - 137

Plans



- * Days to Authorization Decision - 39



DSS FY 17 RMF Training Products (CDSE)

Course Name	Estimated Release Date
Introduction to the NISP A&A Process	4/17/2017
Applying A&A in the NISP	8/30/2017
Technical Implementation of A&A Course	TBA

Attachment #13



U.S. DEPARTMENT OF
ENERGY

UNCLASSIFIED | DELIBERATIVE | PRE DECISIONAL



Overview of Assessment and Authorization of Classified Systems

May 10, 2017

UNCLASSIFIED | DELIBERATIVE | PRE DECISIONAL



Overview of DOE

- DOE has diversified missions
- Senior Departmental Managers establish line management accountability with Departmental Elements
- Contractors operate the Sites/Laboratories under the Program Offices
- Requirements are mandated and communicated through Orders
 - Issued through the Headquarters Directives System
 - These apply directly to Federal staff and contractors through Contractor Requirements Documents
- DOE Order 205.1B, change 3 is the “Department of Energy Cyber Security Program”

Order 205.1B & Contractor Requirements

- Mandates
 - DOE Risk Management Approach as an enterprise-wide approach
 - Risk management, framing, assessing, and responding that addresses system authorization
 - Senior Departmental Managers Risk Management Implementation Plan
- Governance
- Basis/Standards et al
 - CNNSI-1253, “Security Categorization and Control Selection for National Security Systems”
 - NIST SP 800-53, “Recommended Security Controls for Federal Information Systems and Organizations”



Discussion and Questions

Attachment #14

NATIONAL INDUSTRIAL SECURITY PROGRAM POLICY ADVISORY COMMITTEE (NISPPAC)

GOVERNMENT MEMBERS

<u>NAME</u>	<u>CONTACT NUMBER</u>	<u>START</u>	<u>END TERM</u>
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<u>Central Intelligence Agency</u>			
Michael D. Mahoney Director of Security Central Intelligence Agency Washington, DC 20505 Email: michadm3@ucia.gov	(703) 482-9005	10/01/16	09/30/20
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Valerie Kerben Senior Security Advisor National Counterintelligence and Security Center Special Security Directorate e-mail: VALERIBK@dni.gov Alternate: Richard Hohman Email: richalh@dni.gov Gary Novotny Email: GARYMN@dni.gov	(301) 243-0436		02/22/2017	02/21/2021
<u>Defense Security Service</u>				
Fred Gortler Director, Industrial Security Policy and Programs Defense Security Service 2713 Telegraph Road Quantico, VA 22134 e-mail: fred.gortler@dss.mil Alternate: Keith Minard e-mail: keith.minard@dss.mil	(571) 305-6368	(571) 305-6083	07/1/15	06/30/19
	(571) 305-6379			

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<u>Department of Homeland Security</u>				
Steven Lynch Director National Security Services Division Office of the Chief Security Officer U.S. Dpeartment of Homeland Security, Washington, DC 20528 e-mail: steven.lynch@hq.dhs.gov	(202) 447-0135		3/01/17	02/28/21
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<u>Department of Justice</u>				
Anna Harrison Deputy Director Security and Emergency Planning Staff Justice Management Division Main Justice Building, Room 6236 950 Pennsylvania Avenue, NW Washington, DC 20530 E-mail: anna.m.harrison@usdoj.gov	(202) 307-3966	(202) 307-2069	10/01/13	09/30/17

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<u>National Security Agency</u>				
Amy Davis Deputy Chief Office of Physical Security and AT/FP National Security Agency 9800 Savage Road – OPS2A – Suite 6127 Fort George G. Meade, MD 20755 e-mail: aedavi3@nsa.gov				
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Dr. Mark Livingston Deputy Director for Security ODUSN PPOI / Security Directorate 201 12th Street South, Suite 701 Arlington, VA 22202 E-mail: Mark.A.Livingston1@navy.mil	(703)-601-0472			
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<u>Nuclear Regulatory Commission</u>				
Denis Brady Acting Chief, Facilities Security Branch Nuclear Regulatory Commission ADM/Division of Facilities and Security 11555 Rockville Pike Rockville, MD 20852 e-mail: denis.brady@nrc.gov	(301) 415-6184	(301) 415-5132	10/1/2014	09/30/2018
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Mr. Kirk Poulsen Senior Vice President, Chief Security Officer Leidos, Inc. 11955 Freedom Square 1 Reston, VA 20190 e-mail: Kirk.A.Poulsens@leidos.com	(571)526- 6710		10/01/16	9/30/20
Robert Harney Director of Security, Mission Systems Sector Northrup Grumman 2980 Fairview Park Drive, Room 5153B Falls Church VA, 22242 e-mail:Robert.Harney@ngc.com	(703) 803-5501		10/1/16	9/30/20

INDUSTRY MEMBERS

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MEMORANDUM OF UNDERSTANDING (MOU) ORGANIZATIONS- REPRESENTATIVES

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