

## Classified Outside of Government Control (COGC) Status Sheet

1. **Bates College.** MDR actions **initiated** for approx. 80 documents exempted in the IRC, not acted upon in the IRC, having to do with Iran-Contra, or not entered into IRC system. Records received in 2007; more received in 2010. Department of State has custody of original records removed from Bates before ISOO involvement; contact Marvin Russell at State (202-261-8483) Main contact: Kat Stefko, Bates College (207- 786-6354). ISOO staff involved: Bosanko and Carpenter. **Assigned ISOO MDR FY2010-001.** DOE response received July 23, 2010. State response received October 13, 2010. OSD response for SE 948-7-1K and MWH 4-8-4 received Nov. 1, 2010. Also: ISCAP Appeal for early records in State custody, assigned ISCAP Appeal No. 2010-058, resolved 2010. NSA MDR reviewer Debbie Felmev (301-688-3539) called ISOO staff on November 15, 2010 regarding a referral to that agency and the circumstances of ISOO involvement. Bill Carpenter spoke with her on Nov. 16 and cleared up the matter. Material received back from NSA on December 22, 2010. Receipt of selected declassified documents from OSD by March 2011. Sent letter to Bates on April 18, 2011, stating that the appeal is eligible for appeal to ISCAP. Declassified documents being prepared for return to Bates, April 2011. ISCAP appeal 2011-064 decided upon in September 2013; released in December 2013.

2. **University of Mississippi.** Records processed through IRC; then reviewed by Center for Legislative Archives. Most returned in February 2009. FBI Agent John Atkins in Oxford, Miss. (662-232-3325) has three TS documents. Nick Delaney at FBI (Nicholas.delaney@ic.fbi.gov) has agreed in principle to ISOO taking temporary custody. MDRs for other documents not returned filed with ISOO on August 21, 2009. Contacted Delaney and Atkins on June 1, 2009. Main contacts: Leigh McWhite, University of Mississippi Archives (662-915-1850) and Ed Movitz, University of Mississippi Security (662-816-5741). ISOO staff involved: Carpenter, Bell. **Assigned ISOO MDR FY 2009-001.** In the fall of 2011, the University of Mississippi found several TS documents and additional S documents in another collection; the security manager called the Defense Security Service for assistance. DSS has agreed in principle to send the materials to ISOO; try Mark Schoenig, at 256-665-9830 or cell 256-759-2158, or Eric Wallace at 256-975-7837. In December 2011 the ISCAP decided on 31 documents in appeal 2010-081; in March 2012 ISCAP Staff sent copies of the declassified documents to the University of Mississippi; in May 2012 ISOO staff returned 5 documents declassified in their entirety. New material for review was received 12/6/2012. Review and creation of a document level inventory was started 8/17/2012.

3. **University of Louisville.** Two documents received in December 2008. One reviewed and declassified by Department of State, not yet returned. Other under reviewed by CIA; copy given to John Collinge for MDR processing in February 2009. Main contact: Katherine Johnson, University of Louisville Archives (502-852-6674, kbjohnson@louisville.edu). CIA determination and redaction instructions received from CIA on August 4, 2009. Sent declassified originals and redacted copies to Prof. Johnson on August 7, 2009. ISOO staff involved: Carpenter.

4. **Rockefeller Archive Center**. Susan L. Smith ([susan.l.smith@ualberta.ca](mailto:susan.l.smith@ualberta.ca)) e mailed ISOO in May 2009 requesting declassification of Max Bergmann papers at Rockefeller Archive Center in New York. The records have to do with chemical warfare research at the OSRD during WWII. Contacted Marisa Hudspeth in late May; they will get back to me with more details of the records, which were reviewed and segregated by a NARA archivist in the 1980s. E-mail to Smith and Hudspeth on June 1, 2009. Received folder list of classified records, June 2009. John Bell conducted research in British National Archives and NARA holdings on related records that have been declassified. Rockefeller center sent classified copies of several documents to ISOO for evaluation. ISOO to manage MDR with Army, the primary equity-holder. ISOO staff involved: Carpenter, Bell. Sent letter informing RAC of their right to appeal to ISCAP after one year, on September 8, 2010. **Assigned ISOO MDR FY 2009-002**. RAC declined ISCAP appeal in September 2010. Contacted by Geoffrey di Mauro (703-613- 0038), who works with Harry Cooper at CIA on Oct. 14, 2010, who had been in contact with John White at Army, who said that Army had responded to us on 23 September 2010. Carpenter left a message with White at 703-617-0053 to let him know that we have not received word from Edgewood Arsenal. On December 16, 2010, Bill Carpenter called June Sellers, the security manager at Edgewood, (phone: 410-436-7232) concerning the status of this review. She stated that the review should be complete by January 1, 2011. Messages to Ms. Sellers in February 2011 were not returned; Bill Carpenter left a message with Mr. White on February 25, 2011, asking for an update. April 18, 2011: Mr. DiMauro from CIA again called for update; Carpenter gave him the contact information for Army And Edgewood Arsenal to spur movement. Bill Carpenter received a voice mail from Ms. Sellers at Edgewood on April 28, 2011, and he in turn left her a message. Spoke on April 28: Edgewood has not received the records; will send to: US Army RDECOM. Attn: Document Control, 5183 Blackhawk Rd, Aberdeen Proving Ground, Maryland, 21010. Inner envelope: attn: June Sellers, RDCB-DPC-RS E 3330. On April 29 she left a message stating that she had found the documents. Carpenter left another message with Ms. Sellers on July 21, 2011, following a tickler message from Mr. DiMauro. Spoke with Ron Stafford, a contractor at Edgewood on July 22, 2011 (410- 436-6810); has found the package, and he hopes the review will be complete by the first week of August. Left a message with Mr. Stafford on September 27, 2011, following another reminder from Mr. DiMauro. Army declassified all documents as of 11/28/2011. Documents were returned to Rockefeller Center Archive on 12/28.2011. MDR should be considered closed. See documentation folder for specific details.

5. **Massachusetts Institute of Technology, Lincoln Laboratory**. ISOO contacted by Tamar Granovsky (781-981-2387; [granovsky@ll.mit.edu](mailto:granovsky@ll.mit.edu)) in May 2009 about the declassification of their older (1950s) records on Project Lincoln, Airborne Early Warning, and other programs. Spoke with her and others on May 27; they will send folder lists for ISOO to help identify MDR and agency declassification program office contacts. No apparent safeguarding issues; but may have NISP implications. ISOO staff involved: Carpenter. Contacted by Granovsky again on September 1, 2011. Carpenter

left message with Granovsky; forwarded her references to the Order and Directive

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regarding how classified records in the hands of contractors (like a federally-funded research institution) ought to be declassified.

6. **Tulane University.** ISOO contacted in spring 2009 regarding Department of State's plans to restrict architectural drawings of a U.S. consular facility. ISOO staff involved: Powers. Closed. Department of State decided not to pursue issue. August 2009.

7. **California Institute of Technology/Jet Propulsion Laboratory.** Contacted in June 2009 by Shelley (Charlotte) Erwin ([cerwin@caltech.edu](mailto:cerwin@caltech.edu)), Senior Archivist, California Institute of Technology [Mail Code 015A-74, Pasadena, CA 91125. Telephone: 626-395-2702. Regarding WWII-era naval rocket records. Copied documents received August 31, 2009. E-mailed Erwin requesting formal MDR letter. Cal Tech/JPL has the ability to store classified and will maintain originals. MDR request received on September 2, 2009. Sent letter informing JPL of their right to appeal to ISCAP after one year, on September 8, 2010. **Assigned ISOO MDR FY 2009-003.** Assigned **ISCAP Appeal number 2011-015** and included in **Briefing Book 104.** Documents were declassified in full.

8. **Clay Whitehead** papers collection to be eventually donated to George Mason/Library of Congress. Materials were originally scanned. Bill Cira was initially contacted by Mrs. Whitehead (Mr. Whitehead passed away in 2008). These papers consist of 26 FRC boxes mostly of Mr. Whitehead's involvement in the Nixon Administration. First as an advisor and later as head of Office of Telecommunication policy. Forty total withdrawals most are confidential. Staff involved Carpenter/Lutz/Bell. Contact Mrs. Margaret Whitehead 1124 Waverly way, Mclean VA 22101 phone 703-356-3836 e-mail [mmww@cwmx.com](mailto:mmww@cwmx.com). 39 of 40 documents declassified. One document contains CIA exempted information and remains in ISOO temporary custody. Records returned June 1, 2010. August 28, 2012: Received call from Mrs. Whitehead that the History Associates (HA), while processing her collection, encountered more classified documents. According to the procedures of that company, HA contacted the Defense Security Service (DSS), but as of August 28 has not handed the classified records over to them. Bill Carpenter recommended that HA inform DSS that ISOO will handle the issue under 32 CFR Part 2001.36. Contact at HA: Dr. Brian Martin, President, phone: 301-279-9697.

Part Two - Eighty-nine documents were handed over to ISOO on October 10. After review, it was determined that least 21 documents possibly contain NSI material. Five documents may be subject to access legislative restrictions. Margaret Whitehead filed an official request for a MDR on 2/14/2013 and the collection was assigned ISOO MDR 2013-002. Nineteen documents were sent to State, CIA, JS and DISA for review on April 24, 2013.

Remaining classified documents appealed to ISCAP as appeal 2014-045; in Briefing Book No. 125.

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9. In late August 2010, Jessamyn Lloyd, a processing archivist at the **Smithsonian** Air and Space museum, contacted ISOO regarding a number of marked classified documents in the papers of A. Scott Crossfield, a test pilot who flew the X-15 in the 1950s and early 60s. A. J. Lutz assessed this collection on September 17, 2010, and determined that all of the marked documents, classified at the CONFIDENTIAL level and dated between 1953 and 1960, were created by the NASA predecessor agency NACA, and did not contain the classified equities of other agencies, and may be declassified.

10. In September 2010, Sarah Ginsburg, the daughter of the late **David Ginsburg**, an official in the Johnson Administration, contacted ISOO about a single document marked SECRET in the papers of her father, which will be donated to the Library of Congress. John Powers will pick up the document at Ms. Ginsburg's home on Friday, September 24, 2010.

11. On Feb. 15, 2011, Daniel Lewis (626-405-2206) at the **Huntington Library** in San Marino, California, contacted ISOO about classified documents donated by the daughter of Willis Hawkins, a Lockheed executive and engineer. Bill Carpenter had a telephone conversation with Lewis on Feb. 16, then sent instructions for identifying and sending classified records on Feb. 23, 2011. Records received in March 2011; ISOO staff will evaluate which must be declassified through MDR and which can be returned as not containing CNSI. On October 31, 2011, items all declassified items were returned. See spreadsheet 'Huntington Library – 10312001' for ISOO determinations. On November 14, 2011 an MDR request was received from Huntington Library was received on the still classified material. **Assigned ISOO MDR FY 2012-001**. The still classified MDR items were mailed to the identified agencies on November 17, 2011. DOE determinations on their referrals were received on 12/22/2011. Please see the Huntington spreadsheet for the breakout. On November 13, 2012 Meredith Wagner contacted Daniel Lewis to discuss what was next for the documents. A phone call was held November 15, 2012 and Meredith Wagner requested that the Huntington Library file an ISCAP appeal for the items. An e-mail was sent to Mr. Lewis on November 16, 2012 to explain the process.

Appealed to ISCAP as appeal 2013-027; in Briefing Book No. 125 in May 2014. After a decision was rendered by the ISCAP, the remaining material, excepting 5 documents which remain classified, was returned to Huntington Library October 9, 2014.

12. On May 25, received an e-mail through Jay Bosanko from Jodi Boyle at the **State University of New York at Albany** ([jboyle@usmail.albany.edu](mailto:jboyle@usmail.albany.edu); 518-437-3932) regarding three marked classified documents in her collection. Bill Carpenter left a voice mail message that day. After corresponding with Ms. Boyle, the University granted ISOO

temporary custody of the documents and filed an MDR on June 14, 2011 for those two documents which were determined to have valid classification markings. Assigned FY **2011-001**; MDR referrals sent to Air Force on June 23, 2011. No response from Air Force. Jodi Boyle filed an appeal on 8/28/2012. The appeal was accepted and assigned number 2012-152. In Briefing Book No. 125 in May 2014. After a decision was rendered

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by the ISCAP, the remaining document was returned to the University October 9, 2014. CLOSED.

13. On July 22, 2011, received e-mail from Peter Nelson ([panelson@amherst.edu](mailto:panelson@amherst.edu); 413-542-5394), archivist at **Amherst College** in Massachusetts, regarding records regarding the occupation of Germany in the papers of Karl Loewenstein. Bill Carpenter left a message and sent an e-mail. Spoke with Mr. Nelson on July 22; will send explanatory e-mail on July 25.

14. Contacted by Bob Shuster (630-752-5910 [robert.shuster@wheaton.edu](mailto:robert.shuster@wheaton.edu)) at **Wheaton College**, Illinois, on August 30, 2011, regarding Secret records from the donated papers of Charles Colson, Watergate figure. Shuster sent two files of copied records to ISOO via FedEx, received on August 21, 2011. ISOO staff to evaluate whether these records have been released in congressional publications. On May 9, 2012, Bob Shuster was sent a return letter regarding the status of ISOO's evaluation of the Colson and Cushman files. On May 15, 2012, received a MDR letter from Robert Shuster. **Assigned ISOO MDR 2012-002**. Also, received additional material from Mr. Shuster. Determined additional material was declassified. Appeal closed 5/22/2015 after response from CIA. All material was declassified in full, but documents 2 & 3 were returned in redacted form due to statutory holds. All material returned on 5/22/2015.

15. **Georgia Tech Research Institute**: John Powers spoke with Ken Chaney of the Georgia Tech Research Institute on August 31, 2011, regarding records created under contract regarding "Military Sensing Symposium on Infrared Countermeasures." The papers date from 1960-1990. Powers put Chaney in contact with Melissa Walker at the Army Declassification Activity to start the process of declassification coordination for these records.

16. **Ohio State University**. On November 18, 2011, ISOO received 3 boxes of WWI era chemical weapons records from the chemistry department at the Ohio State University. Contact Brittany Poast, 617-247-4560; [bpoast@chemistry.ohio-state.edu](mailto:bpoast@chemistry.ohio-state.edu). After a review of the documents, it was determined that the records did meet the standards for classification and all items were returned. Where appropriate, the classification markings on the documents were lined out and stamped declassified under EO 13526. This COOGC incident should be considered closed.

17. **University of Cincinnati**: On December 12, 2011 received an e-mail from Stephanie Bricking (phone: 513-558-2275; e-mail [brickisl@ucmail.uc.edu](mailto:brickisl@ucmail.uc.edu)), working in the records of Albert Sabin at the University of Cincinnati Libraries. She e-mailed a

letter from 1943, marked “Confidential” regarding Army medical. ISOO informed her that this letter, and others like it, are releasable, and offered to speak with her about marking options.

18. **Kissinger Papers.** In January 2012 John Fitzpatrick was contacted by representatives of Henry Kissinger regarding certain classified records in Kissinger’s personal papers. ISOO received a binder containing 23 pages of classified records. On

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May 1, ISOO received 34 documents, and a letter signed by NARA General Counsel Gary Stern, for processing as a Mandatory Declassification Review request from Kissinger.

19. **Kean Congressional Papers:** On May 14, 2012 received an email from Erin C. Alghandoor at Kean University (e-mail [ealghand@kean.edu](mailto:ealghand@kean.edu)), regarding The Congressional Papers of Robert Winthrop Kean, a Congressman from NJ 1939-58 are currently being processed at Kean University in Union, NJ. Ms. Alghandoor wanted ISOO to verify if the various papers from the collection which were labeled “Confidential” did not contain classified national security information. ISOO informed her that due to the nature and date of the documents they might not contain classified, and offered to speak with her about the records.

20. **Records from the collection of Robert Murphy donated to Hoover Institution:** In May 2012, several conversations have taken place between William Bosanko, John Fitzpatrick, Gary Stern, David Mengel and others in NARA regarding these records and the location where they should end up. ISOO is pending resolution and can help the Hoover Institution file an MDR for the records. The Hoover Institution is authorized to store classified.

21. **Western Washington University:** Archivist Ruth Steele contacted National Archives in Seattle in July 2012 regarding some Army Air Forces records from WWII concerning Operation Carpetbagger in their collection. National Archives in Seattle contacted the National Declassification Center, who contacted ISOO. Bill Carpenter sent Ms. Steele instructions on sending sample copies of the records for ISOO review, for the preparation of a letter confirming declassification. After a reviewing the sampling, it was determined that they did not meet the standards of classification and were therefore declassified. A letter stating that fact was sent to Western Washington University on 8/2/2012. This COOGC incident should be considered closed.

22. **Indiana University.** In July 2012 John Powers became aware via documents appraiser Allan Stypek that a collection at Indiana University donated by Ambassador Nathaniel Davis may contain classified records. On July 6, 2012, John sent a message to archivist Cherry Dunham Williams with instructions on identifying classified records and contacting ISOO.

23. **University of South Carolina:** On August 3, 2012, Herb Hartsook of the

University of South Carolina, contacted ISOO on two items from the papers of Richard Walker, an Ambassador of South Korea during the Reagan administration. ISOO instructed Mr. Hartsook to transfer the records to their custody. The items arrived on 8/24/2012 and were assessed on 8/27/2012. It was determined that the records were properly classified. An MDR for the documents was received from the University on October 16, 2012. The case was assigned number 2013-001. Copies of the documents were mailed to the Dept of State on November 13, 2012. Not response was received from the Department of State. On November 12, 2013 Herbert Hartsook appealed to the

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ISCAP, the appeal was accepted and assigned the number 2014-023. In Briefing Book No, 125, May 2014.

**24. National Park Service's Thomas Edison National Historical Park – American History Associates:** After being contacted by American History Associates (AHA). Bill Carpenter and Meredith Wagner traveled to the AHA facility on January 31, 2013 to review potentially classified documents from the National Park Service's Thomas Edison National Historical Park. The documents in question dated from 1941- 1947 and fell into two categories. First, interdict lists of enemy technical articles from the Alien Property Custodian, and material regarding the Manpower Commission, were determined to be administratively confidential. Second, records regarding contracts of Calibron Industries, Inc., owned by Theodore Edison, with the Army and Navy during WWII. These documents were determined not to meet the standards of classification under 3.3(h) of EO 13526. No documents were taken from the custody of AHA and all documents were appropriately marked declassified, or administratively confidential.

**25. Duke University –** Katherine Stefko contacted Bill Carpenter on March 11, 2013 to discuss how to best handle some items from a collection in her archive's holdings. After consultation with Bill Carpenter it was decided she would send a few representative pages to ISOO for review. After review, the pages were declassified and returned November 2013.

**26. Smithsonian Institution – Air and Space –**On March 14, 2013, Patti Williams of the Smithsonian institution contacted the National Declassification Center (NDC) about a donated collection of material of Krafft A. Ehricke. The NDC passed the matter to ISOO. Bill Carpenter visited the Udar-Hazy Center on March 20, 2013 to assess the papers. Two boxes were brought back to ISOO. After review, it was determined that a MDR was required. On April 18, 2013, a letter was mailed requesting that Patricia Williams file an MDR so review and referral could proceed. A letter dated May 15, 2013 requesting the MDR was received and the records were assigned the number **ISOO MDR 2013-003**. The records were transferred to the Department of Energy for review. DOE review complete in March 2014; NASA screening complete May 2014; materials were returned as declassified and decontrolled on May 29, 2014.

27. **New York University** – On July 1, 2013, Bill Carpenter contacted Janet Bunde of the New York University Archives. Ms. Bunde had discovered classified material in the John Brademas Congressional Papers. The documents were mailed to ISOO for assessment. After receiving the records on July 9, 2013, it was determined that they were still classified. On July 15, 2013 a letter requesting that Janet Bunde file a MDR so the review and referral process could proceed. On August 5, 2013, a letter requesting an MDR on the material was received and the records were assigned the number **ISOO MDR 2013-004**. NYU did not choose to appeal to ISCAP after one year; a response was received from CIA, which NYU appealed to CIA on October 14, 2014, creating **ISOO MDR Appeal 2013-004A**. Appealed to ISCAP; tracked as ISCAP Appeal 2015-057.

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On January 20, 2016 the Department of State returned their determination on the material.

28. **University of Alaska Fairbanks**. Mary Anne Hamblen contacted John Powers for guidance on two documents that were found in the Ted Stevens Papers. After consultation, she agreed to send the papers to ISOO for review. After reviewing the material, it was determined that the documents were only administratively confidential and were marked as such. On January 7, 2013, the papers were mailed back to Ms. Hamblen.

29. **Yale University: Cyrus Vance Papers**. ISOO was contacted in 2013 regarding the classification of certain papers of Carter's Secretary of State Cyrus Vance. Main contact on 2014 was Bill Massa, who sent a shipment of Secret records in April 2014. Bill Carpenter retrieved additional Top Secret materials. Unclassified materials returned to Yale in May 2014. MDR request letter received May 22, 2014; assigned **ISOO MDR 2014-001**. OSD referrals sent July 17, 2014. NSC, CIA and State referrals delivered July 22, 2014. Appealed to ISCAP; tracked as ISCAP Appeal 2015-071.

30. **Roy Wehrle Materials**. In November 2013 received materials from a former USAID official; receipt letter and MDR instructions to mailed in June 2014. A MDR request was received on July 21, 2014; assigned **ISOO MDR 2014-002**. Response from CIA received, July 23, 2015. On August 4, 2015, Roy Wehrle appealed to the ISCAP on the grounds that a year has passed without a response to his MDR; tracked as ISCAP Appeal 2015-111. On January 20, 2016, the Department of State returned their determination on the material.

31. **Harvard Medical**: In April 2014, Meghan Bannon ([617-432-7395](tel:617-432-7395)) of the Center of the History of Medicine at the Harvard Medical School contacted ISOO regarding some markings on documents in her collection regarding the effects of radiation. In May, she sent an annotated list of materials at issue. All records are over 50 years old; assessed risk of the presence of classified information is low. Received copies of sample documents on June 25, 2014; risk of classified appears low.



**32. Yale University: Kissinger Papers.** Sahr Conway-Lanz (203-436-2851; [sahr.conway-lanz@yale.edu](mailto:sahr.conway-lanz@yale.edu)), a former Nixon materials archivist at NARA now at Yale, called Bill Carpenter on August 20, 2014 regarding two marked Secret documents and a larger collection of 114 unmarked telephone conversation transcripts (telcons) from the Ford administration. Sahr will send the materials for ISOO's temporary custody and evaluation. ISOO received 120 documents in September 2014 and returned 12 documents that had been declassified by State on October 1, 2014. A letter dated November 24, 2014, requesting an MDR was received; assigned ISOO MDR 2015-001.

**33. UT – Austin: Alexander Cockburn Papers.** Esther Kirchner ([E.Kirchner@austin.utexas.edu](mailto:E.Kirchner@austin.utexas.edu) 512-495-4558) contacted the staff about potentially classified State Department material in the Papers of Alexander Cockburn, a journalist and author. After discussion, she will send the material to the ISOO for review and

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declassification. The material was received and reviewed, it was decided that it did not meet the current standards for classification. All material was returned on September 22, 2014. CLOSED.

**34. Yale University: Vance Card Files.** In the 1990s, the Department of State took custody of four boxes of index cards from the papers of Cyrus Vance that were used as background research files for the preparation of a book. In 2014, Yale University sought to determine the status of the review of these materials. In October 2014, the Department of State located these boxes, reviewed them for declassification and has offered to hand any remaining classified materials over to ISOO for long-term temporary storage. Bill Massa (see entry 29) and Jeff Charleston at State are the contacts. Bill Carpenter received the remaining 17 withdrawn cards from State on June 11, 2015, for storage and processing.

**35. American Philosophical Society: B. Chance Papers.** Tracey deJong ([tdejong@amphilsoc.org](mailto:tdejong@amphilsoc.org)) contacted ISOO in February 2015 regarding papers of MIT Radiation Laboratory scientist Britton Chance regarding documents from his military weapons research during WWII. After determining that the research did not concern nuclear weapons or communications intelligence, Ms. deJong faxed sample documents to ISOO, which confirmed that the information has been subject to automatic declassification. Bill Carpenter sent a letter on February 23m, 2015, with marking instructions for Ms. deJong for documents containing the same type of information as that on the faxed pages.

**36. World Bank:** State Department document re: Iran, 1952. World Bank archivist Shiri Alon ([salon1@worldbankgroup.org](mailto:salon1@worldbankgroup.org), phone 202-458-5598) contacted ISOO on March 19, 2015, regarding a document in their collection, marked Secret, that concerned Iran in 1952. Bill Carpenter visited the World Bank archives that day, determined that the document contained no intelligence information or information that would damage US

foreign relations if released, and marked the document as declassified.

**37. Kissinger-Albright Papers, March 2015.** On March 23, 2015, John Powers met manuscript appraiser Allan Stypak, who dropped off folders containing classified papers of former Secretaries of State Henry Kissinger and Madeline Albright. NARA General Counsel Gary Stern is involved; the Albright papers may be alienated Federal records.

**38. Senator Lautenberg Records, March 2015.** On March 27, in response to a notification from appraiser Allan Stypak, Bill Carpenter informed the staff of the late Senator Lautenberg in New Jersey that the classified document found in his field office should be returned to the Office of Senate Security (contact Director Michael DiSilvestro or Senior Security Office Patti Makanui at 202-224-5632).

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**39. Stanford University Scientific Papers, April 2015.** On April 9, Jeffrey Jacobi (phone: 415-983-1277, Jeffrey.jacobi@pillsburylaw.com), an attorney representing Stanford University in a lawsuit in which Stanford University is a co-defendant with the Department of Defense, sent 75 pages of copied materials from the collection of scientist Philip A. Leighton, which could possibly have contained classified information. Bill Carpenter evaluated the materials, which date from the 1950s and early 1960s and were not marked with any classification markings. The materials do not contain classified national security information and were returned to Mr. Jacobi.

**40. Duke University, April 2015.** On April 23 ISOO received a package from Kat Stefko at Duke University containing three documents marked as Secret. The documents concerned economic matters in the 1950s and 60s and appear not to contain any information that remains classified. Kat gave us the OK to stamp the original documents.

**41. Hoover Institution Archives, April 2015.** On April 1, 2015, John Powers returned from a visit to the Hoover Institution Archives with documents from several collections that could not be transmitted or appropriately stored by the HIA. Linda Bernard (e-mail [linda.bernard@stanford.edu](mailto:linda.bernard@stanford.edu)) is the primary POC. ISOO will handle the collections as separate MDRs. A receipt letter was sent on April 24, 2015 requesting that MDRs be filed for the material. On May 5, 2015 Linda Bernard responded to request and filed multiple MDRs. The collections were assigned ISOO MDR 2015-002 through 2015-008. Received response back from NSA for 2015-005 on 12/1/2015. Responses were received for 2015-002 and 2015-008 in January 2016 and on January 14, 2016 Linda Bernard was offered the opportunity to appeal 2015-002 and 2015-008. On January 15, Linda Bernard close to appeal 2015-008 only. A letter acknowledging the closure of 2015-002 was sent on February 5, 2016. On June 6, 2016, Linda Bernard appeal to the ISCAP ISOO

2015-002 through 008. They were assigned ISCAP Appeal Nos. 2016-188 through 2016-194. The appeal for 2015-002/2016-188 was rejected and the rest were accepted.

**42. Specter Papers, University of Pittsburgh, May 2015.** On May 7, 2015, Ashley Taylor (phone: 412-648-2148; e-mail [alt64@pitt.edu](mailto:alt64@pitt.edu)) of the Archives Service Center of the University of Pittsburgh contacted the ISOO mailbox regarding some papers from the collection of Sen. Arlen Specter. After a discussion with Bill Carpenter she faxed several sample pages to ISOO. The papers, redacted copies of a 1999 Judiciary Committee hearing, had already been reviewed by the Office of Senate Security, and no longer contained classified national security information.

**43. Jacob Javits Papers, Stony Brook University, June 2015.** On June 8, 2015, Kristen Nyitray (631-632-7119, [Kristen.nyitray@stonybrook.edu](mailto:Kristen.nyitray@stonybrook.edu)) of Stony Brook University contacted the ISCAP mailbox regarding papers from the collection of Jacob Javits. She provided a box list and supplied a NARA declassification number (NND 854102) and a file matching that project number was found in the NDC files. After consultation, she agreed to remove the material from the collection and ship it to ISOO for evaluation. Additional materials received August 2015.

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**44. Walter O. Snelling Papers, Chemical Heritage Foundation, June 2015.** On June 16, 2015, Andrew Mangravite (215-873-8235, [andrewm@chemheritage.org](mailto:andrewm@chemheritage.org)) directly contacted Meredith Wagner regarding some material in the Walter O. Snelling Papers. The material is from the 1950s from when Snelling worked as a consultant to the AEC. Andrew Mangravite e-mailed an MDR request on February 11, 2016. The appeal was assigned ISOO MDR 2016-003 and letter accepting the appeal was mailed February 19, 2016. On March 23, 2016, the Department of Energy was sent their referrals from the collection.

**45. Joseph Corse, Chemical Heritage Foundation, June 2015.** On June 16, 2015, Andrew Mangravite (215-873-8235, [andrewm@chemheritage.org](mailto:andrewm@chemheritage.org)) directly contacted Meredith Wagner regarding some material in the Joseph Corse. The material concerns penicillin manufacturing during WWII. The material was deemed unclassified and returned through UPS. Andrew Mangravite acknowledged receiving the documents on August 17, 2015.

**46. Neil Abercrombie Papers, University of Hawaii.** On July 1, Rachael Bussert (956-6995) about materials found in the Neil Abercrombie Papers. After consultation, she agreed to send the material to ISOO. After evaluation by Meredith Wagner and Bill Carpenter, it was determined that the papers did not contain NSI but Ms. Bussert should contact the proper authorities in the State of Hawaii to discuss their status. The materials were shipped back to the University on October 30 and were received on November 6.

**47. USS Missouri, June 2015.** On June 18, A.J. Daverede referred Jason Morrison (e-mail: [jasonm@ussmissouri.org](mailto:jasonm@ussmissouri.org)), a curator from the USS Missouri, a decommissioned battleship museum, to Bill Carpenter regarding classified documents about ship maintenance in his possession. Bill requested that Jason send a list of all classified

documents with the subject, date, and classification level to him for evaluation. The likelihood of information requiring continued classification seems low. On July 16 ISOO received two shipments of selected records, both originals and copies. ISOO will compare these records with Navy's declassification guides and seek additional guidance if needed. On consultation with Bill Cira and from Navy's declassification guide, it appeared that the ship design information concerning passive protection systems is potentially exempt from declassification. In a conversation with Bill Carpenter on August 14, 2015, Mr. Morrison agreed to send ISOO additional documents, then file an MDR.

**48. University of South Carolina, Amb. West, June 2015.** On June 22, Jeff Charleston approached Bill Carpenter about resolving a longstanding project to declassify documents donated to the University of South Carolina (USC) by a former ambassador to Saudi Arabia, John C. West. State's Diplomatic Security group evidently took possession of many documents in 1989. In 2015 USC approached ISOO about tracking them down. State has found them, and will transfer the remaining classified documents to ISOO upon completion. Dorothy Walker (email: [DHAZELR@mailbox.sc.edu](mailto:DHAZELR@mailbox.sc.edu); phone: [803-777-5825](tel:803-777-5825)) is the main contact at USC.

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**49. Texas Tech Vietnam Center and Archive, July 2015.** In July 2015, an intern at Texas Tech University's Vietnam Center and Archive named Steve Edwards ([slehorn@yahoo.com](mailto:slehorn@yahoo.com); 812-369-9449; his supervisor is Amy Mondt, [amy.k.mondt@ttu.edu](mailto:amy.k.mondt@ttu.edu)) contacted Bill Carpenter regarding records from the donated collection of the attorney of Vietnam War defector/returnee Robert Garwood that appear to contain classification markings and other access restrictions. Bill is providing Mr. Edwards with further instruction about the handling of these materials. On August 13, 2015, Bill Carpenter spoke with Ms. Mondt and Mr. Edwards and proposed that due to the volume of records involved—over 20 feet—perhaps a site visit by ISOO and DoD staff would be the most efficient way to accomplish review. Texas Tech may pay some or all of the Government's expenses.

**50. New York University** – On August 5, 2015, Janet Bunde contacted Meredith Wagner about a 4 page 1978 State Department telegram from the papers of Nicholas Whal concerning a recent French election. She agreed to send the telegram for review to ISOO. On December 10, 2015, Meredith Wagner and Bill Carpenter agreed that ISOO could not declassify the material under current guidance provided by the Department of State. On December 31, 2015, a letter was sent to Ms. Bunde requesting she file an MDR on the document. Ms. Bunde scanned and e-mailed an MDR request on February 17, 2016. The appeal was assigned ISCAP MDR 2016-004 and a letter accepting the appeal was mailed February 19, 2016.

**51. McCormick, August 2015.** On August 20, Martin McCormick (6475 NE Buckskin Ln., Bainbridge Island, WA 98110) sent ISOO a 1942 US Army Third Service Command Intelligence Division manual concerning the preparation of case reports, classified

Confidential. Mr. McCormick did not include a cover letter. Bill Carpenter confirmed that the manual does not contain classified information, stamped the manual as declassified, and returned it to Mr. McCormick.

**52. University of Albany – Keogh** – On November 2, 2015, Jody Boyle ([jboyle@albany.edu](mailto:jboyle@albany.edu)) contacted Meredith Wagner about the status of a 1949 document marked “Restricted” in the papers of Congressman Eugene Keogh. Ms. Boyle faxed selected pages of the document and after a review; Meredith Wagner and Bill Carpenter deemed the document unclassified. Meredith Wagner informed Ms. Boyle the document could be served to researcher. Ms. Wagner suggested lining through the markings and placing a note in the University of Albany files stating ISOO had deemed the document unclassified.

**53. Patrick John Tool** – On November 5, 2015, Patrick Tool e-mailed ISOO about a potentially classified document he found in a secondhand desk. The document was correspondence between a Senator and a defense contractor. Mr. Tool faxed the material to ISOO and it was deemed unclassified. Mr. Tool was informed of this fact on November 12<sup>th</sup>.

**54. Yale University – Ambassador Curley** – On November 13<sup>th</sup>, Sahr Conway-Lanz called Meredith Wagner about classified materials found in the donated papers of Ambassador Walter Curley. It was agreed that he would transmit the material to ISOO

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for safeholding and review. On November 18<sup>th</sup>, he e-mailed Meredith Wagner to state the material had been shipped and it arrived on November 20<sup>th</sup>. On December 31, 2015, a letter was sent to the new contact, William Massa, requesting he file an MDR on the material. On February 1, 2016, Mr. Massa filed a MDR on the material.

**55. Yale University – Cyrus Vance** - On November 13<sup>th</sup>, Sahr Conway-Lanz called Meredith Wagner about more classified materials found in the donated papers of Cyrus Vance. It was agreed that he would transmit the material to ISOO for safeholding and review. On November 18<sup>th</sup>, he e-mailed Meredith Wagner to state the material had been shipped and it arrived on November 20<sup>th</sup>. On December 31, 2015 a letter was sent to the new contact, William Massa, requesting he files an MDR on the material. On February 1, 2016, Mr. Massa filed a MDR on the material.

**56. Augustana University** – On November 13<sup>th</sup>. Elizabeth Thrond contacted ISOO about a pamphlet proposed for digitization in the holdings of the Center for Western Studies at Augustana University titled *Covert Training Unit*. The document was from WWII and classified at the Restricted level. On November 17<sup>th</sup>, Meredith Wagner contacted Ms. Thrond and requested that sample pages be faxed to ISOO. On November 18<sup>th</sup>, Ms. Thrond faxed the pamphlet. After review, Bill Carpenter and Meredith Wagner deemed the pamphlet unclassified. Ms. Thrond was contacted and told the pamphlet was unclassified and fine for digitization.

57. **Organ Historical Society.** On January 21, 2016, James Wallman ([ames.Wallmann@hanson.biz](mailto:ames.Wallmann@hanson.biz)), a lawyer representing the Organ Historical Society contacted ISOO about WWII-era manuals from the Navy, marked “Restricted,” found in the collection of the Moller organ company from its war work. Bill Carpenter responded with a message that the materials are no longer controlled.

58. **New York Public Library: Wayne Fredericks Papers.** On February 22, Ms. Chris McKay, an archivist at the Schomburg Center for Research in Black Culture at the New York Public Library, called Bill Carpenter about classified documents found in the papers of a US diplomat in Africa in the 1960s, J. Wayne Fredericks. Ms. McKay will continue to process the collection and segregate the classified materials (some marked TS), and contact ISOO again in the spring to arrange the transfer of the material. Contact information for the center is available here:

<http://www.nypl.org/about/locations/schomburg/contact> Steven Fullwood (212-491-2224) is in charge of the archives there.

59. **Texas Tech CPA Iraq Message.** In March 2016, Andrew Hinton, an archivist in the Archive of Modern American Warfare at Texas Tech University in Lubbock, contacted Bill Carpenter about a potentially classified text file in a donated collection. He printed the file out and sent it via Federal Express. Bill Carpenter evaluated the message on March 29, 2016, and determined that the file was likely a message composed in an Unclassified system, but which was likely finalized and sent via a classified system. ISOO will send Hinton a letter recommending that Hinton file an MDR with ISOO. After review, the Department of State concluded the e-mail was unclassified. The e-mail was scheduled for return April 13, 2018.

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60. **Yale University – Kissinger Papers.** On April 21, 2015, William Massa contacted Bill Carpenter about a Top Secret documents found in the papers of Henry Kissinger. When the travel budget allows it, a member of the Staff will go and take custody of the material. Until then, it is being segregated from the main collection by Yale University.

61. **University of California – Riverside: George Brown Papers.** On May 12, 2016, Jessica Geiser (951-827-2850, [Jessica.geiser@ucr.edu](mailto:Jessica.geiser@ucr.edu)) contact ISOO about Vietnam era classified material found in the donated papers of Congressman George Brown. On May 25, 2015, she informed Meredith Wagner that her institution was willing to send the material to ISOO for review.

62. **Chemical Heritage Foundation – Beckman Instruments.** On June 1, 2016, Sarah Newhouse (215.873.8240, [SNewhouse@chemheritage.org](mailto:SNewhouse@chemheritage.org)) contacted Meredith Wagner about some WWII restricted and confidential material found in the Beckman Instruments papers. On the same day, she agreed to fax a selection of the material for a review. After a review, Meredith Wagner and Bill Carpenter agreed that the selection of material could be considered declassified. On June 20, 2016 a letter was sent to Sarah

Newhouse stating the material was not of concern.

**63. Yale: Lovett Papers.** On August 2, ISOO received three pages of potentially classified material from Bill Massa at Yale University from the papers of Robert Lovett, who was a War Department official in WWII and was involved in early intelligence policy. The material is a letter from deputy CIA director Marshall Carter to Lovett from 1962 enclosing two pages of questions from the head of CIA's estimates office, marked Confidential. The document is requested under MDR; ISOO will refer it to CIA for review. Assigned ISOO MDR number 2016-004.

**64. Yale University – Dean Acheson Papers.** On February 2, 2017, William Masa contacted Meredith Wagner about items found in the Dean Acheson Papers. After consultation, it was decided that the Confidential and Secret items would be sent to ISOO. The Top Secret items would be held segregated until such a time as an ISOO Staff member could come to collect them.

**65. University of Oklahoma – James R. Jones Papers.** On October 30, 2017, Bill Carpenter spoke with Nathan Nathan Gerth (ph: 405-325-5401) at the University of Oklahoma. They have new material (about five feet) coming in from James R. Jones, who was White House Appointments Secretary in LBJ White House, a congressman, then Ambassador to Mexico. Carpenter told him to restrict access to the collection to as few archivists as possible and to process the collection, segregating the marked classified documents. He'll let us know when he has pulled the classified documents for transmittal to us.

**66. Huntington Library – Jones Collection** – A package containing selected items from the Jones collection was received by ISOO on October 18, 2017. After review, the material was determined to be unclassified and returned to Dr. Daniel Lewis.

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(dlewis@huntington.org) Huntington Library received the package on November 3, 2017. The COGC case has been closed.

**67. Huntington Library – Rich Collection** – A package containing selected items from the Jones collection was received by ISOO on October 18, 2017. After review, it was determined that material should be reviewed. A Mandatory Declassification Review request was received from Dr. Daniel Lewis on October 25, 2017. It was assigned MDR number ISOO 2018-001 and a response was sent on November 3, 2017.

**68. Brandeis University – Solarz Papers.** On December 5, 2017, Anne Woodrum (phone: 781-736-4622, email [woodrum@brandeis.edu](mailto:woodrum@brandeis.edu)) contacted ISOO about potentially classified documents in the papers of congressman Stephen Solarz. Bill Carpenter spoke to her on December 6 and sent instructions for the identification and transmittal of potential classified documents. The materials were received in January 2018. After review, that the majority of the items were classified. it was determined that 4 items were not classified and returned to Brandeis University. They were mailed back April 6, 2018

with a request to file and MDR for the remaining items.

69. **Huntington Library – Northrop Grumman** – Three boxes containing selected items from the Northrop Grumman collection was received by ISOO in December 2017. After review the material was determined not to meet the standards of classification. On January 18, 2018 the material was shipped back to Daniel Lewis at the Huntington Library.

70. **University of Oklahoma, Carl Albert Center – James R. Jones Collection** - In November 2017, material from the Carl Albert Center at the University of Oklahoma was sent for review to ISOO by Nathan Gerth and Rachel Henson. After review it was determined that only one item was still potentially classified. All other material was returned to the Center on January 24, 2018 with a request that a MDR be filed on the remaining document.

71. **USAF Lt. Col. William White Photos.** On March 13, 2018, Bill Carpenter spoke with USAF Lt. Col. (ret.) William White, a collector of military memorabilia, who recently acquired a collection of papers from the widow of a Korea and Vietnam-era Navy pilot. The collection contained several photos marked Secret and Confidential that appear to be from reconnaissance aircraft. He will be sending them to us via approved express courier or express mail. Materials received March 16, 2018. The photos were deemed unclassified and mailed back April 13, 2018.

72. **University of Montana – Baucus** - Bill Carpenter was contacted on January 31, 2017 by Natalie Bond. The materials were determined not to be classified and mailed back March 19, 2018

73. **University of Montana – Burgess** – AJ Lutz received a forwarded e-mail from the ISOO mailbox. Donna E. McCrea had found 3 US Army documents from 1944 – 1946. Based on the time frame and description, it was determined that the items did not meet the standards for continued classification and AJ Lutz informed her of this.

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74. **New York University – Brademas Papers** – On June 29, 2018 Janet Bundy and Meredith Wagner discussed a briefing book found in the John Brademas papers. The book was prepared by the Department of State and donated by John Brademas to NYU. After discussion, it was decided to send the classified portions of the book to ISOO for review. After receiving and reviewing the items, it was determined that some were classified and some unclassified. On September 12, 2018, the unclassified items were packaged for return and shipped 9/13/2014. Janet Bunde filed and MDR on the classified items. The ISOO case number is 2018-003.

75. **University of Indiana – Lugar Papers** - Sara Stefani (515-707-2736) ([samastef@indiana.edu](mailto:samastef@indiana.edu)) contacted ISOO about a report marked Secret/No Foreign in the Lugar Papers. After a conversation, she agreed to send the report to ISOO for review. In January 2021 Sara Stefani contacted ISOO about the status of the document; Bill



Carpenter could not locate it, but contacted the Center for Legislative Archives on January 27, 2021, who may have insight.

**76. Huntington Library – Lockheed Martin** - Lorraine Perotta contacted ISOO about 3 items in the Lockheed Martin collection. After a conversation, she agreed to send the items to ISOO for review. On September 10, 2018, the items were reviewed and deemed not to be classified. The items were packaged for return and shipped on 9/13/2014.

**77. Huntington Library- Albert D. Wheelon** – Lorraine Perotta contacted ISOO about items found in the Albert D. Wheelon collection on September 12, 2018. A spreadsheet was provided to Meredith Wagner on September 13, 2018. Lorraine Perotta provided the material to ISOO in July 2019. Most material was returned in August 2019. In December 2019, Meredith Wagner went to the Library to review some potentially Top Secret material. Two items were brought back. After further review, one item was returned in January. In March 2020, a MDR was filed for the material. Due to COVID 19, the materials were not sent out to the referral agencies.

**78. Harvard Business School – Polaroid Papers** – In March 2019, Tim Mahoney contacted ISOO about potentially classified materials in the Polaroid collection at his institution. After providing a listing of the material, it was determined the material was not classified. A letter stating the material was unclassified was provided to Mr. Mahoney in June 2019.

**79. University of Indiana – Lugar Papers** - In June 2019, Sara Stefani (515-707-2736) ([samastef@indiana.edu](mailto:samastef@indiana.edu)) contacted ISOO about further classified material found in the Lugar Papers. The material was provided to ISOO in July 2019 and an MDR was requested from Ms. Stefani in October 2019. The material was processed but due to COVID-19, the material was not sent out for review. .

**80. University of Tennessee – Cran Montgomery Papers** – In July 2019, Kris Bronstad contacted the NDC about material she had previously sent to ISOO without any

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correspondence and had not responded to phone calls about. She responded to an e-mail from Meredith Wagner with a spreadsheet detailing the material she sent to ISOO. An ISOO spreadsheet was created but there were issues reconciling the two spreadsheets. In July 2021 Bill Carpenter returned the Unclassified portion of the collection to Ms. Bronstad with instructions on how to file an MDR for the remaining classified materials.

**81. University of Indiana -- Lugar Papers.** On January 27, 2021, Bill Carpenter received two document sets sent by Sara Stefani, one regarding South Africa from 1985 and one regarding Sudan from 2009. The Confidential markings on the South Africa document were determined to be administrative. The Sudan materials, though associated with a TS//Codeword briefing session, were not classified. The sets were mailed back to Indiana.

82. **Wayne Barnes.** Bill Carpenter received an email from Rob Bledsoe on Feb. 24 connecting him with a spillage issue reported by NARA Reference. An ex-FBI investigator, a researcher, sent a classified attachment to NARA. Bill Carpenter sent him a hard-copy letter on Feb. 25, 2022, instructing him to contact ISOO to discuss next steps.

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